



# Why is SAXS a powerfull tool in GENE THERAPY?

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SAXS Workshop

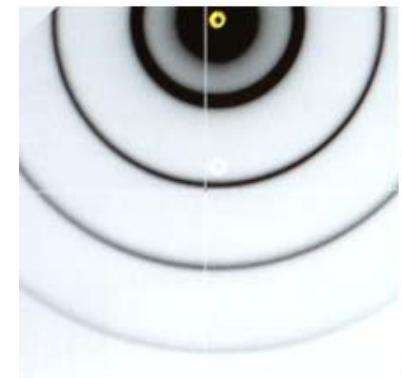
1 October 2014  
ALBA Synchrotron

# Outline

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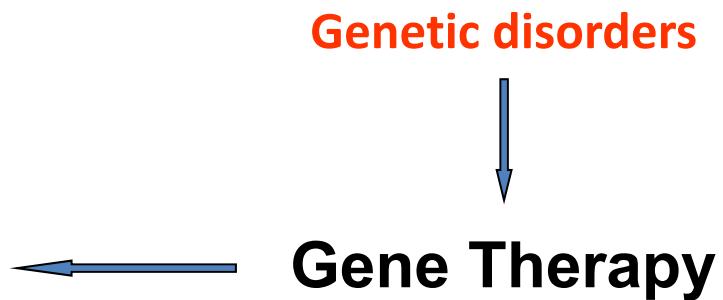
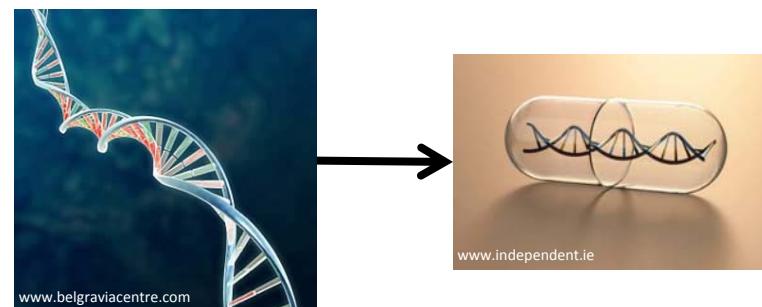
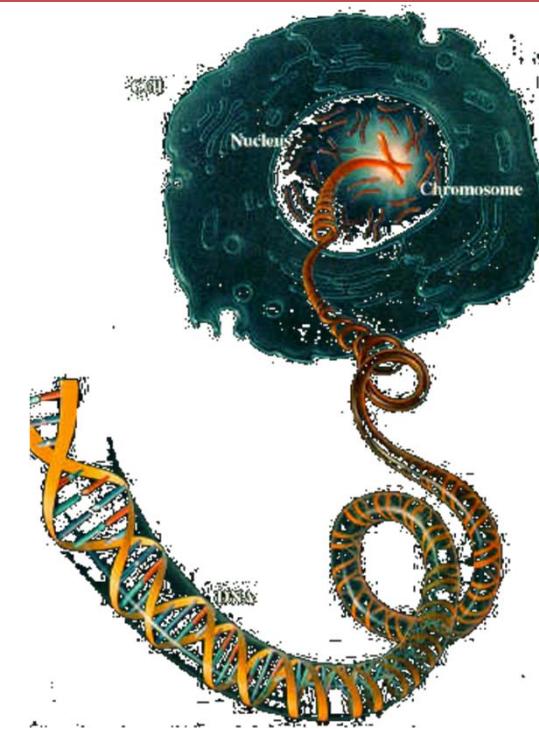


- Introduction: Gene therapy
- Application of SAXS in gene therapy
- Examples
  - ✓ Sample preparation
  - ✓ Results analyze

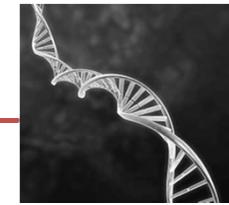


# Introduction

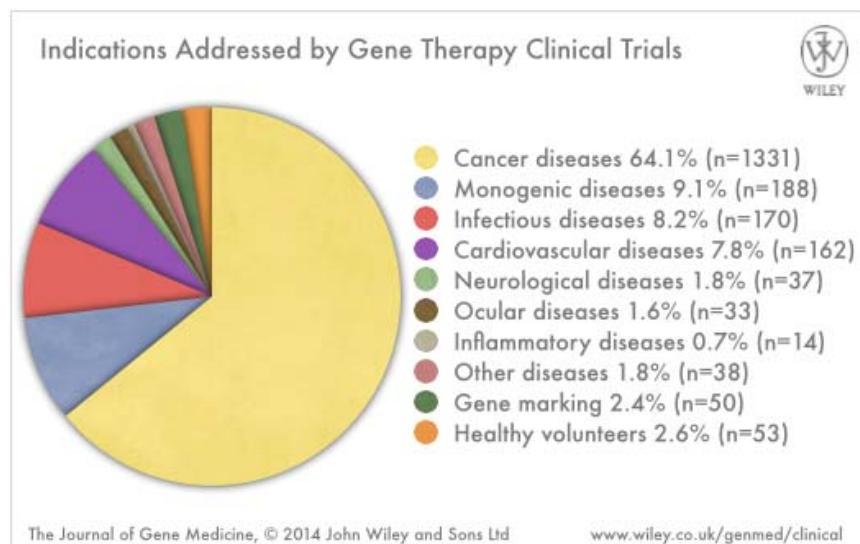
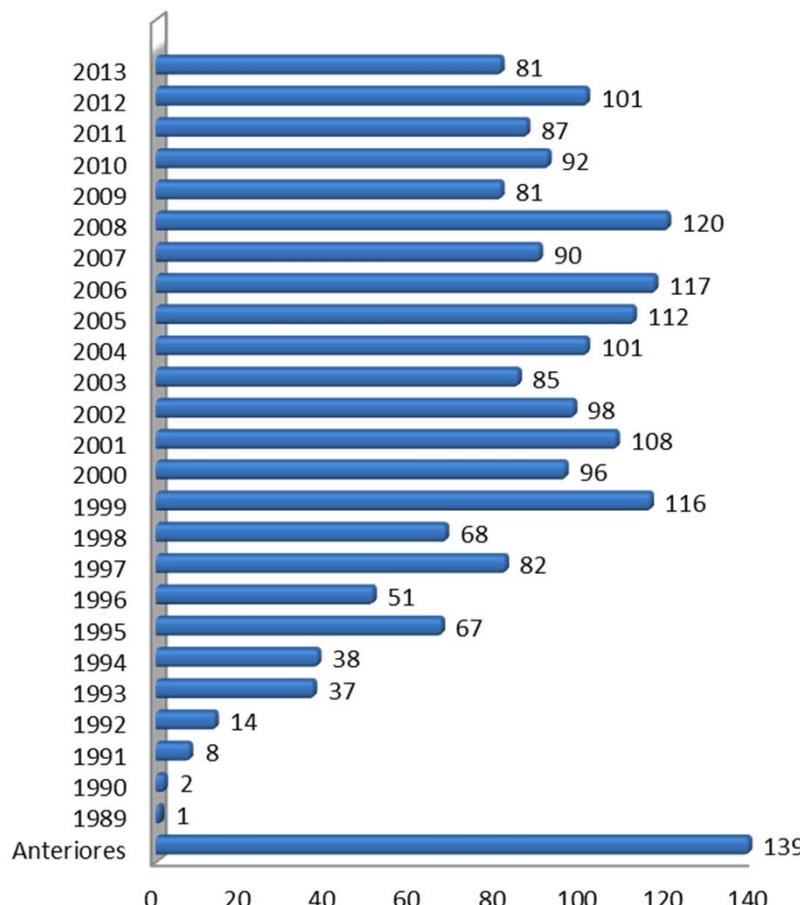
- **Genetic** → quistic fibrosis, drepanocytic anaemia, haemophilia, hypercholesterolemia
- **Neurological** → Alzheimer, Parkinson
- **Cardiovasculars** → arteriosclerosis, thrombosis, ischaemia, etc
- **Infectious** → AIDS, hepatitis B, herpes, etc.
- **Tumors & cancers**
- **Others** → asthma, arthritis, diabetes, osteoporosis , etc



# Introduction



## Gene therapy clinical trials approved worldwide and indication addressed

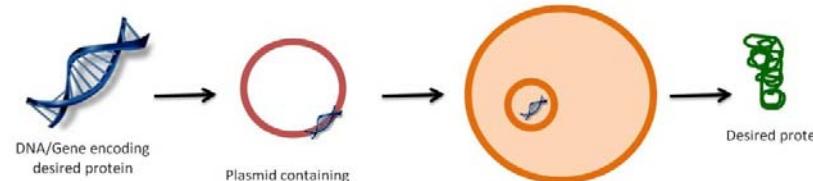
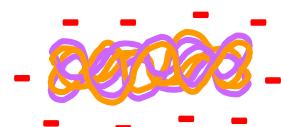
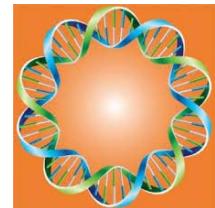


# Introduction

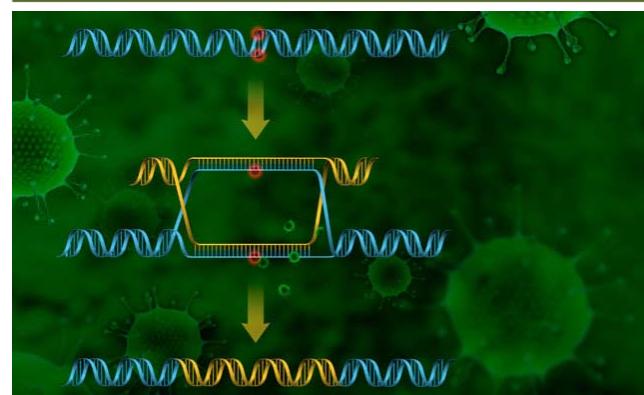


Therapeutic agents based on different types of nucleic acids:

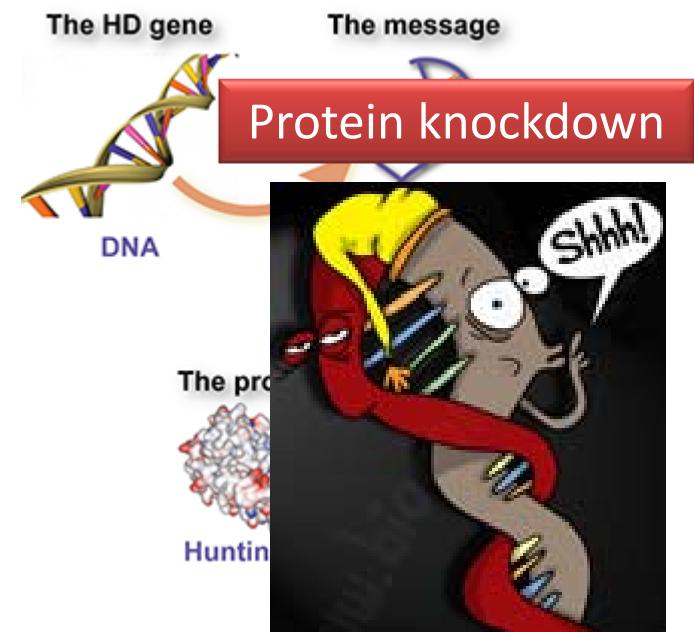
## Plasmid DNA



Produce a specific protein

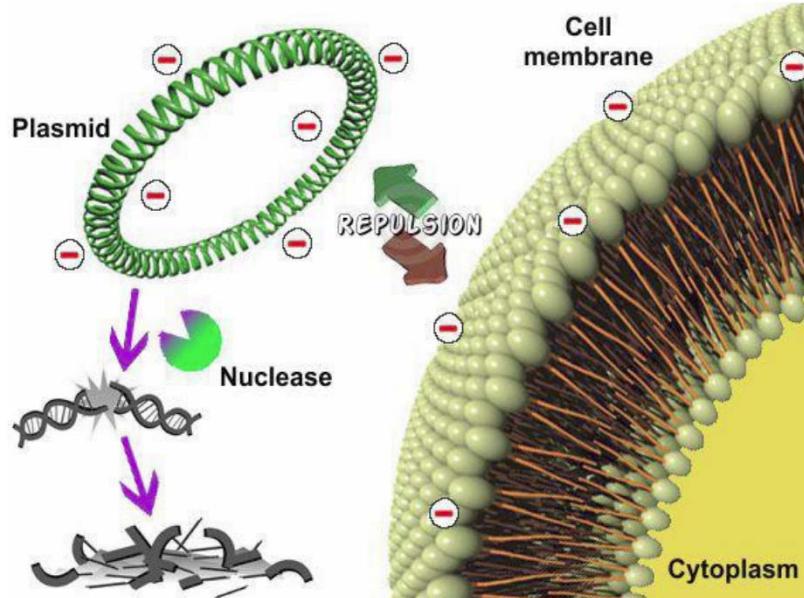


## siRNA



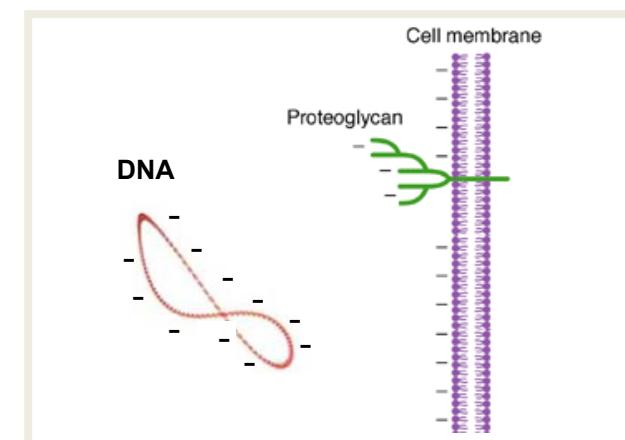
# Introduction

## Pharmacokinetic limitations of nucleic acid based therapeutic agents



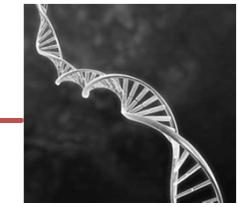
The naked nucleic acid present low Transfection levels

- ✓ Nucleic acid are easily degraded by nucleases
- ✓ Membrane crossing abilities are limited by charge



## Introduction

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# Vector assisted techniques

**Gene carrier systems (vectors) should:**

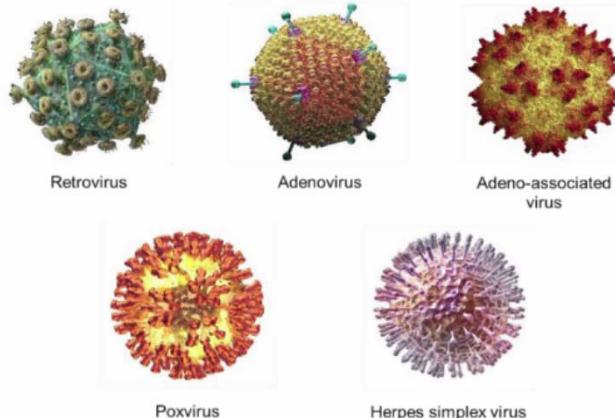
- ✓ Provide the nucleic acid protection
- ✓ Enable cellular uptake and delivery in a cellular target
- ✓ Promote it's biological action

# Introduction



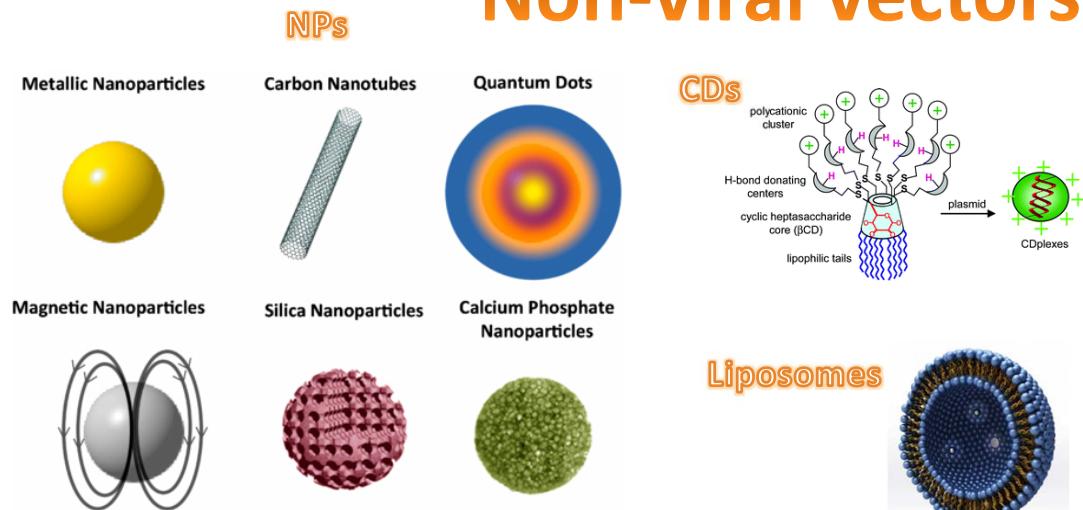
## GENE VECTORS

### Viral vectors



- ✓ Effectiveness
- ✓ Immunogenicity,
- ✓ Oncogenicity
- ✓ Potential virus recombination
- ✓ Costly production

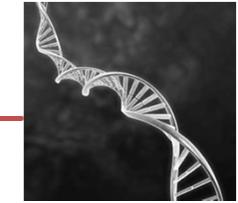
### Non-viral vectors



<http://www.izon.com/>

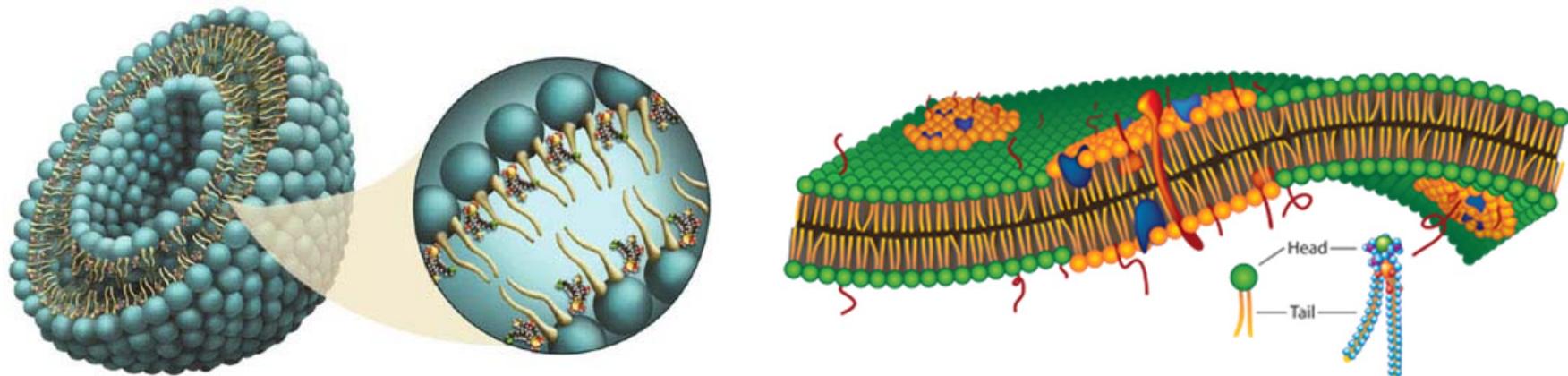
- ✓ Null immune response
- ✓ Easy production
- ✓ Transport large size nucleic acids
- ✓ Show high toxicity levels
- ✓ Low Transfection levels

# Introduction



## Liposomes

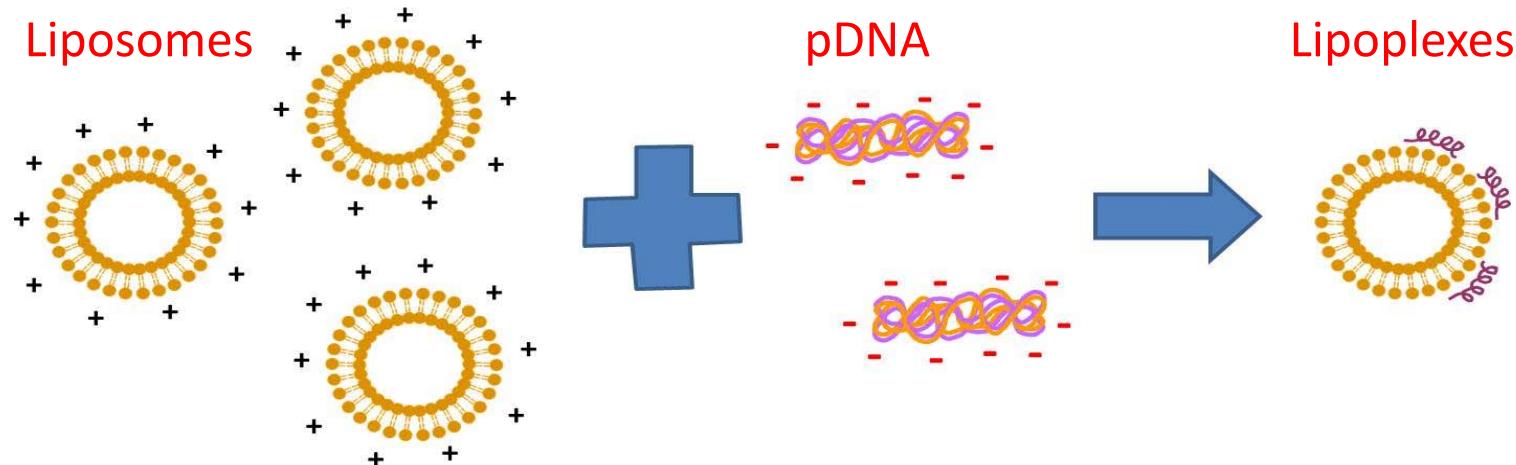
- Great similarity with the cell membrane
- Cationic liposomes are able to compact the DNA
- Cheaper production



# Introduction



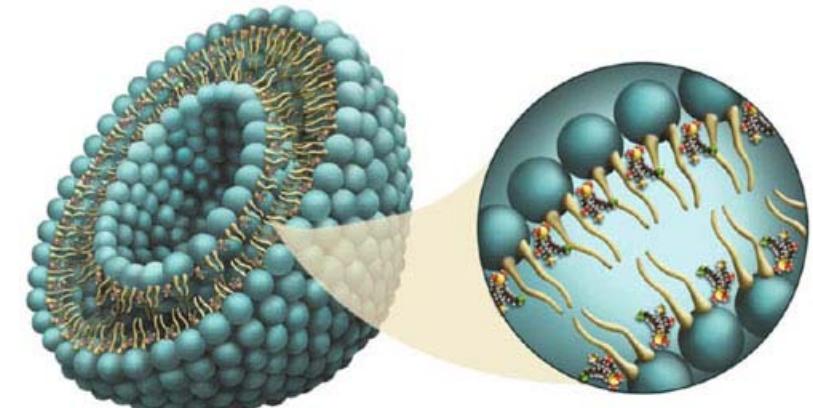
## Liposomes



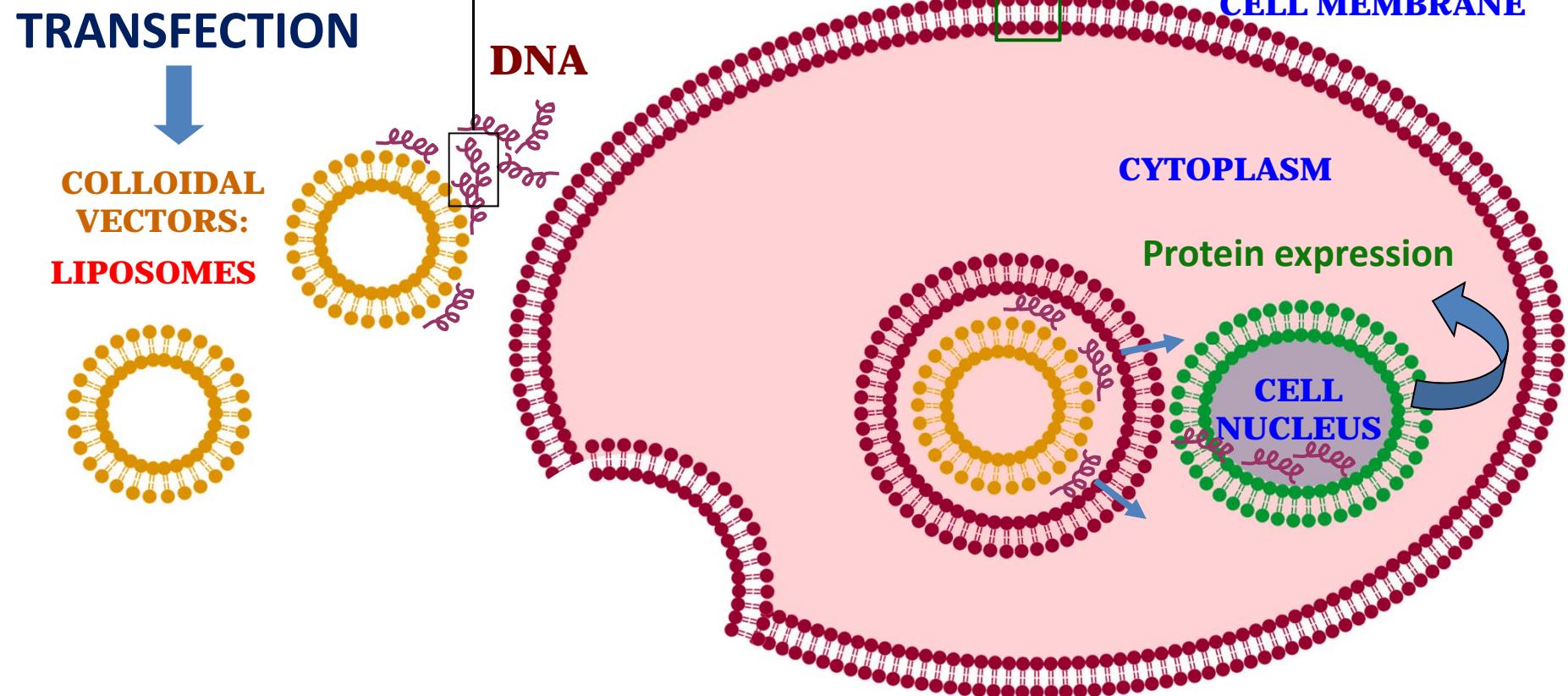
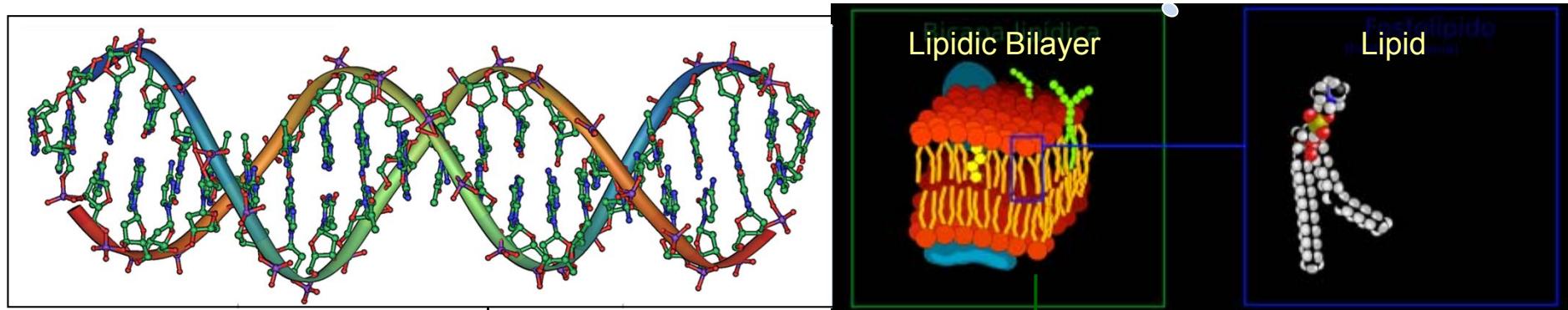
Cationic Lipid



Zwitterionic Lipid



# Introduction



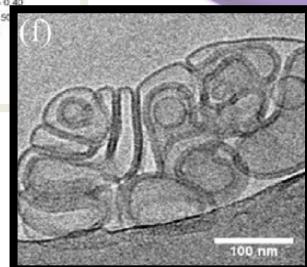
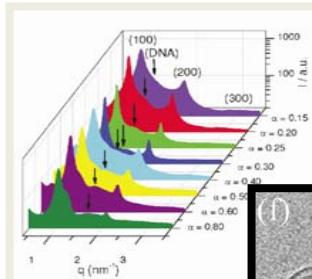
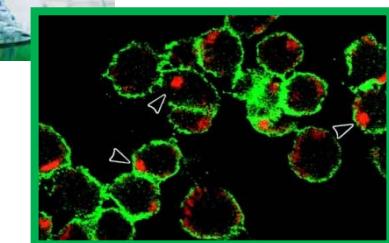
# Introduction



Transfection

Biochemistry  
Characterization

Physicochemical  
Characterization

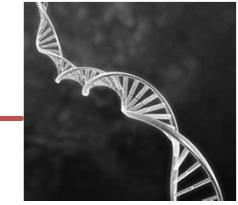


*In vivo / Ex vivo*

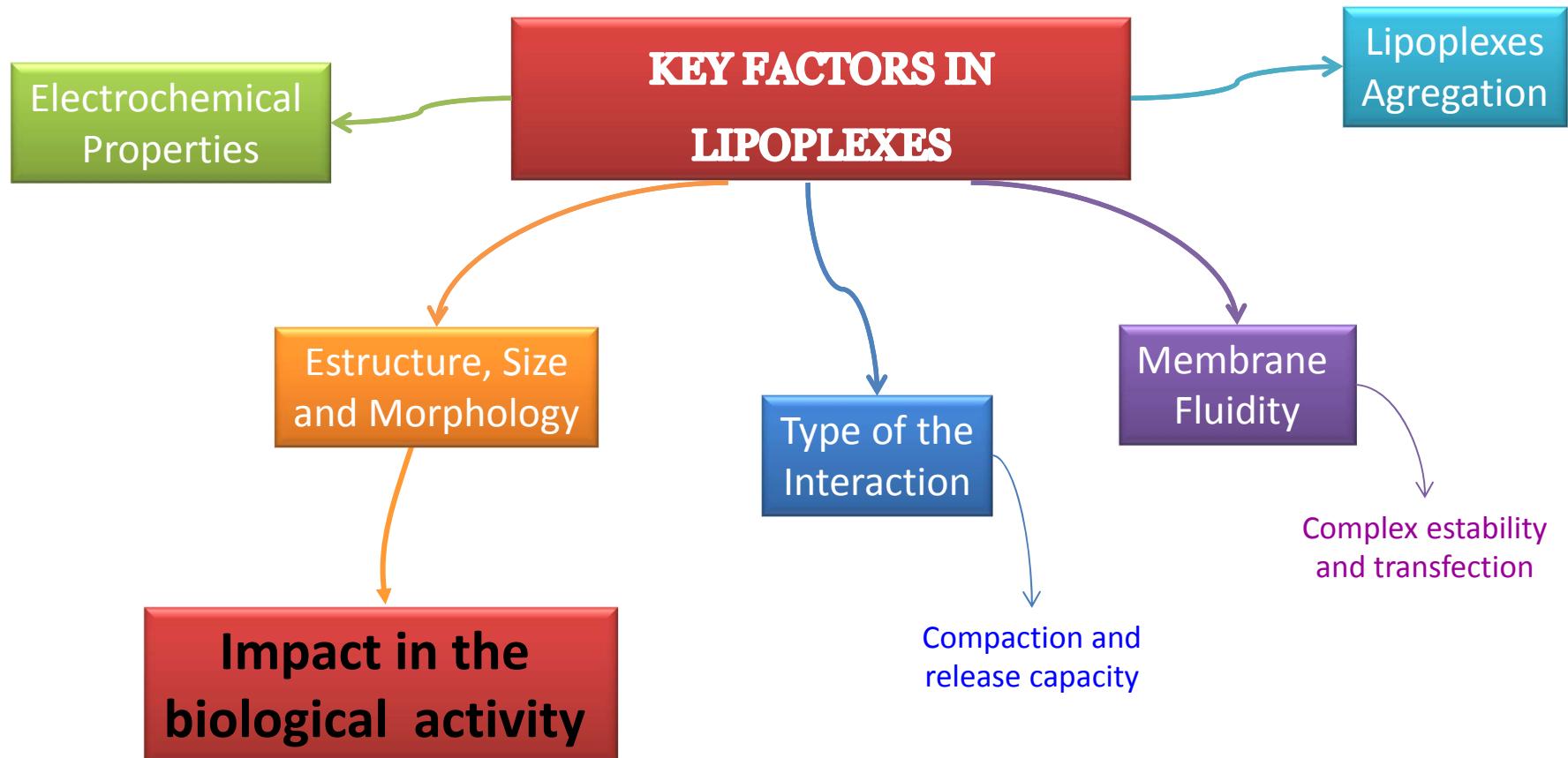
IN VIVO \ EX VIVO



# Introduction



## Physicochemical Characterization

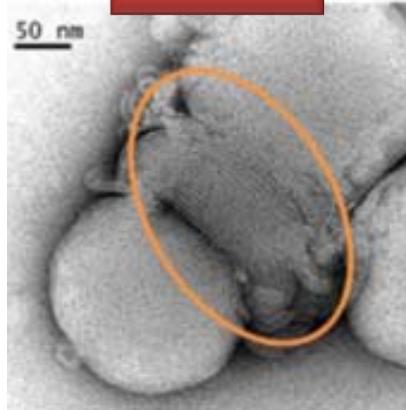


# Introduction

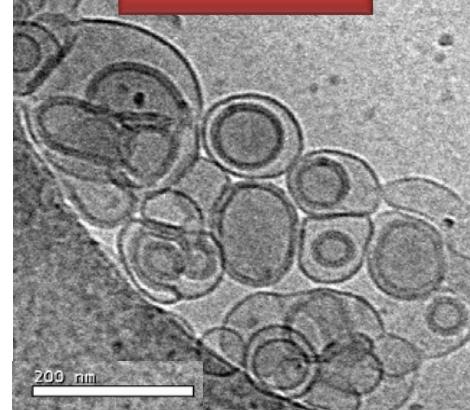
Different techniques to determine:  
Size, morphology and structure



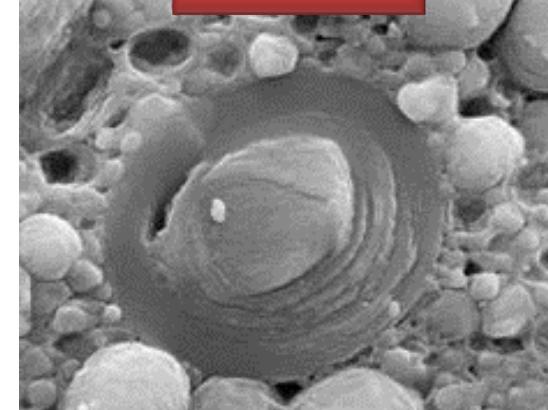
NS-TEM



Cryo-TEM



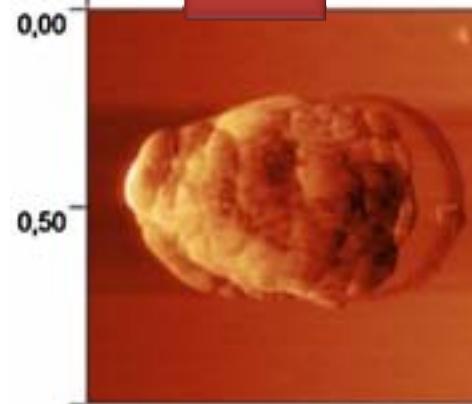
Cryo-SEM



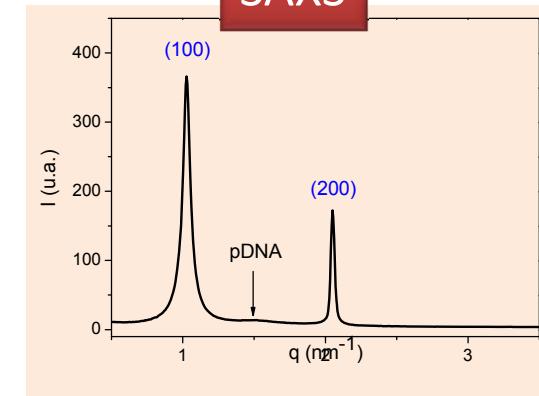
Freeze-Fracture



AFM

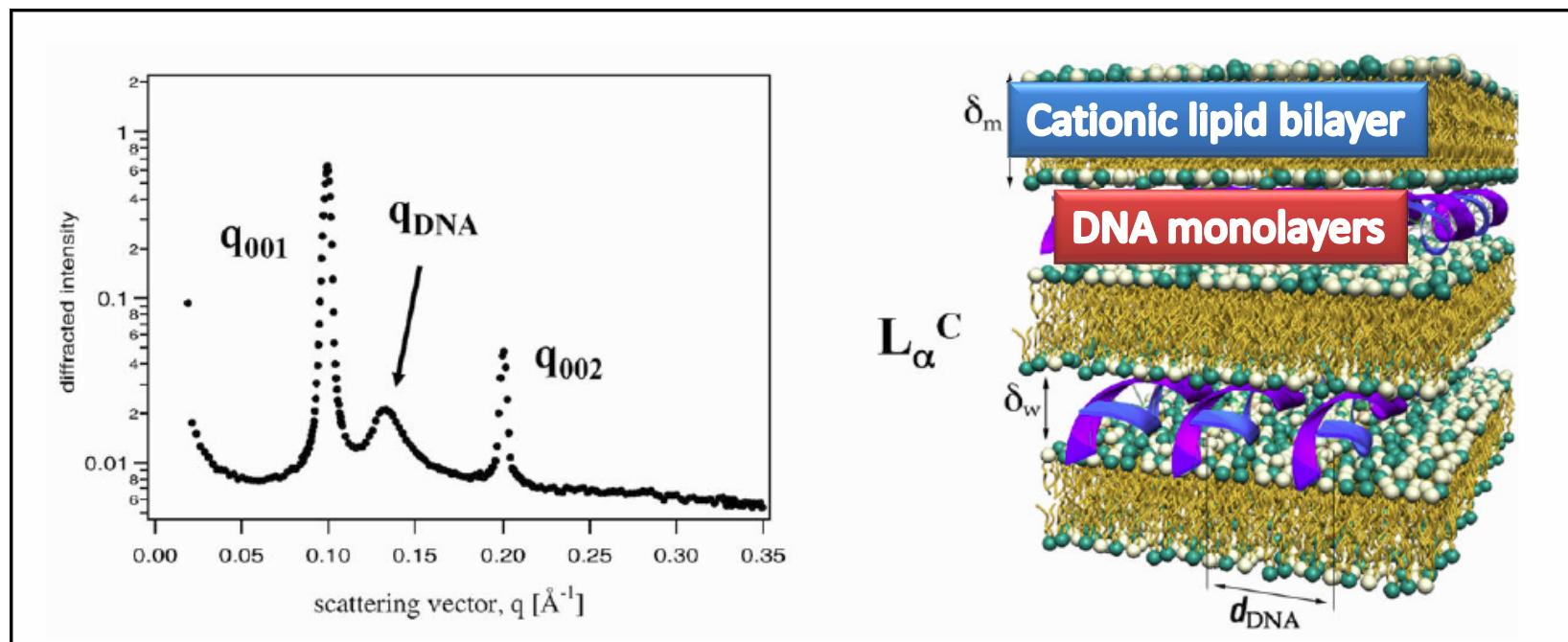


SAXS



# Introduction

Self-assembly structure of CL-DNA complexes:  
Multilamellar structure  $L_\alpha$

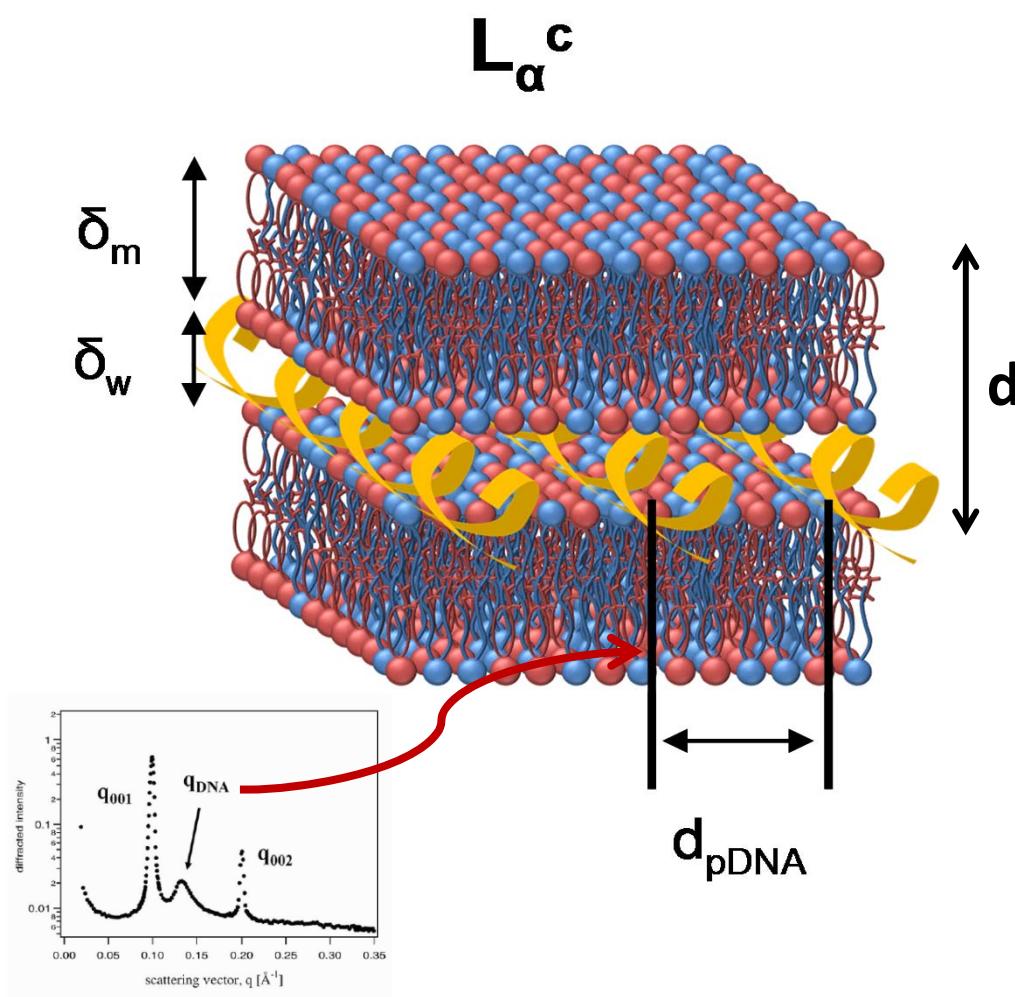
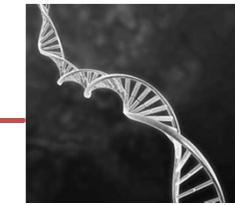


Where the cationic lipid liposomes consisted of mixtures of neutral (so called “helper lipid”) DOPC and cationic DOTAP and  $\lambda$ -phage DNA  $\alpha = 0.5$

Safinya et al. Nature 1997

# Introduction

Self-assembly structure of CL-DNA complexes:  
Multilamellar structure  $L_\alpha^c$



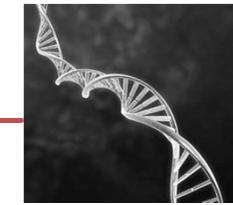
$$d = \frac{2\pi}{q_{100}}$$

$$d = \delta_m + \delta_w$$

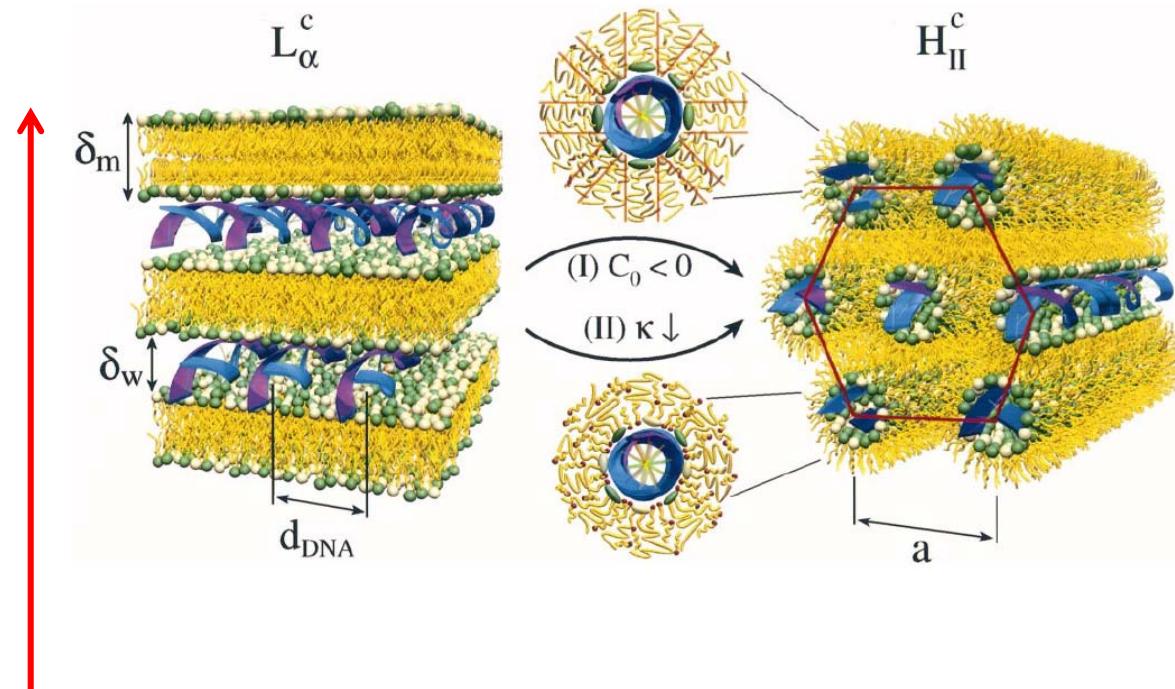
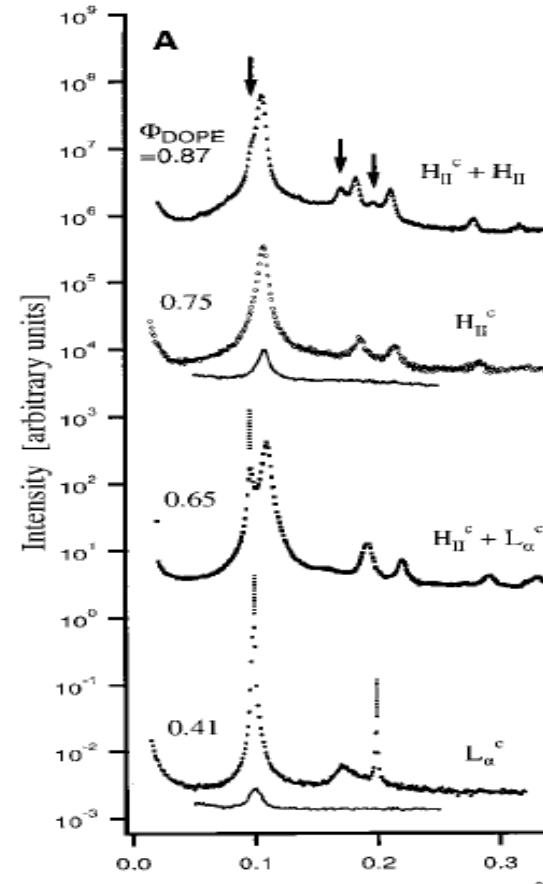
$$d_{pDNA} = \frac{2\pi}{q_{pDNA}}$$

# Introduction

## Self-assembly structure of CL-DNA complexes: Hexagonal structure $H_{\parallel}^c$



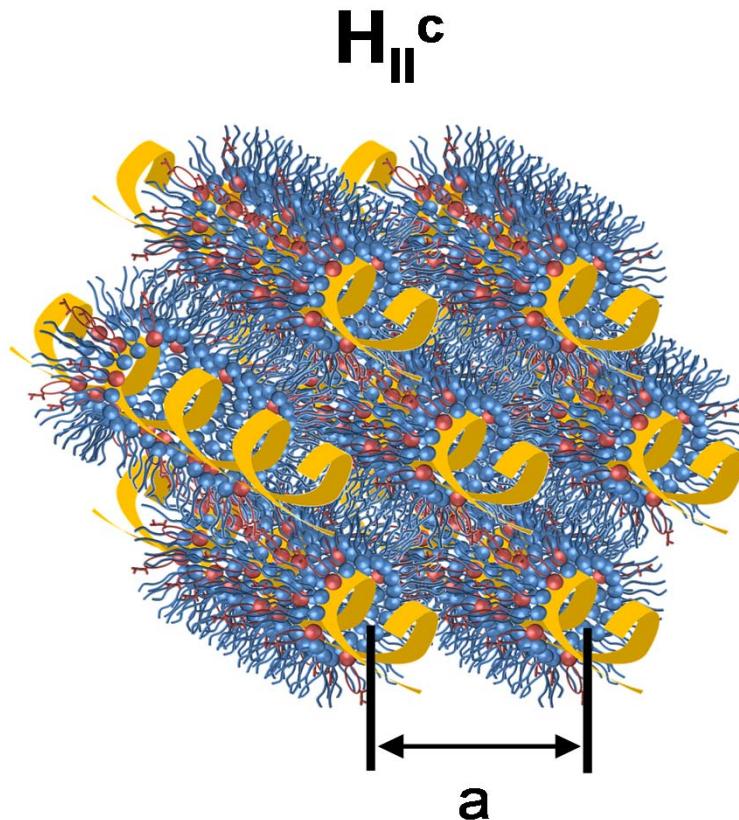
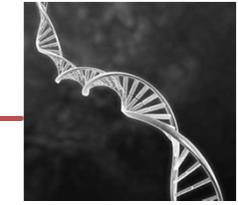
DOPE/DOTAP-DNA



Safinya et al. Nature 1998

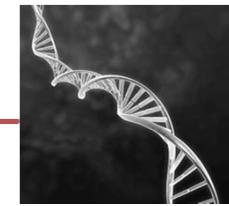
# Introduction

Self-assembly structure of CL-DNA complexes:  
Hexagonal structure  $H_{\parallel}^c$



$$a = d_{DNA} = \frac{4\pi}{3^{(1/2)} q_{10}}$$

# Introduction



## Effect of lipoplexes structure in the transfection efficiency

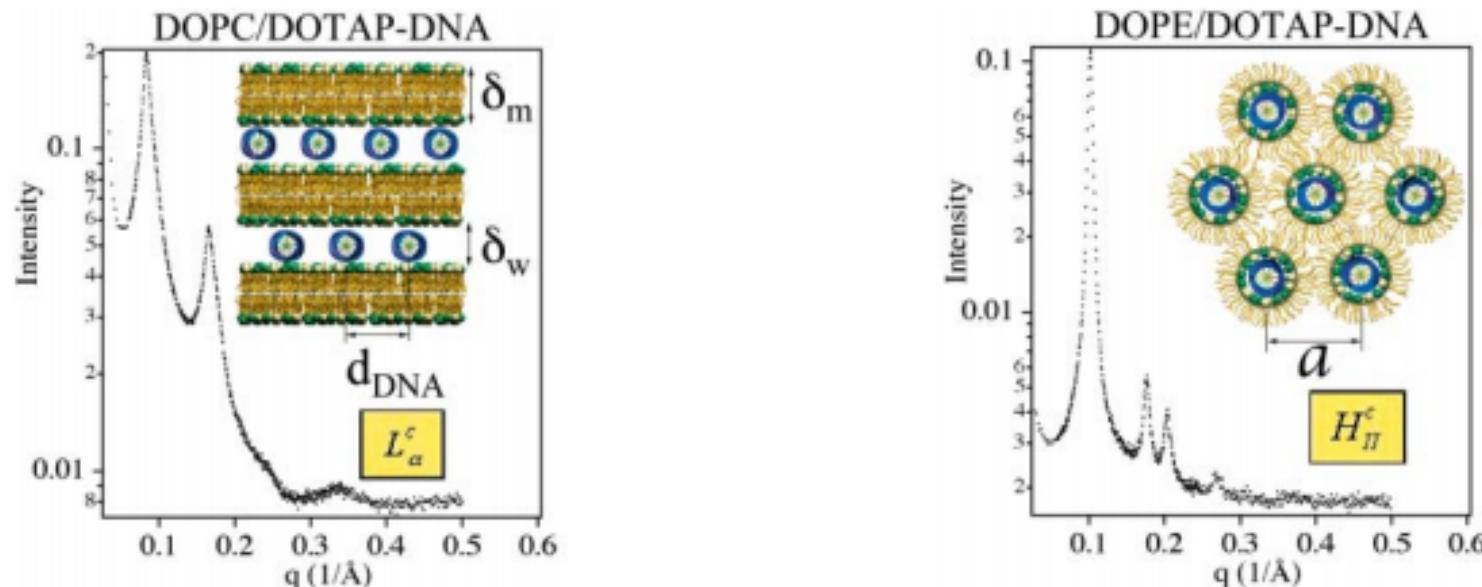
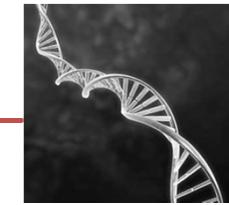


FIGURE 2 Comparison of structure and transfection efficiency (TE). Left (mole fraction  $\Phi_{\text{DOPC}} = 0.67$ ) shows a typical XRD scan of lamellar (inset)  $L_c^c$  complexes. Right (mole fraction  $\Phi_{\text{DOPE}} = 0.69$ ) shows a typical XRD scan of inverted hexagonal (inset)  $H_{II}^c$  complexes. Middle displays the corresponding TE, as measured by luciferase enzyme assays of transfected mouse L-cells.

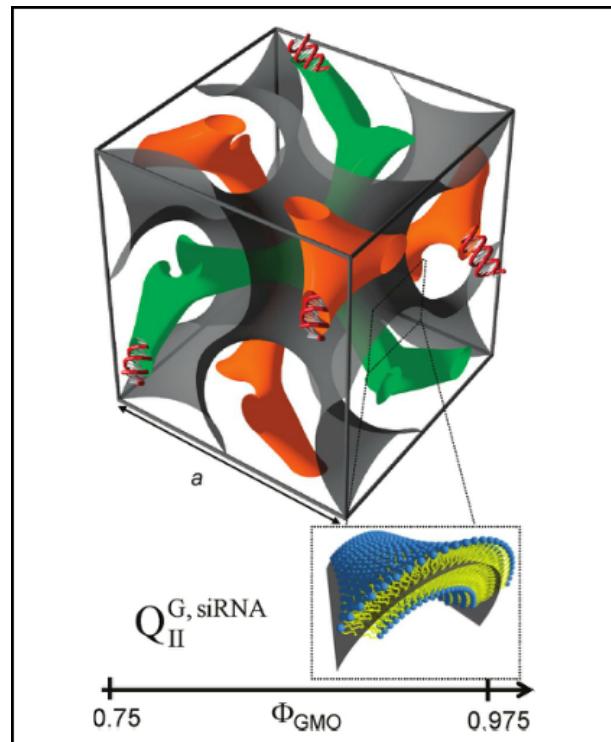
Safinya et al., Biophysical Journal, 2003

# Introduction

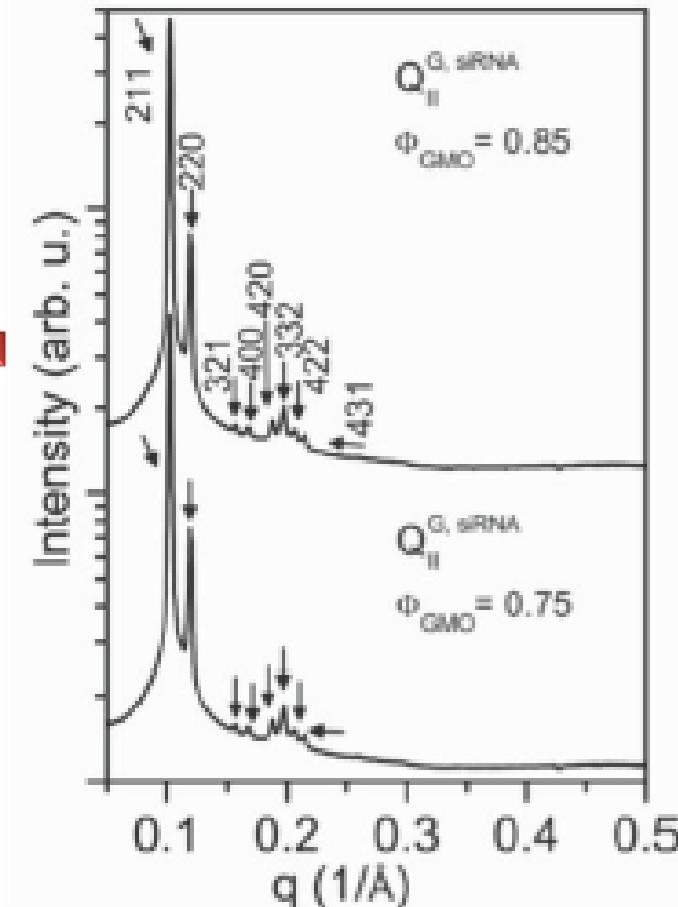
Self-assembly structure of CL-siRNA complexes:  
Cubic structure  $Q_{\parallel}$



GMO/DOTAP



GMO/DOTAP-siRNA



Safinya et al. JACS 2010

$$a = \frac{2\pi}{q} \sqrt{h^2 + k^2 + l^2}$$

# Introduction

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## Why use synchrotron SAXS?

- Syncrotron light sources
- High intensity
- High collimation (small divergence)
- Wide wavelength tunability

Parameter	SAXS	Synchrotron SAXS
Resolution	Low	High
Time of measurement	Minute to hours	5-30 s
Vacuum	Yes	Not

## Our work

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Our group is involved in the physicochemical and biochemical characterization of non-viral gene vectors, in order to find better gene carries systems.

- Electrochemical properties
- Fluidity bilayers properties
- Influences of the nanostructure &
- Biological methods for the evaluation of transfection efficiency

# Examples

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## Cationic mixed liposomes + DNA

- ✓ DC-Chol/DOPE-ctDNA
- ✓ C<sub>6</sub>(LL)<sub>2</sub>/DOPE-pDNA
- ✓ (C<sub>16</sub>Am)<sub>2</sub>(C<sub>2</sub>O)<sub>n</sub>/DOPE-pDNA
- ✓ (C<sub>16</sub>Im)<sub>2</sub>(C<sub>2</sub>O)<sub>n</sub>/DOPE-pDNA

## Anionic mixed liposomes +Ca<sup>2+</sup>+DNA

- ✓ DOPG/DOPE-Ca<sup>2+</sup>-pDNA
- ✓ DOPS/DOPE-Ca<sup>2+</sup>-pDNA

## Cationic mixed liposomes + siRNA

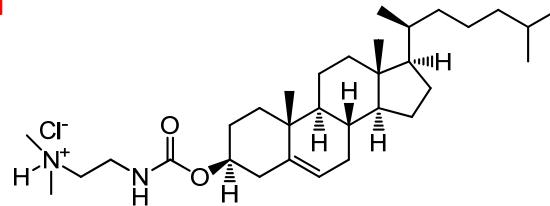
- ✓ (C<sub>16</sub>Im)<sub>2</sub>(C<sub>2</sub>O)<sub>n</sub>/DOPE-siRNA

# Materials

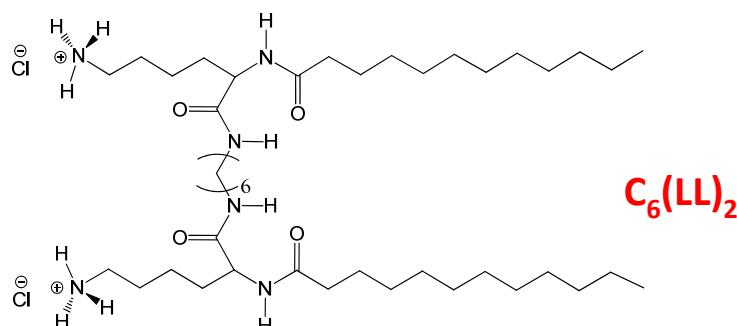


DC-Chol

## CATIONIC LIPIDS

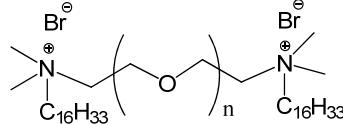


Gemini Lipids

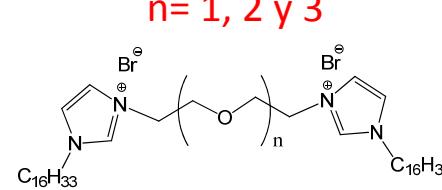


$C_6(LL)_2$

$(C_{16}Am)_2(C_2O)_n$   
 $n = 1, 2 \text{ y } 3$



$(C_{16}Im)_2(C_2O)_n$   
 $n = 1, 2 \text{ y } 3$

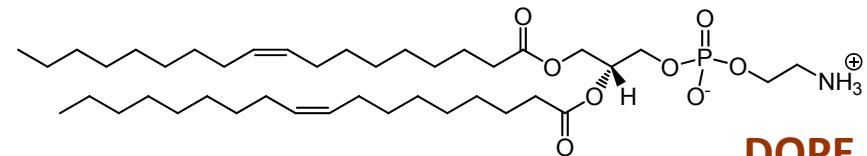


Plasmid (pDNA)  
pEGFP-C3



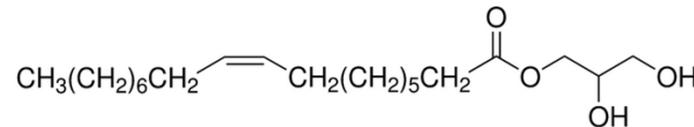
## ZWITTERIONIC LIPID

(null charge at pH=7.4)



DOPE

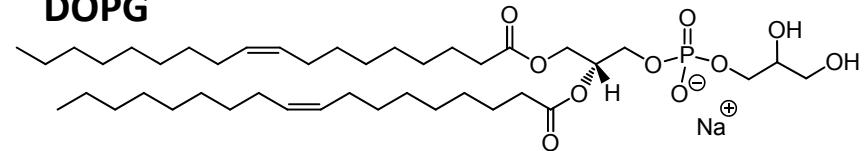
## NEUTRAL LIPID



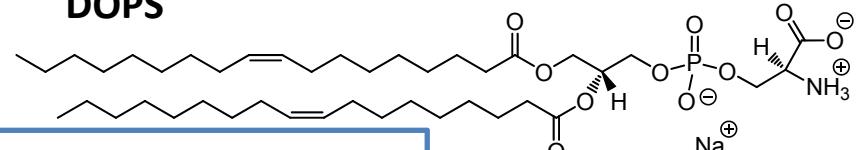
MOG

## ANIONIC LIPIDS

DOPG



DOPS



ctDNA

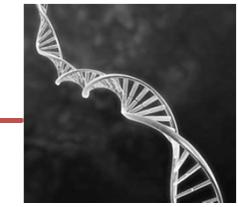


siRNA



# Sample preparation

## Key factors in lipoplexes preparation



### Molar fraction composition, $\alpha$

$$\alpha = \frac{L^{+/-} / M_{L^{+/-}}}{(L^{+/-} / M_{L^{+/-}}) + (L^0 / M_{L^0})}$$

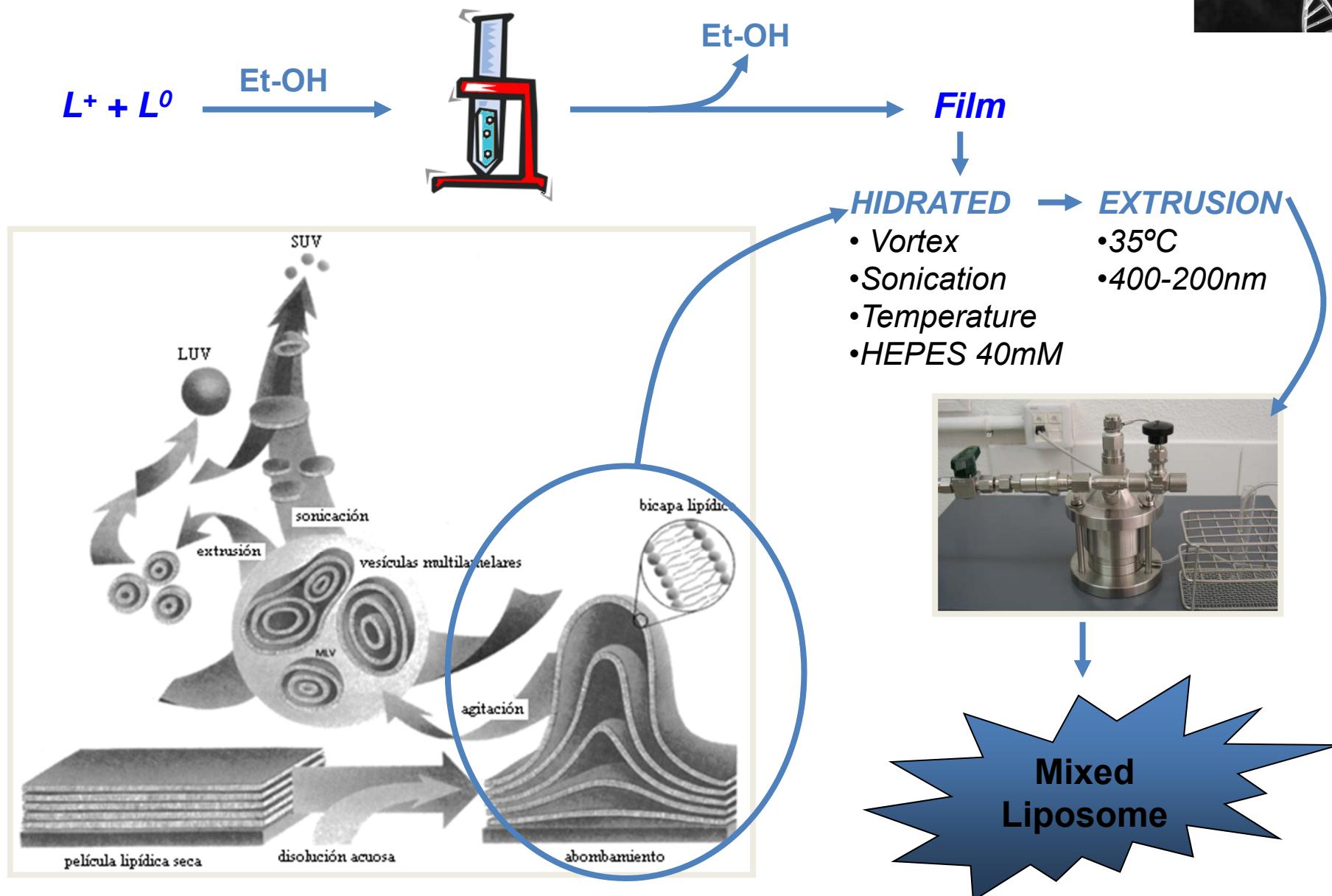
### Charge Ratio, $\rho$

$$\rho = \frac{n^+}{n^-} = \frac{q_{L^+}^+ L^+ / M_{L^+}}{q_{DNA}^- D / \bar{M}_{bp}}$$

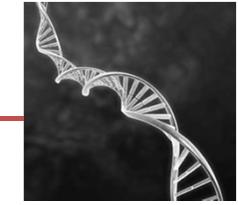
$\rho > 1$

Experiments were done at in HEPES buffer 40 mM at pH = 7.4

# Sample preparation

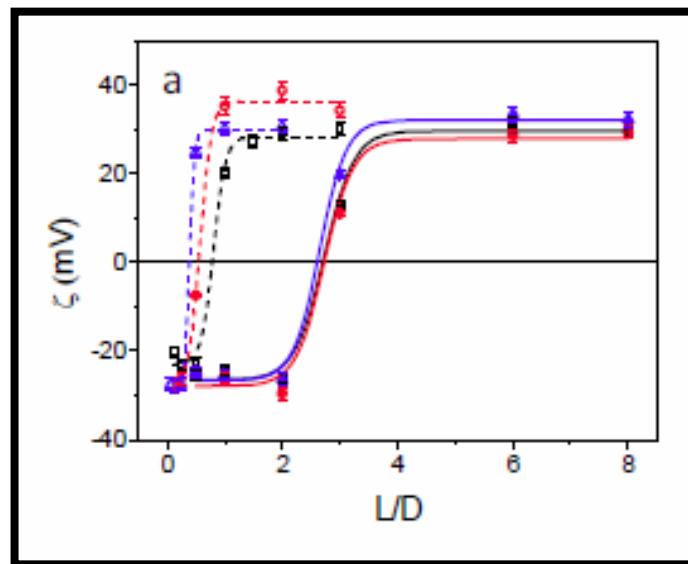


# Sample preparation

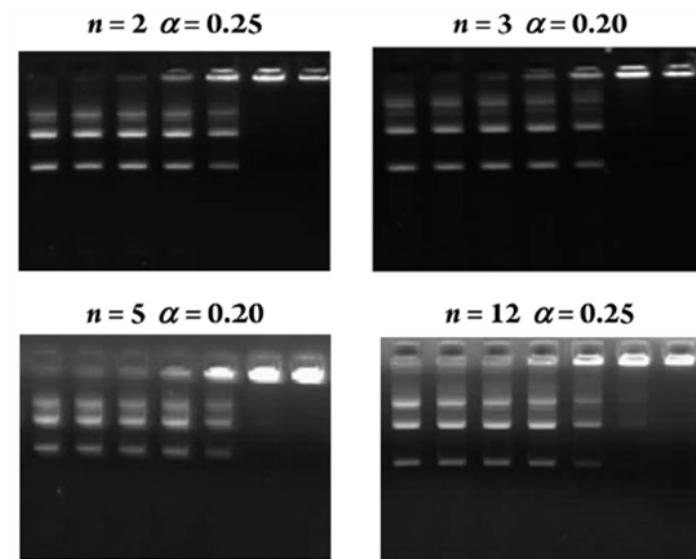


Before the capillary sample preparation, complete electrochemical studies have been carried out in order to obtain the optimal condition for SAXS

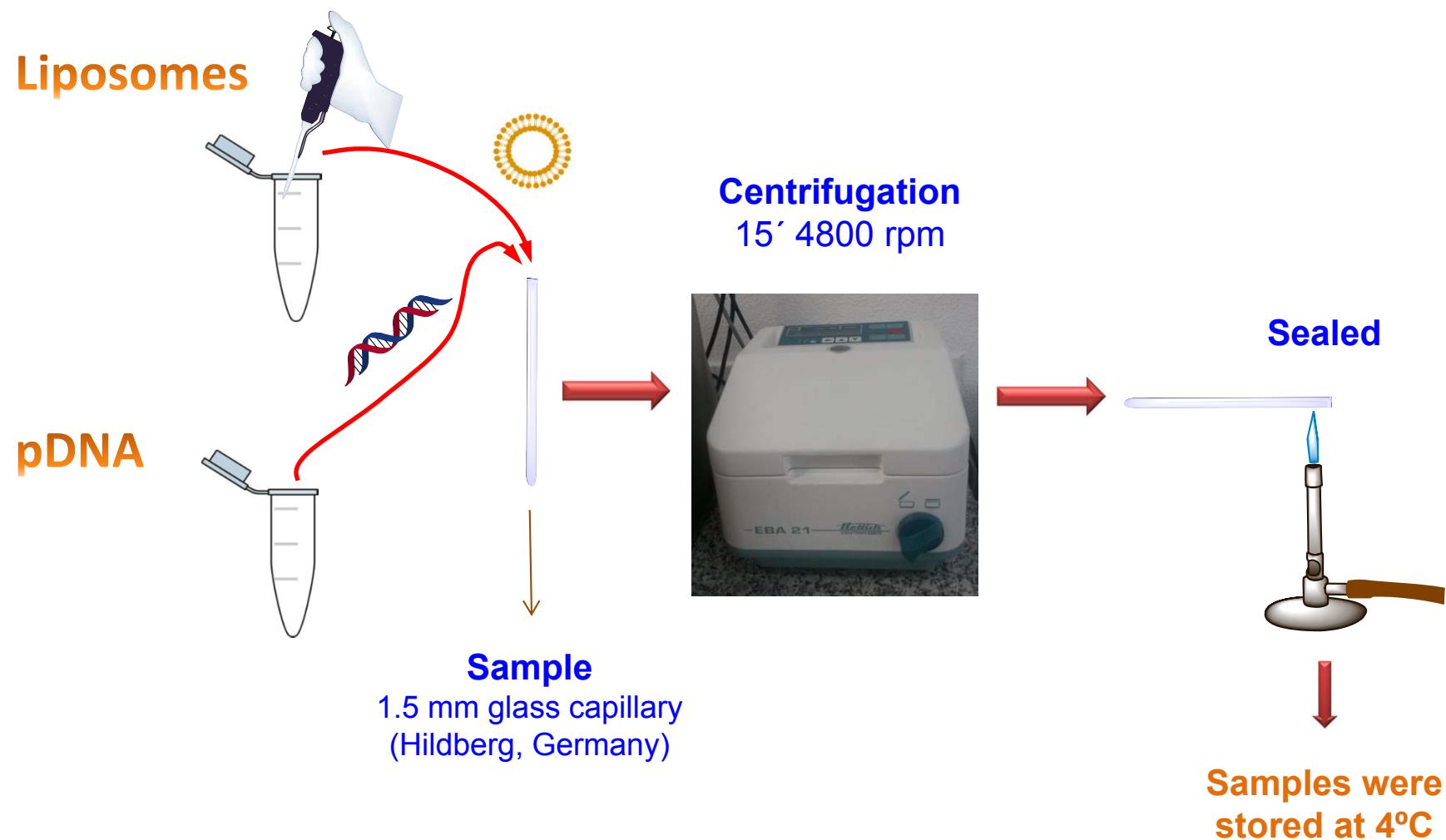
$\zeta$  Potential



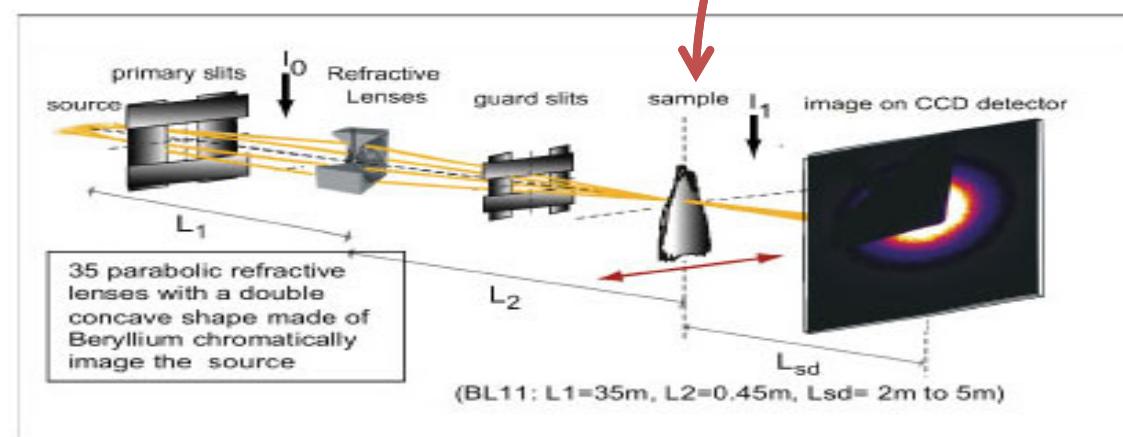
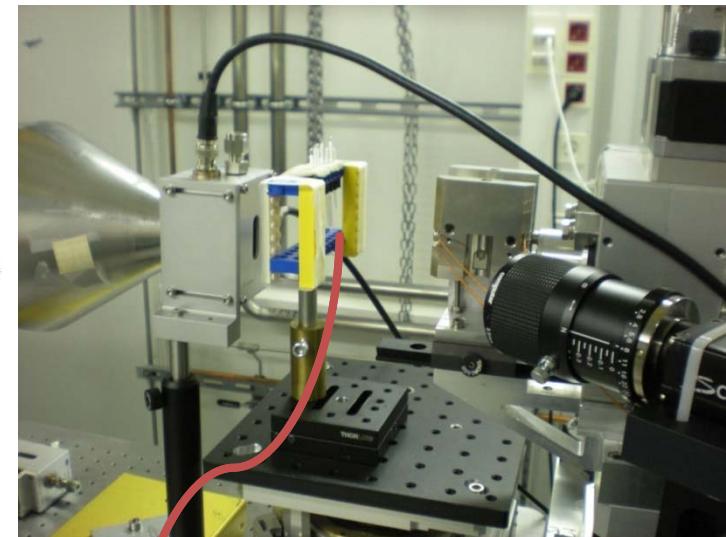
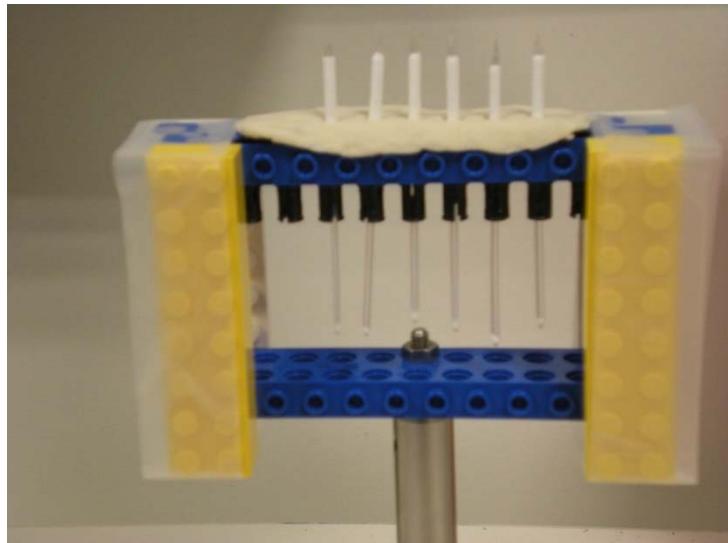
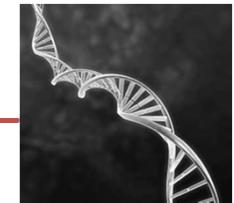
Gel Electrophoresis



# Sample preparation



# In ALBA



# Measurement conditions

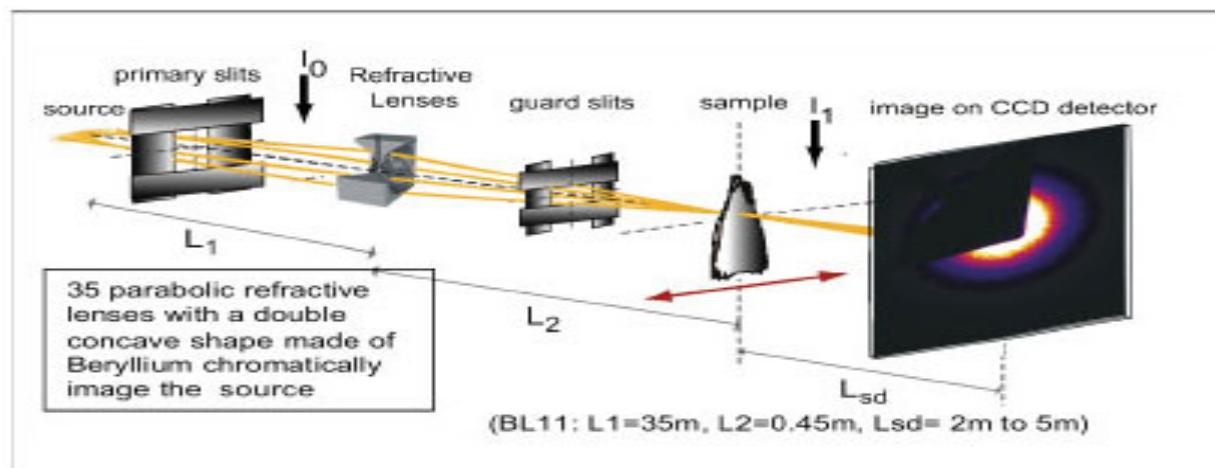


## BL11-NCD beamline at the ALBA synchrotron



### Beam characteristics

- ✓ **Energy of the incident:** 12.6 KeV ( $\lambda$ ) 0.995 Å
- ✓ **Beam size:** 100  $\mu$ m
- ✓ **Sample-to-detector distance:** 1.4 m
- ✓ **Detector:** Quantum 210r CCD



# Results

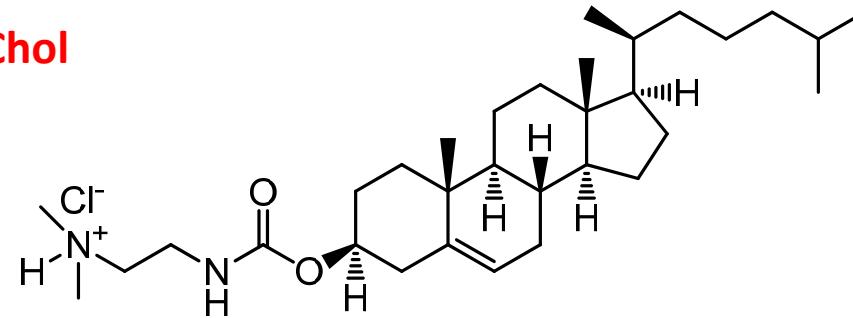


# Example 1: DC-Chol/DOPE

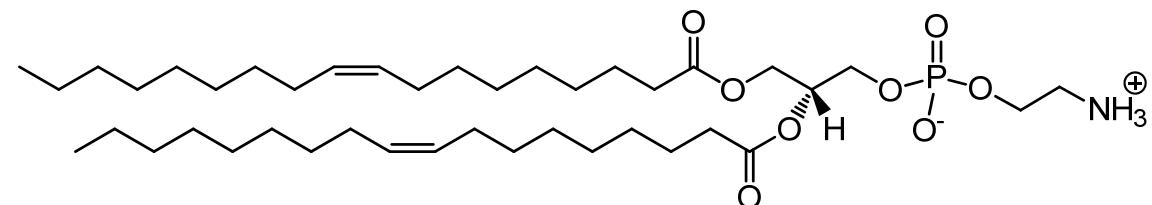


LIPOSOMES  
MIXED

DC-Chol



DOPE

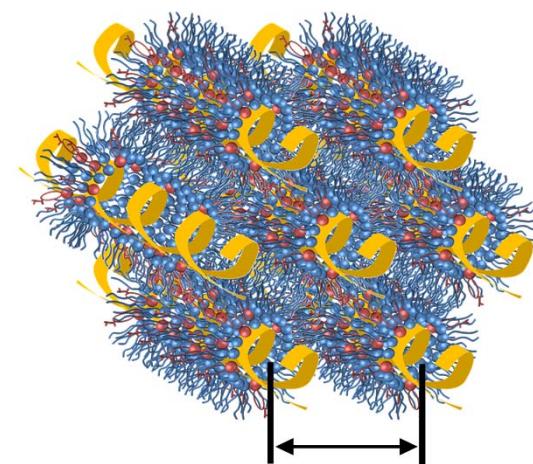
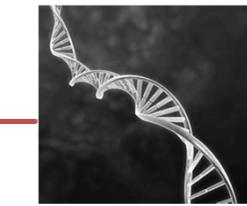
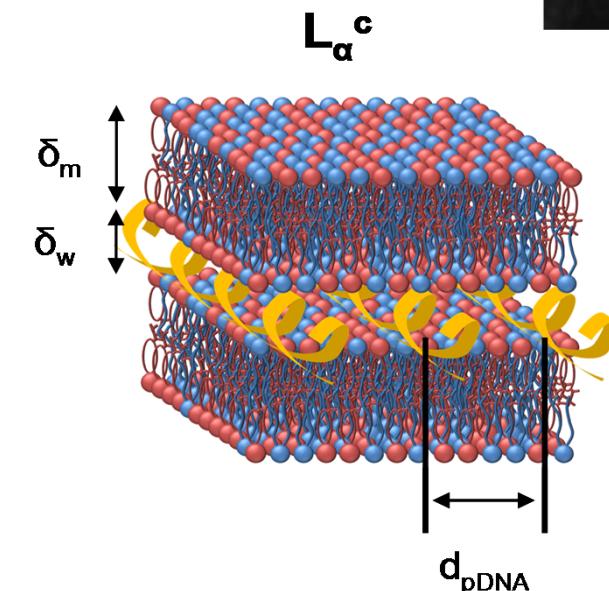
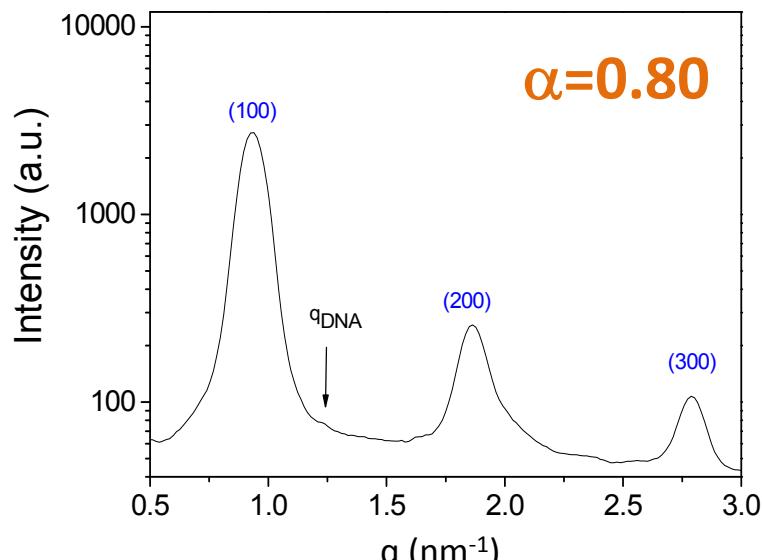


DNA

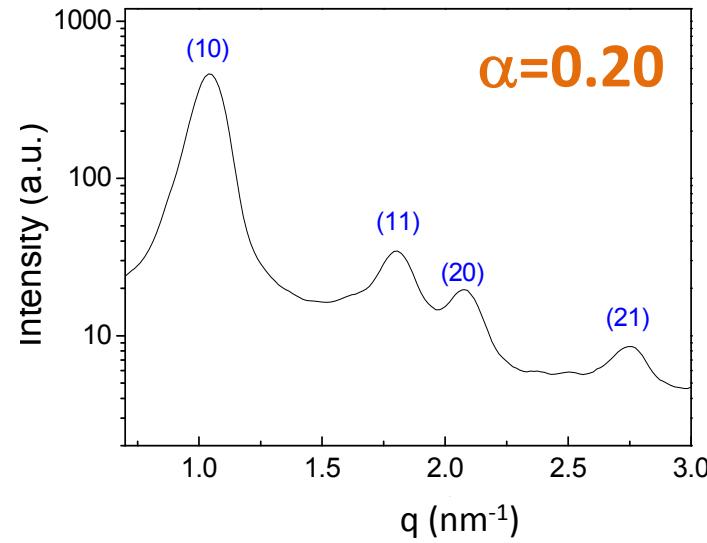
ctDNA



# Results: DC-Chol/DOPE



*Soft Matter* 2011



## MULTILAMELLAE FORMATION

**MULTILAMELLAE ANALYSIS**

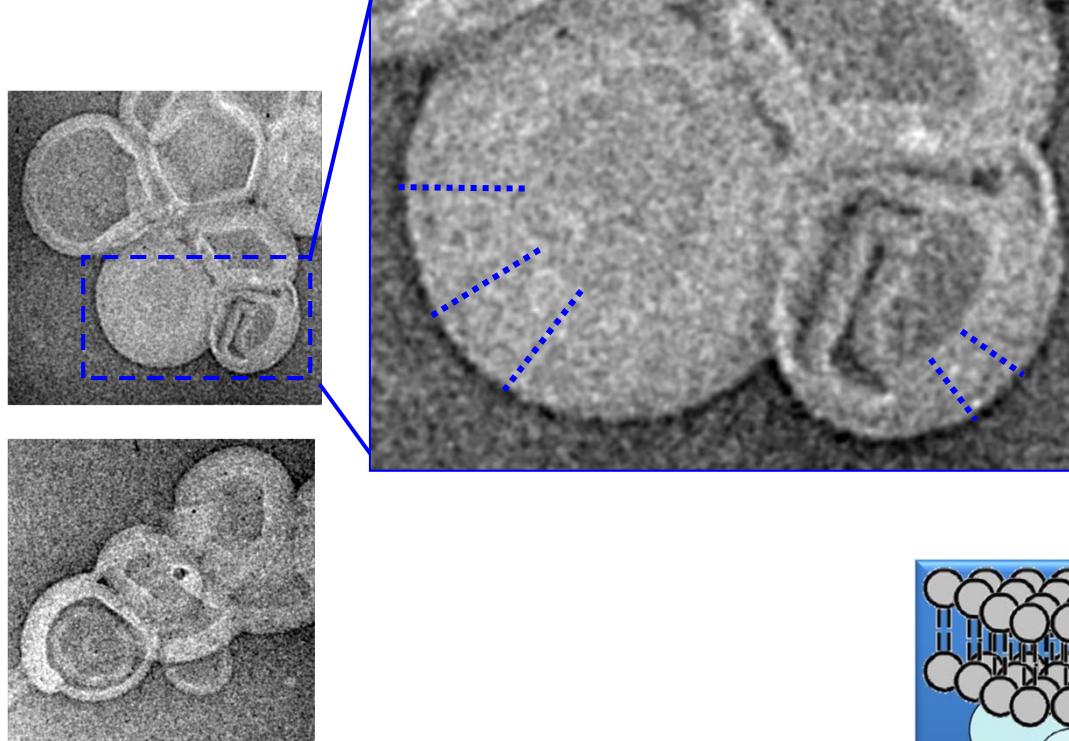


*EMAN  
Software*

- Image processing
- Noise elimination

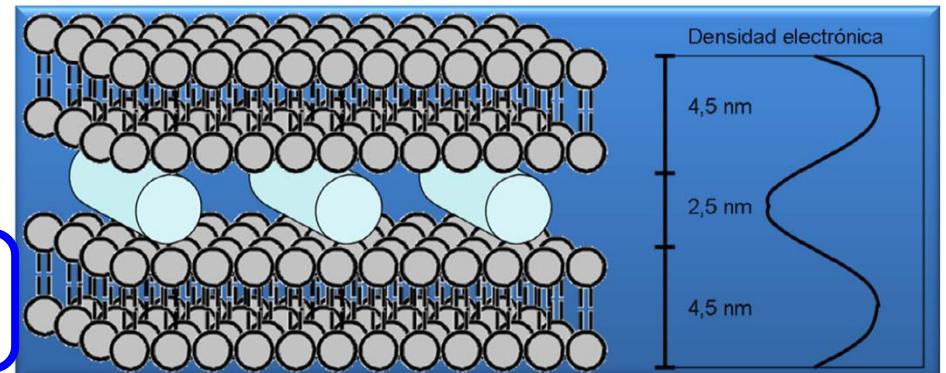
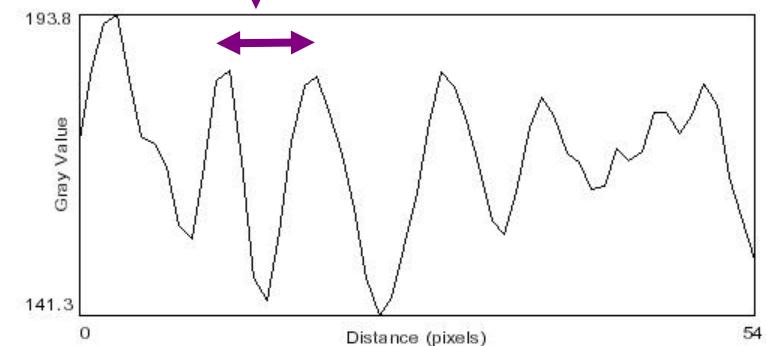
Flattened lipoplexes  
stack to a template lipoplex

DC-Chol/DOPE-DNA  
*J. Phys. Chem. B* 2009



Periodicity  
**7 nm**

**REPETITIVE  
BEHAVIOR**



$$\text{Periodicity } 7 \text{ nm} =$$

$$\text{Lipidic bilayer } 4,5 \text{ nm} +$$

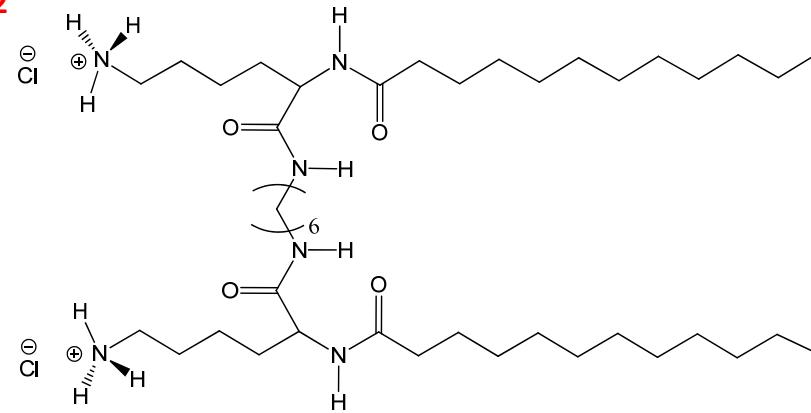
$$\text{DNA } 2,5 \text{ nm}$$

## Example 2: C<sub>6</sub>(LL)<sub>2</sub>/DOPE

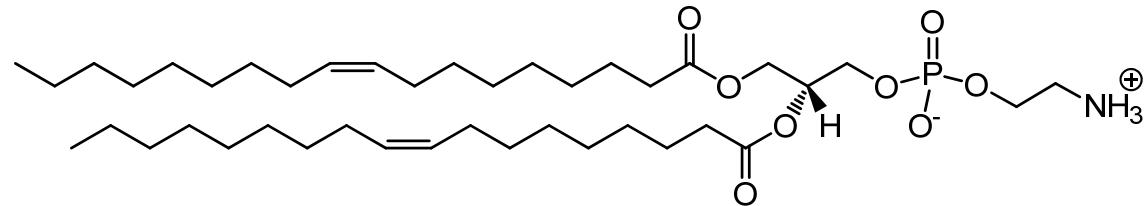


LIPOSOMES  
MIXED

C<sub>6</sub>(LL)<sub>2</sub>



DOPE

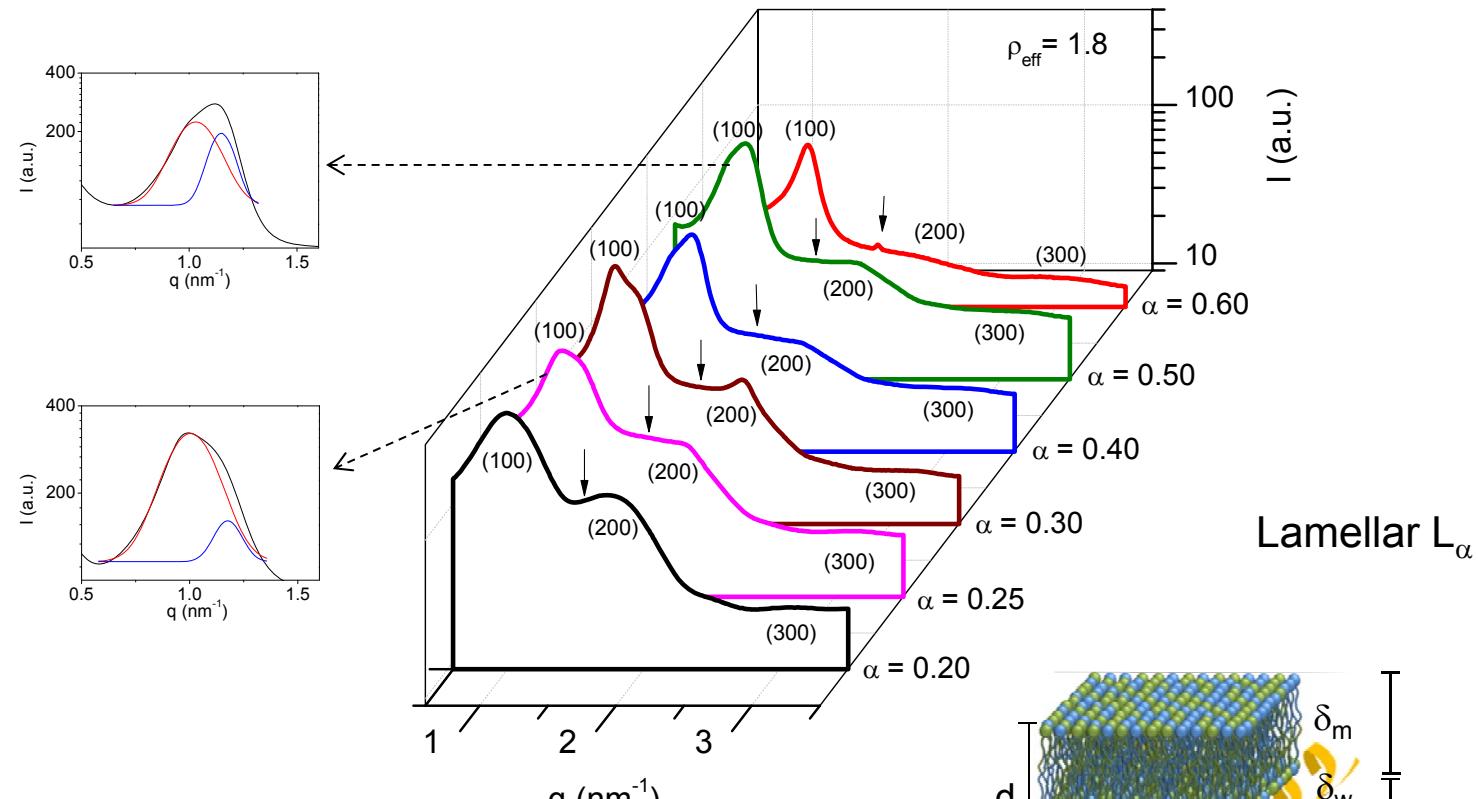


DNA

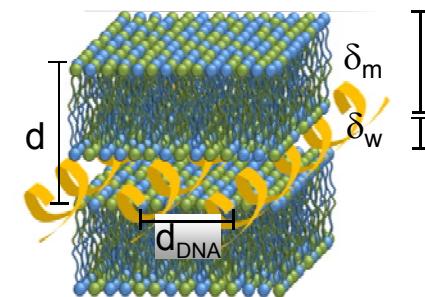
Plasmid (pDNA)  
pEGFP-C3



# Results: $C_6(LL)_2/DOPE$ -pDNA

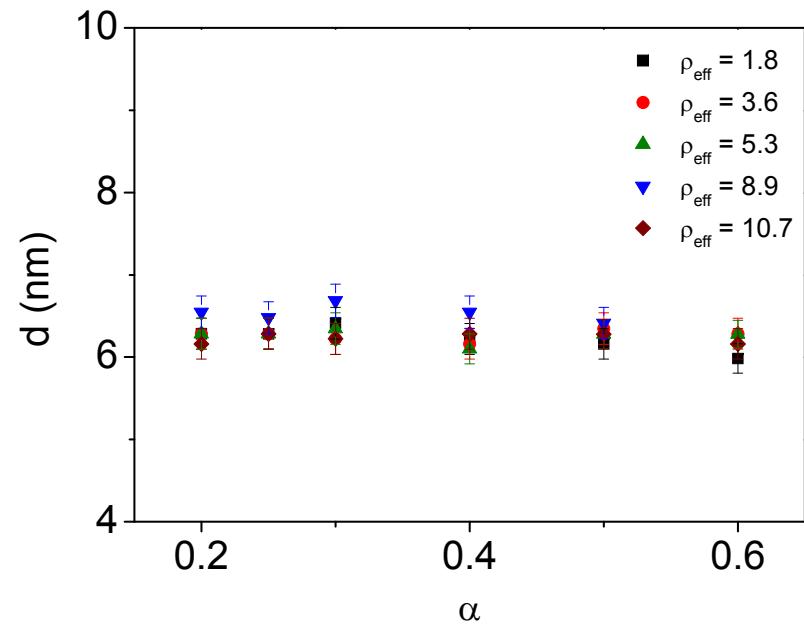


Lamellar  $L_\alpha$



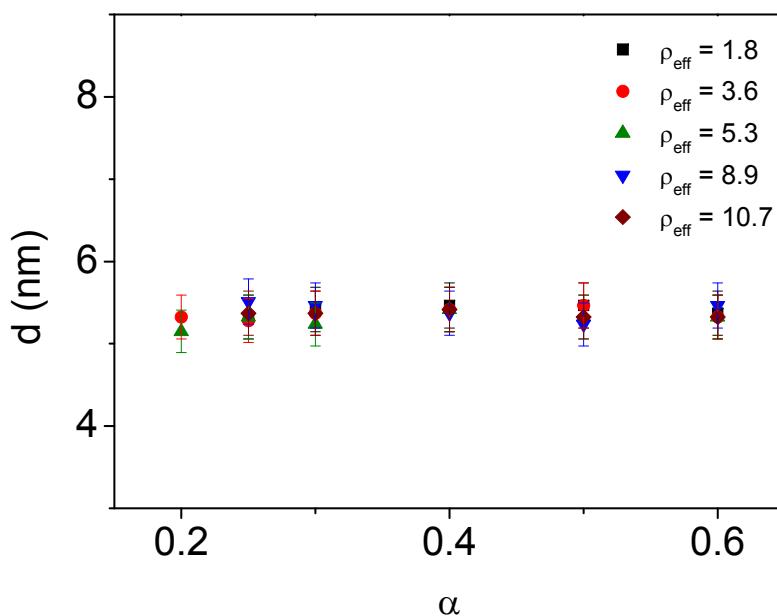
$$d = \frac{2\pi}{q_{100}}$$

# Results: $C_6(LL)_2/DOPE$ -pDNA



$$d_1 = 6.3 \pm 0.2 \text{ nm}$$

$$d_2 = 5.4 \pm 0.2 \text{ nm}$$

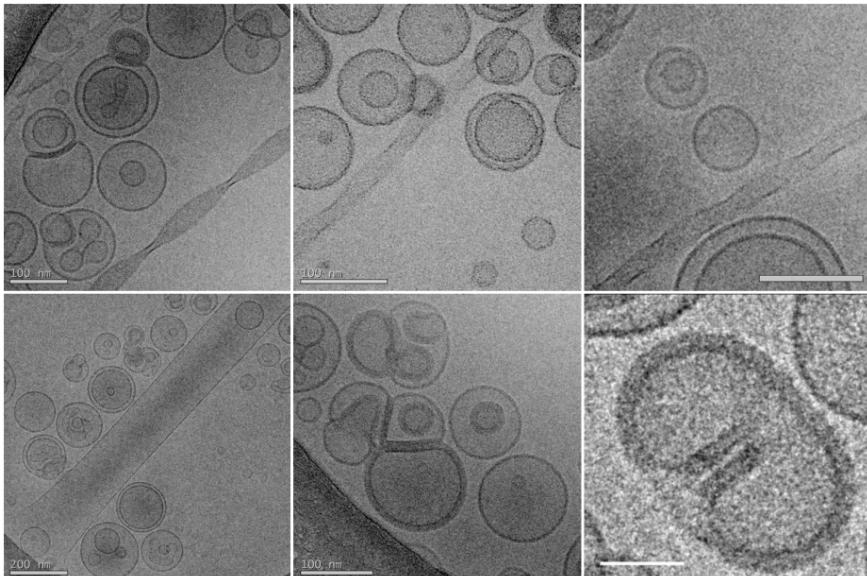


# Results: C<sub>6</sub>(LL)<sub>2</sub>/DOPE-pDNA

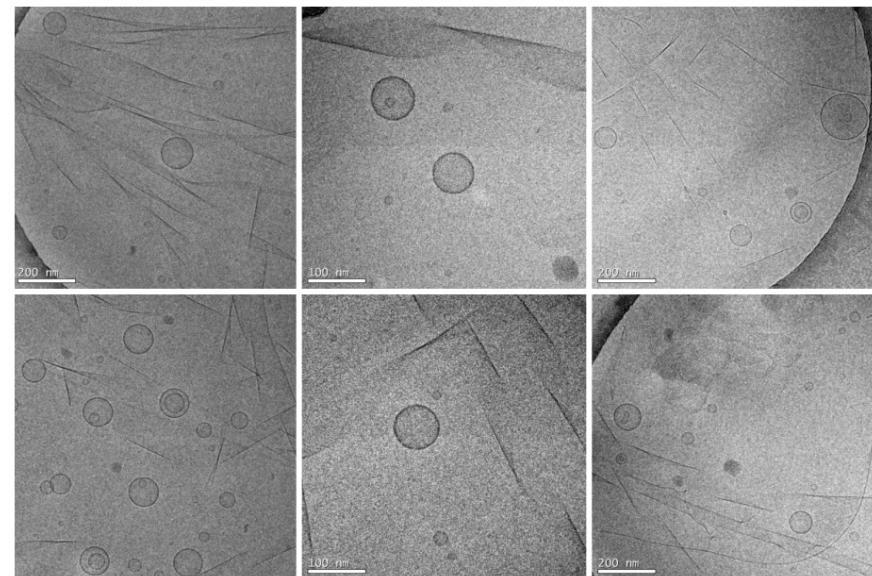


Crio-TEM

$\alpha = 0.20$



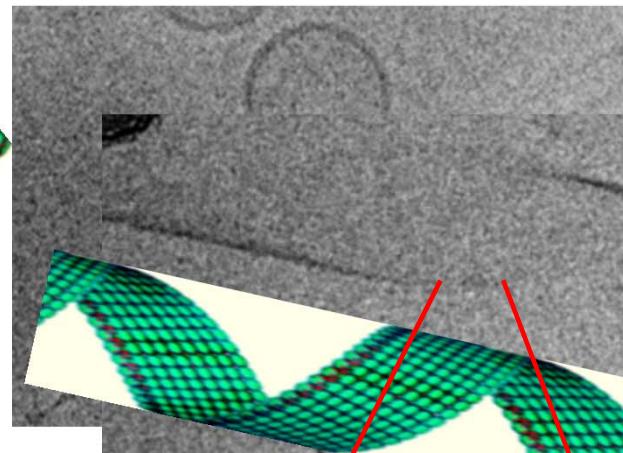
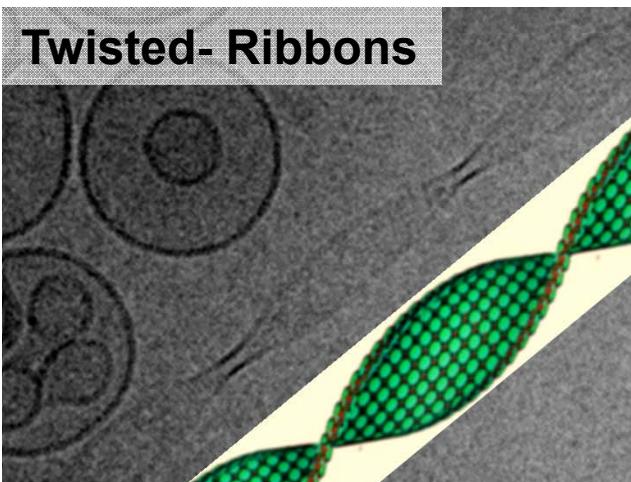
$\alpha = 0.50$



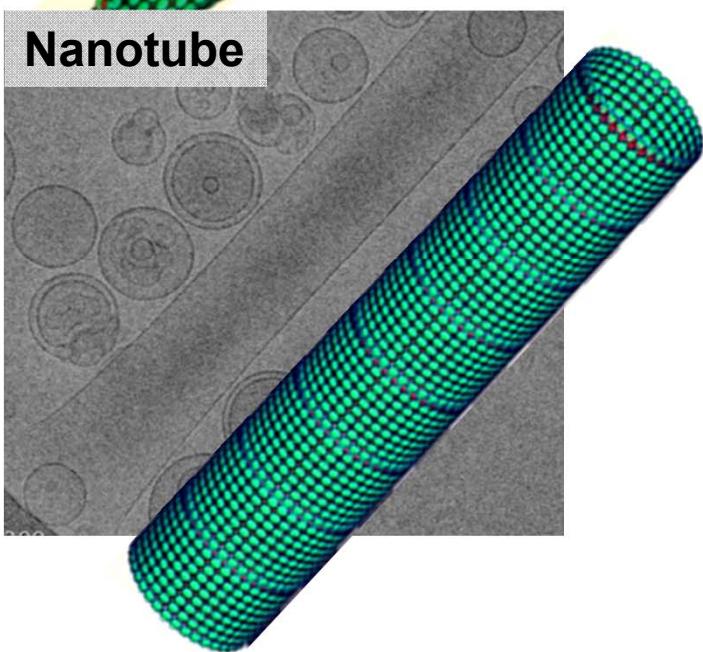
Ribbon-type

Cluster-type

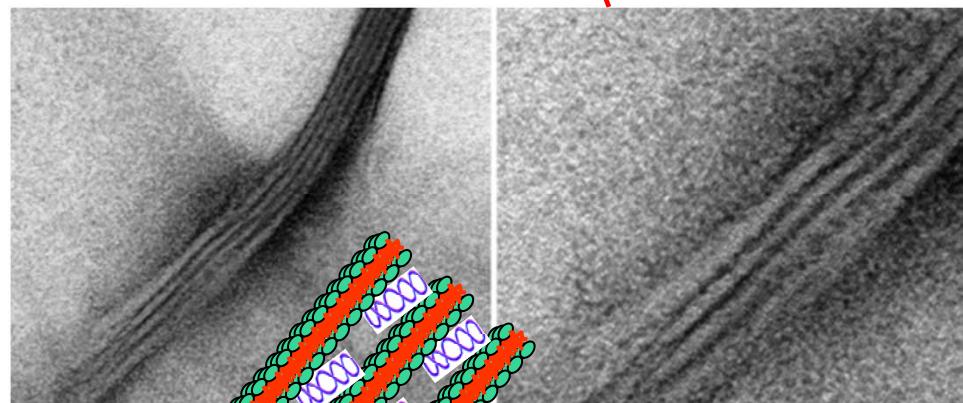
# Results: Ribbon-type structures



Coiled- Ribbons

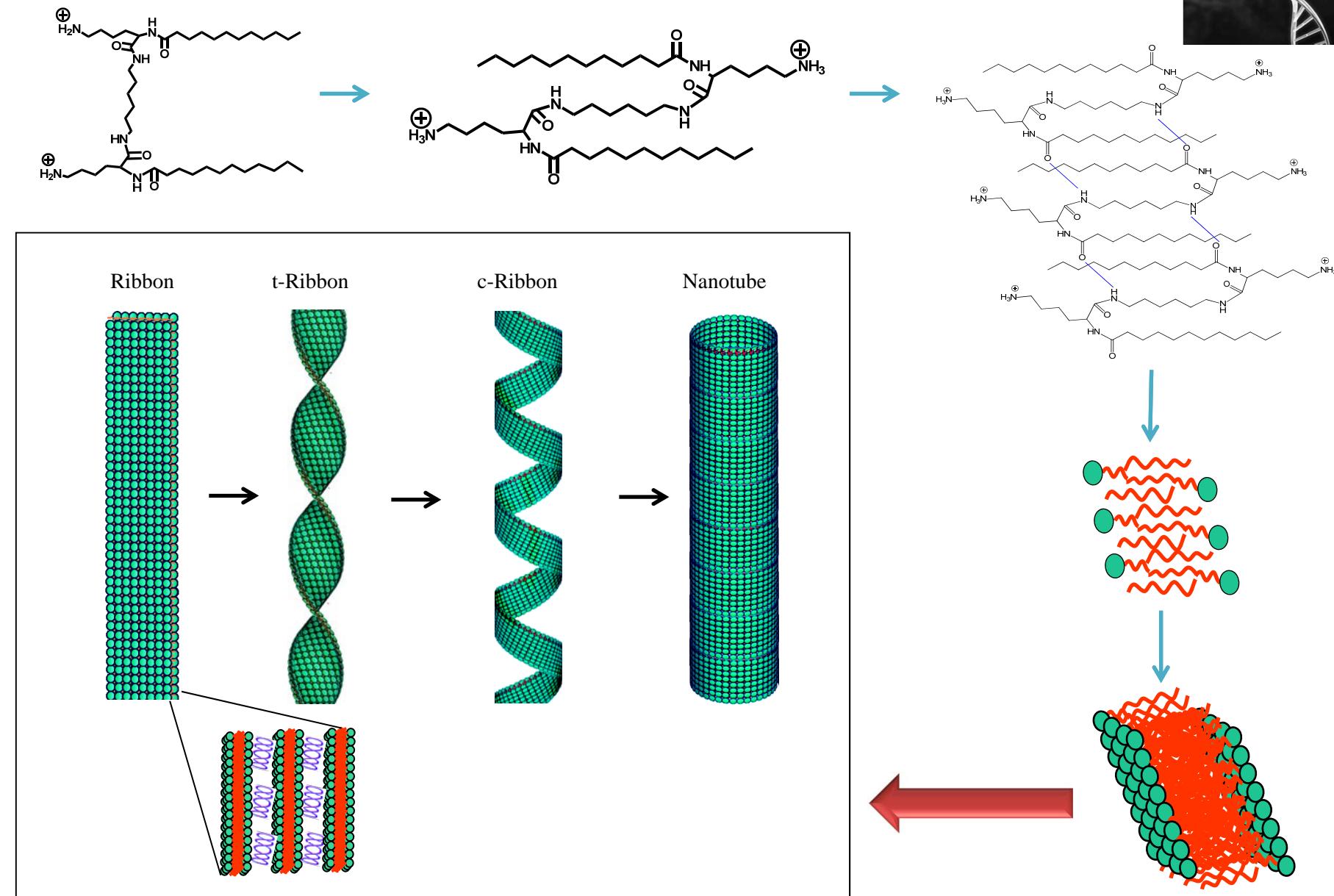


NS-TEM

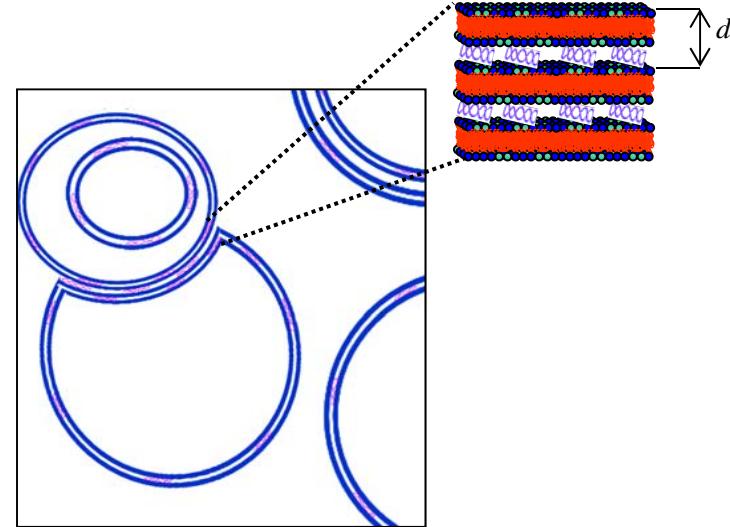
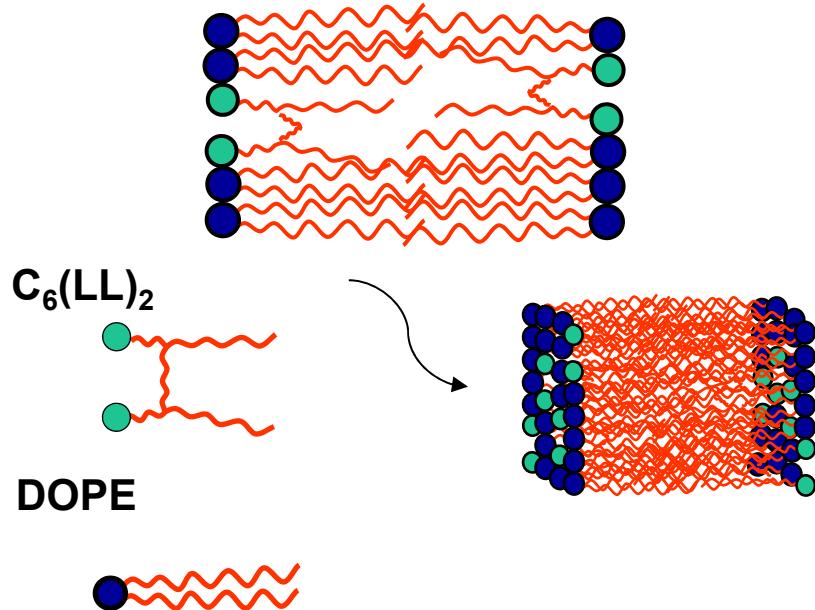
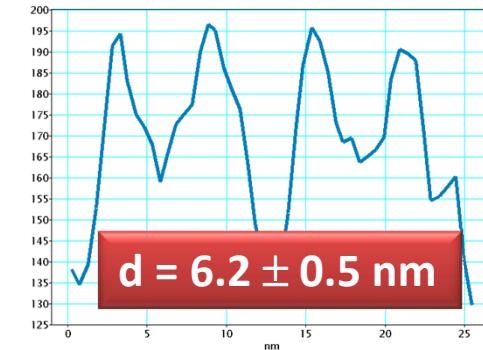
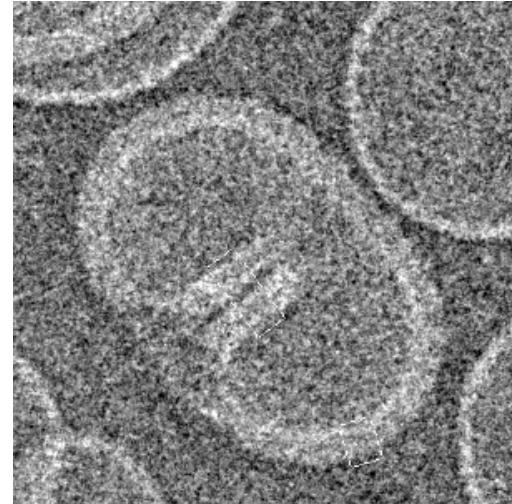
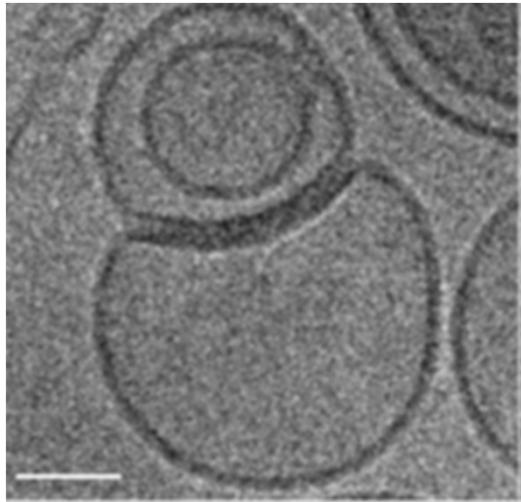


$d = 5.9 \pm 0.5 \text{ nm}$

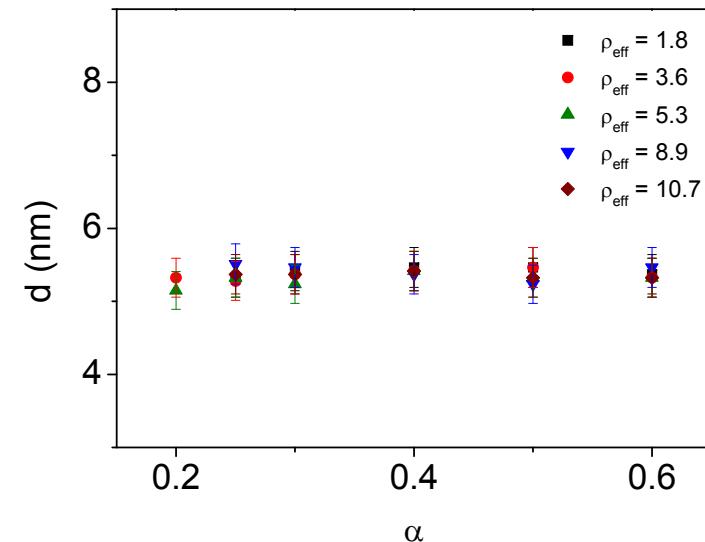
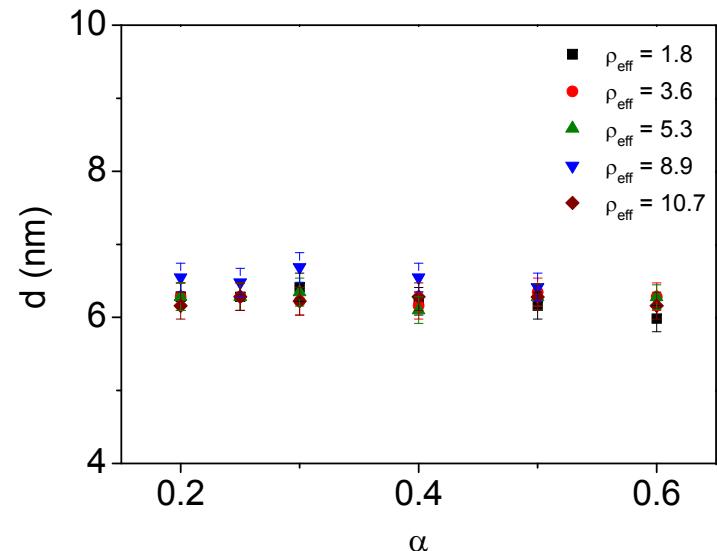
# Results: C<sub>6</sub>(LL)<sub>2</sub>/DOPE-pDNA



# Results: Cluster-type structures



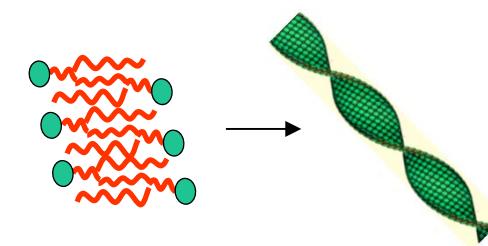
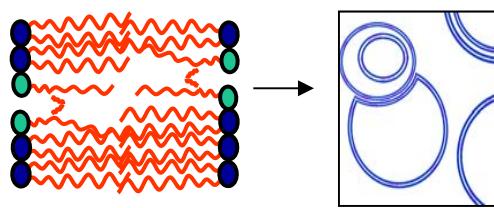
# Results: C<sub>6</sub>(LL)<sub>2</sub>/DOPE-pDNA



$$d = 6.3 \pm 0.2 \text{ nm}$$

$$d = \frac{2\pi}{q_{100}}$$

$$d = 5.4 \pm 0.2 \text{ nm}$$

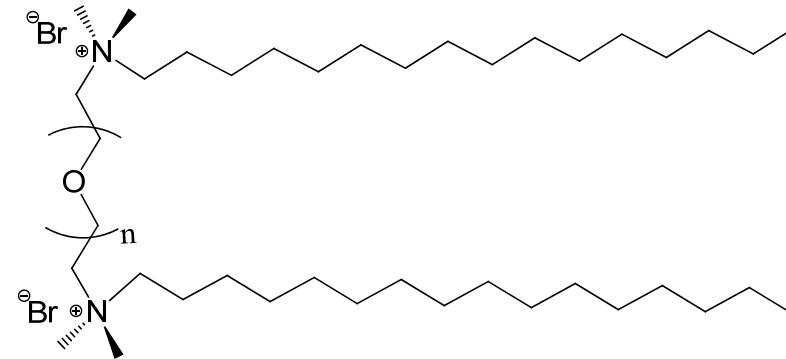


## Example 3: $(C_{16}Am)_2(C_2O)_n$ /DOPE-pDNA

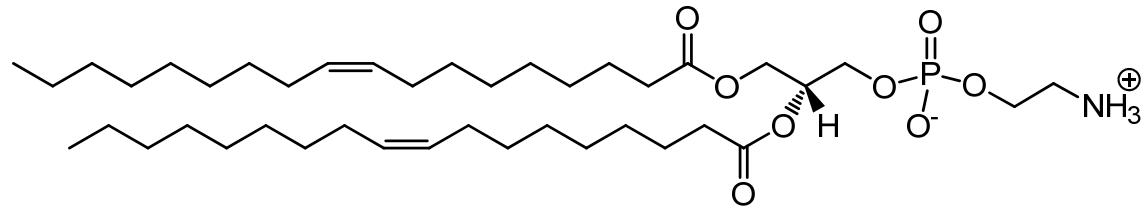


LIPOSOMES  
MIXED

$(C_{16}Am)_2(C_2O)_n$   
 $n = 1, 2 \text{ y } 3$



DOPE

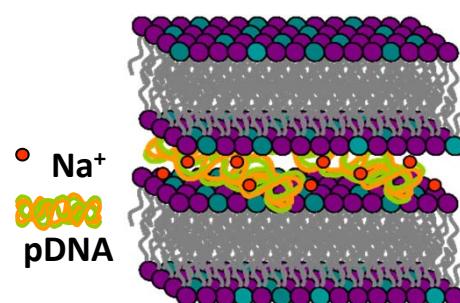
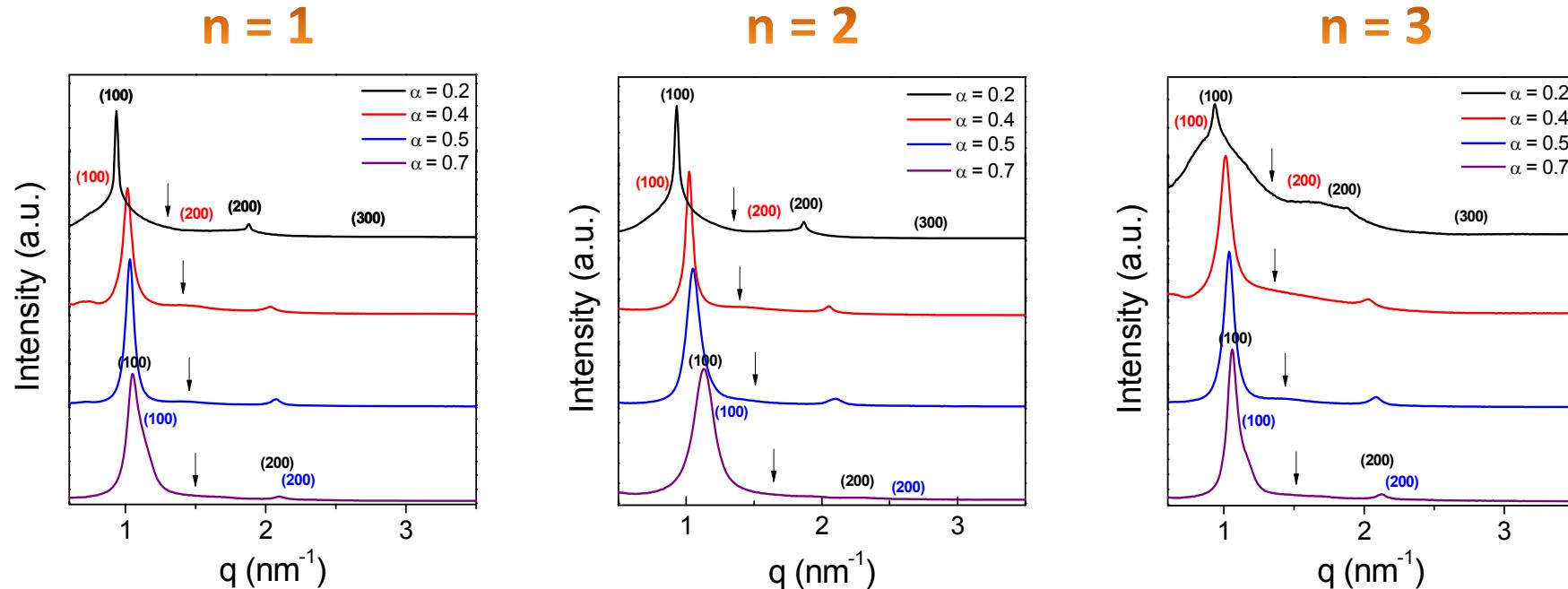


DNA

Plasmid (pDNA)  
pEGFP-C3



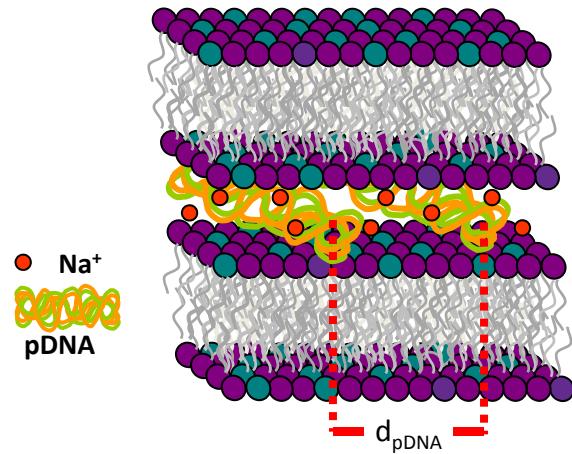
# Results: $(C_{16}Am)_2(C_2O)_n/DOPE$ -pDNA



- At all  $\alpha$  compositions, diffractograms show a Bragg peaks corresponding to a lamellar structure ( $L_\alpha$ ).
- De-mixing phenomena is observed at  $\alpha = 0.2$  and  $0.7$
- Broad and smoothed peak correspond to DNA-DNA correlation

# Results: $(C_{16}Am)_2(C_2O)_n/DOPE$ -pDNA

Interlamellar distance



$$d \approx 7.0 - 6.0 \text{ nm}$$

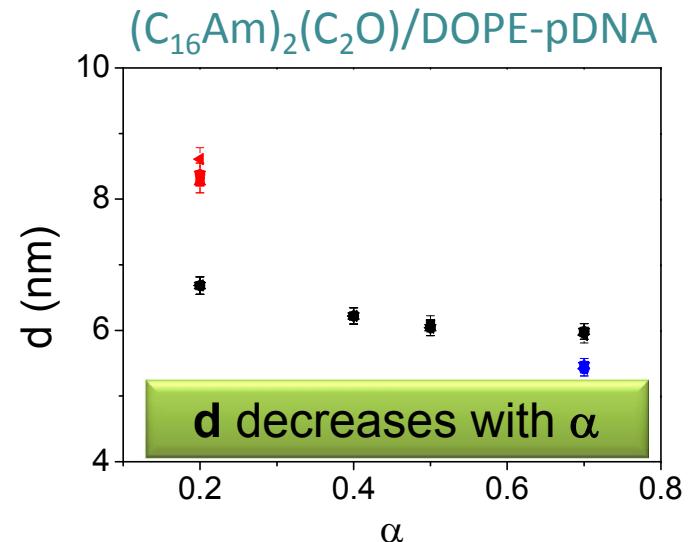
$$d = d_m + d_w$$

$$d_m \approx 4.5 - 4.0 \text{ nm}$$

$$d_w \approx 2.5 - 2.0 \text{ nm}$$

$$d = \frac{2\pi}{q_{100}}$$

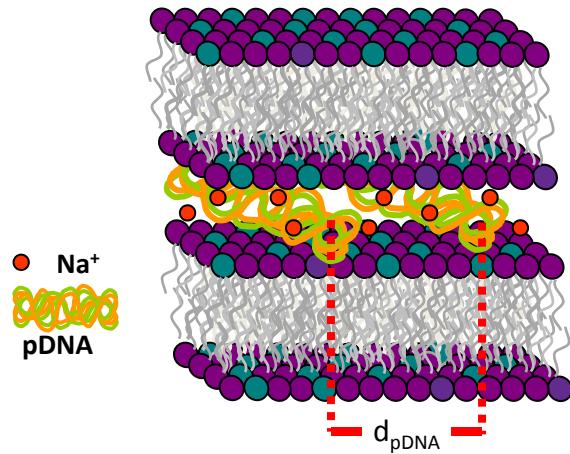
$$d_{pDNA} = \frac{2\pi}{q_{pDNA}}$$



- A thinner bilayer ( $d_m$ ) as  $\alpha$  increases because the length of the GCL is slightly shorter than that of DOPE.
- The decrease of the monolayer ( $d_w$ ) at higher  $\alpha$  because the surface charge area in the liposomes is increased resulting in a higher compaction of pDNA.

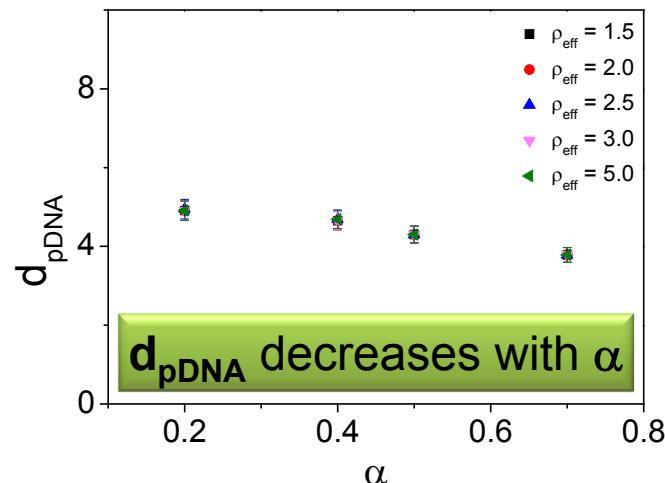
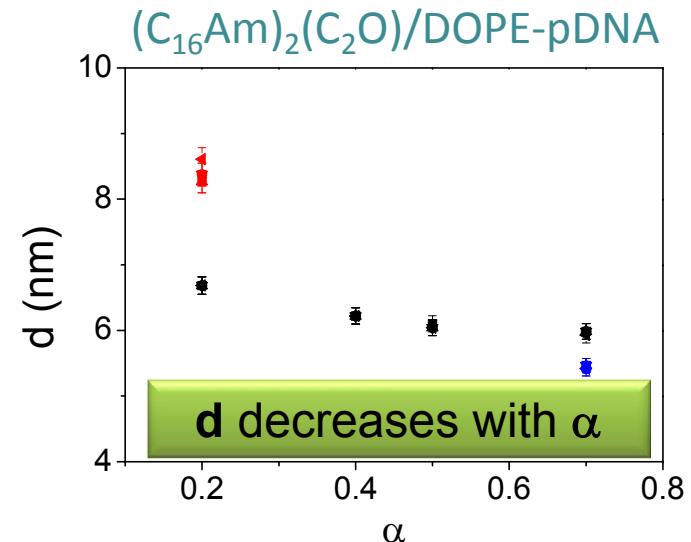
# Results: $(C_{16}Am)_2(C_2O)_n/DOPE$ -pDNA

Interlamellar distance



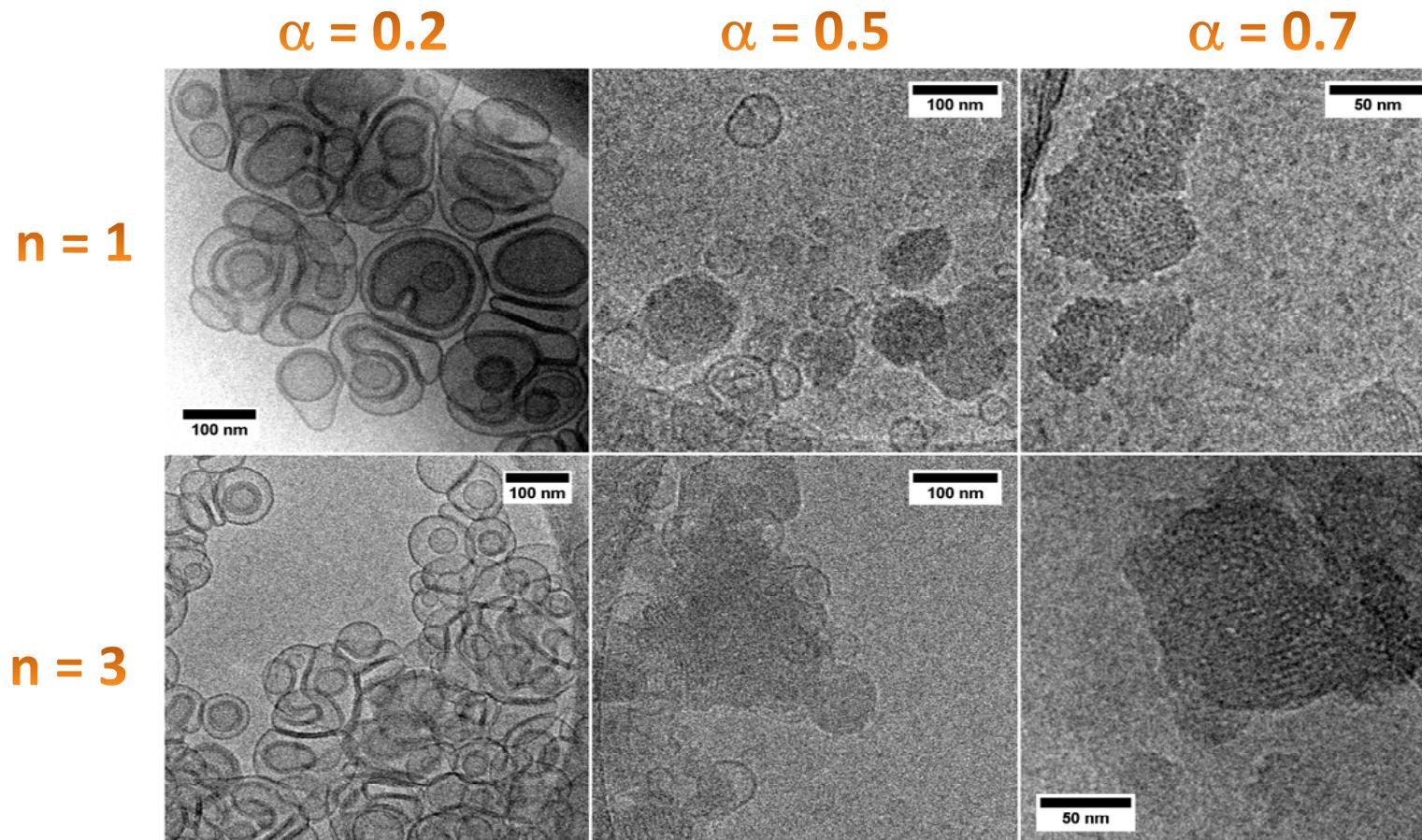
$$d = \frac{2\pi}{q_{100}}$$

$$d_{pDNA} = \frac{2\pi}{q_{pDNA}}$$



DND-DNA distance are reduce because the increase on the surface charge area

# Results: $(C_{16}Am)_2(C_2O)_n/DOPE$ -pDNA

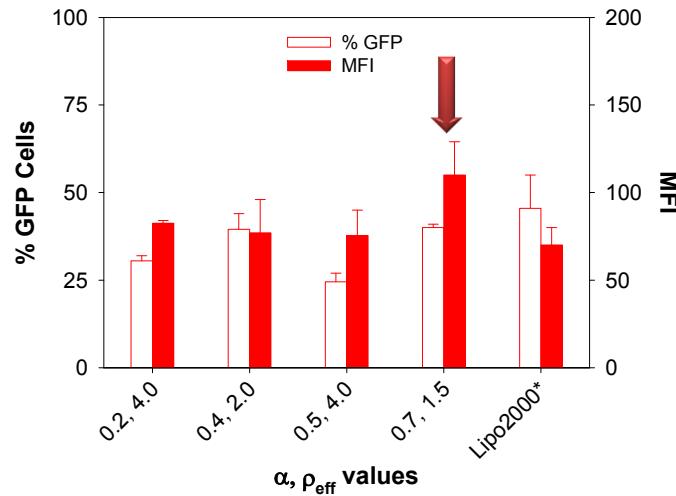


When  $\alpha$  increase the structure  
become more compact

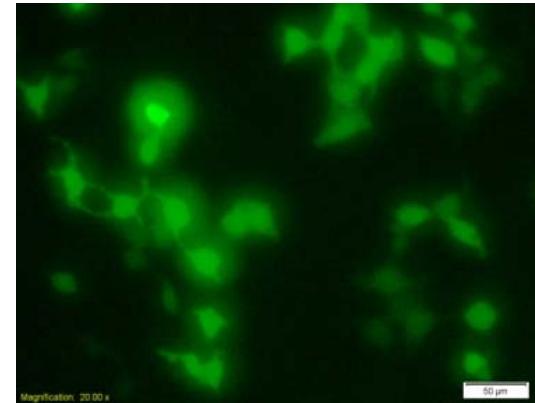
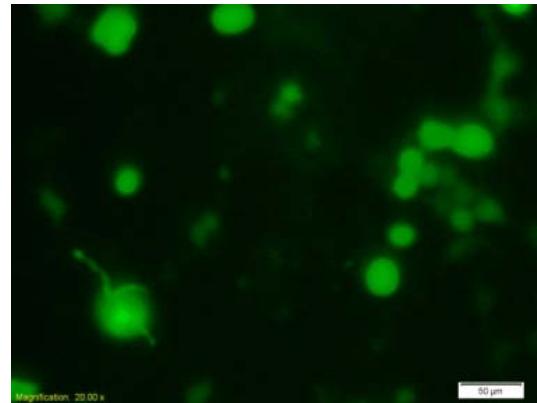
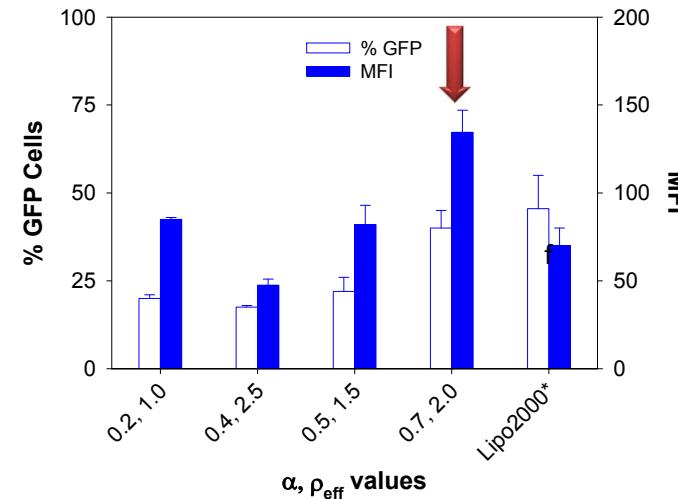
# Results: $(C_{16}Am)_2(C_2O)_n$ /DOPE-pDNA

## Transfection Results

$n = 1$

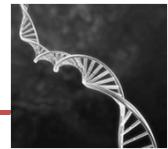


$n = 3$

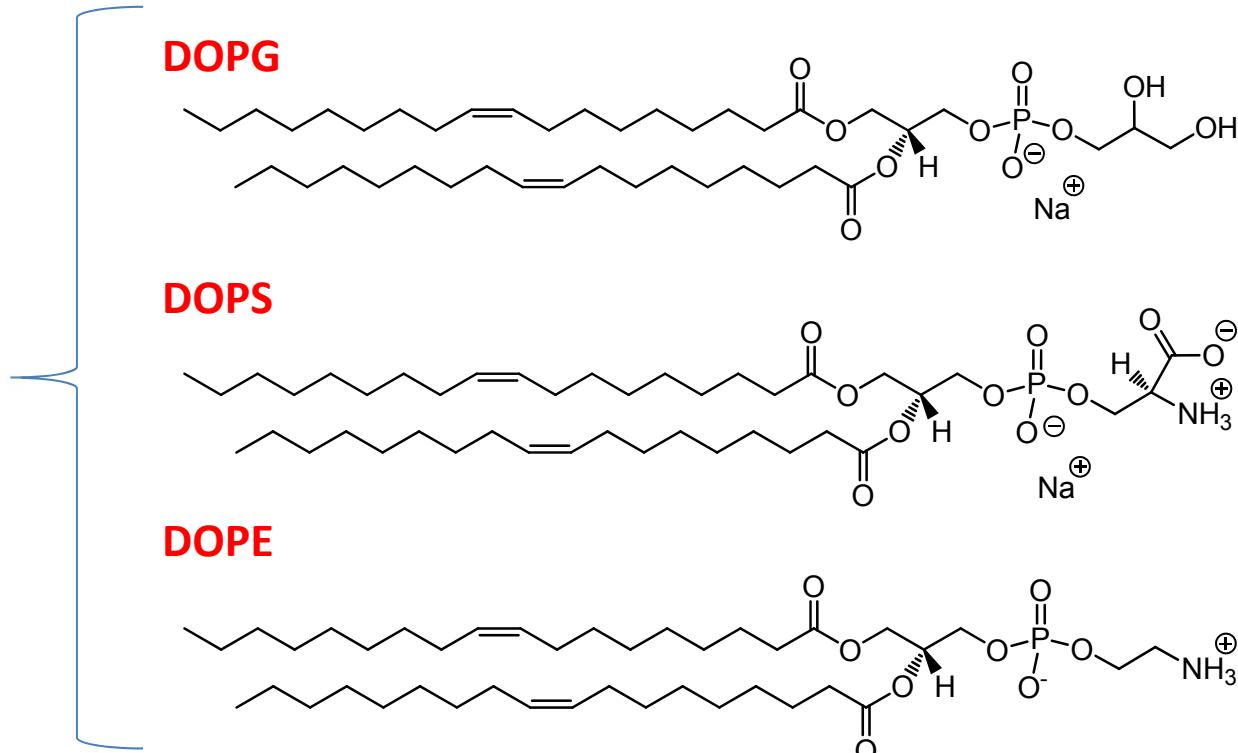


When the structure becomes more compact  
transfection better results are obtained

## Example 4: Anionic Lipoplexes



LIPOSOMES  
MIXED



DNA

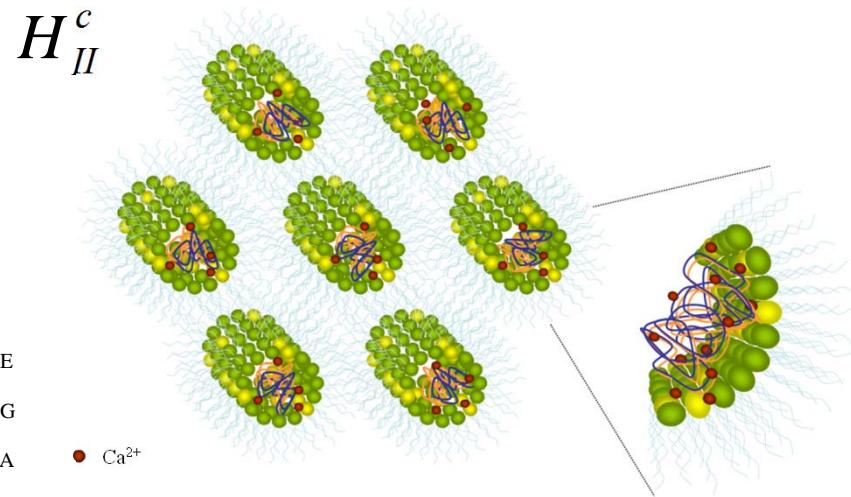
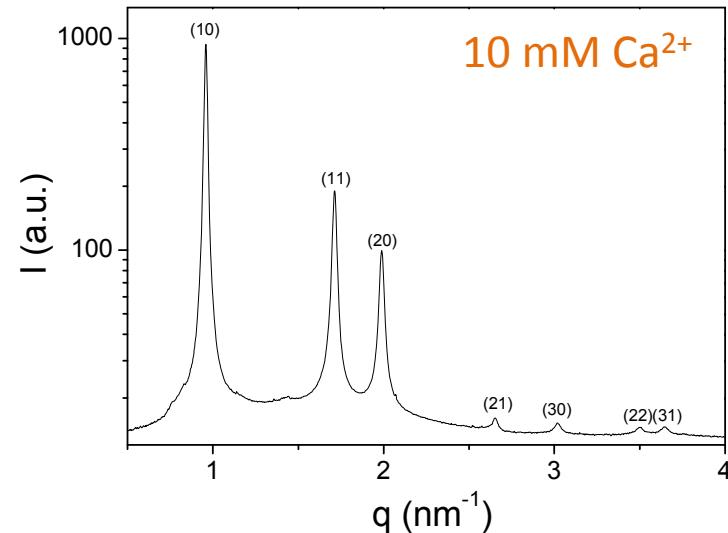
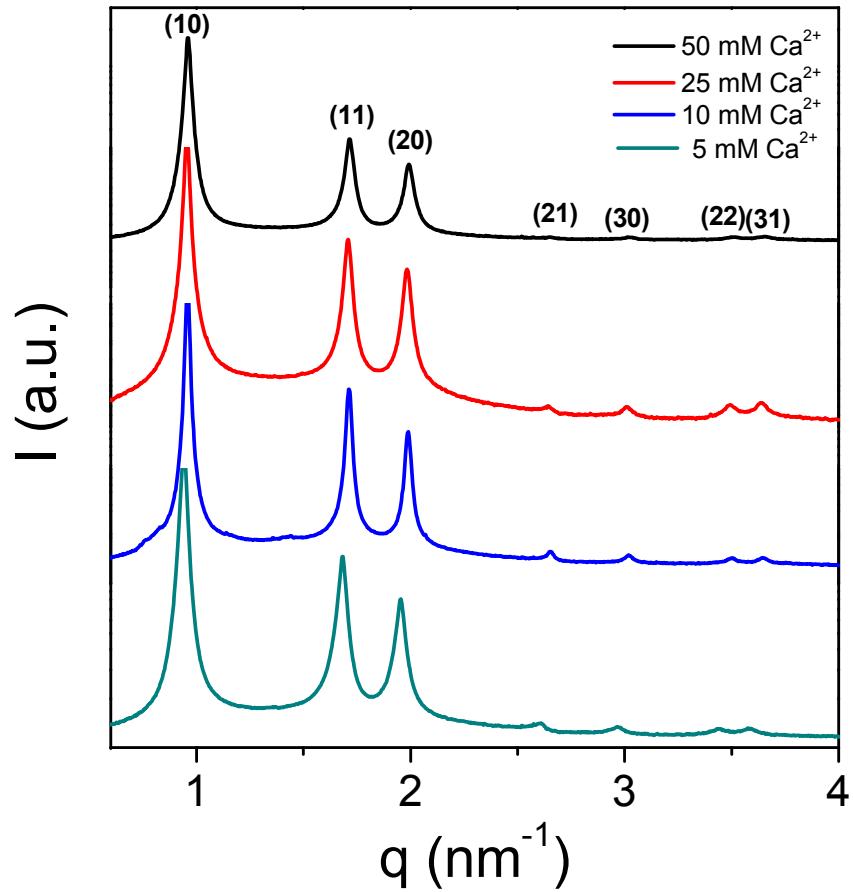


DIVALENT CATION:  $\text{Ca}^{2+}$

# Results: DOPG/DOPE- $\text{Ca}^{2+}$ -pDNA



$\alpha = 0.20$

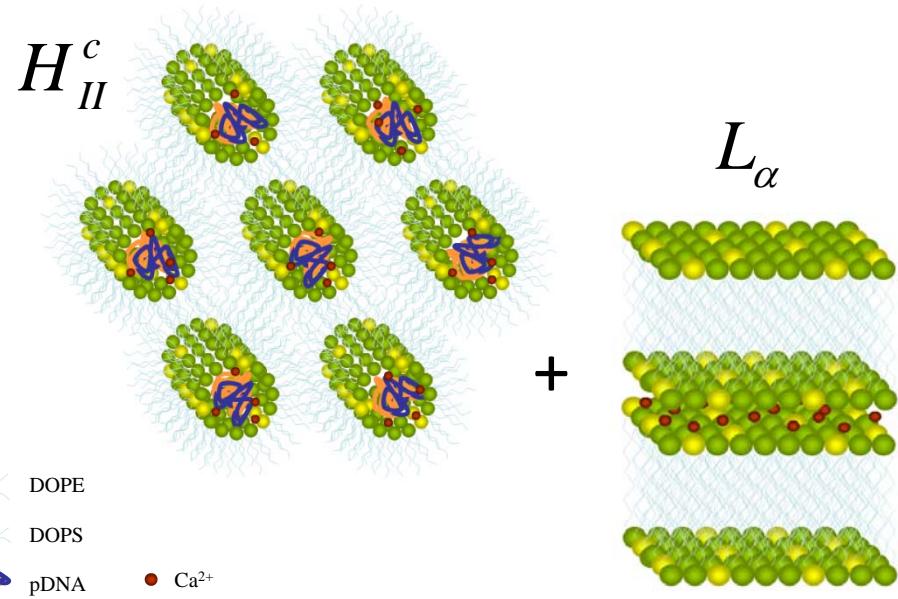
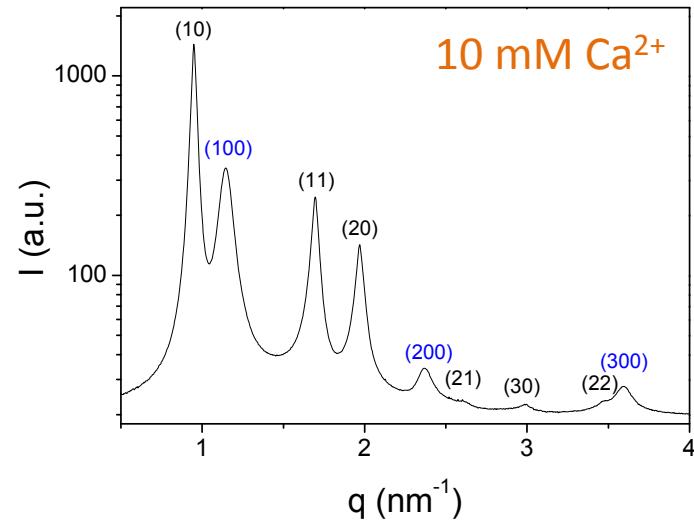
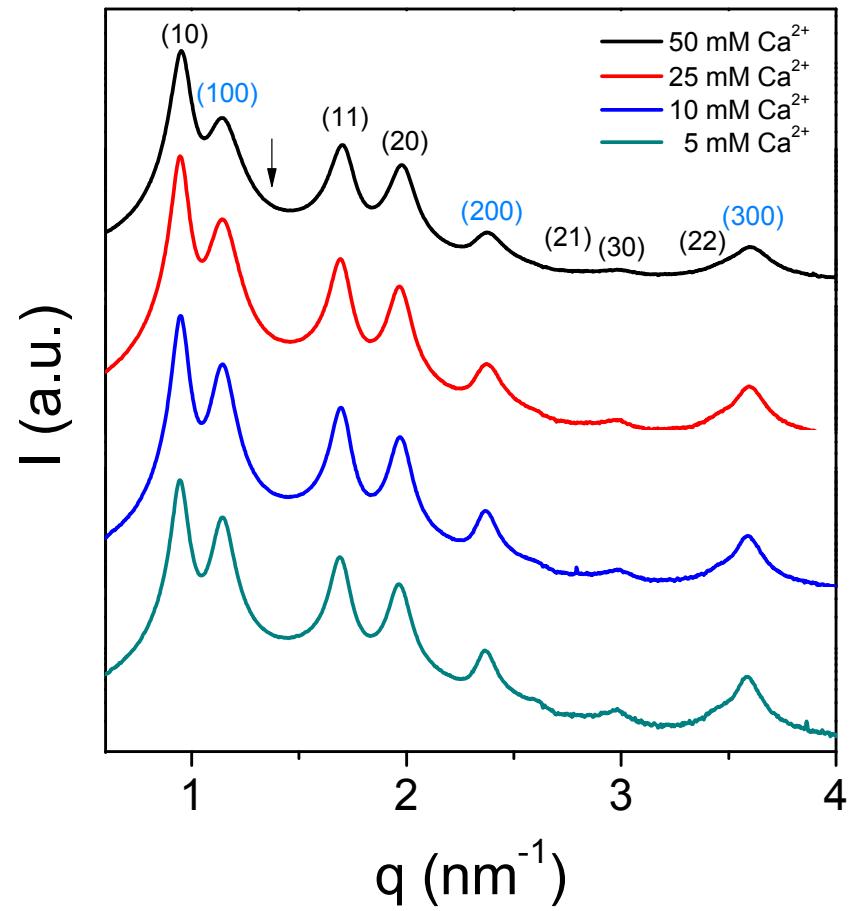


Langmuir, 2014

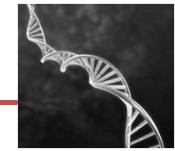
# Results: DOPS/DOPE- $\text{Ca}^{2+}$ -pDNA



$\alpha = 0.20$

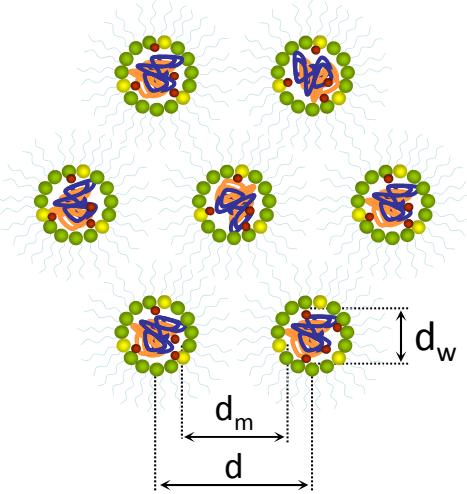


# Results: AL/DOPE- $\text{Ca}^{2+}$ -pDNA

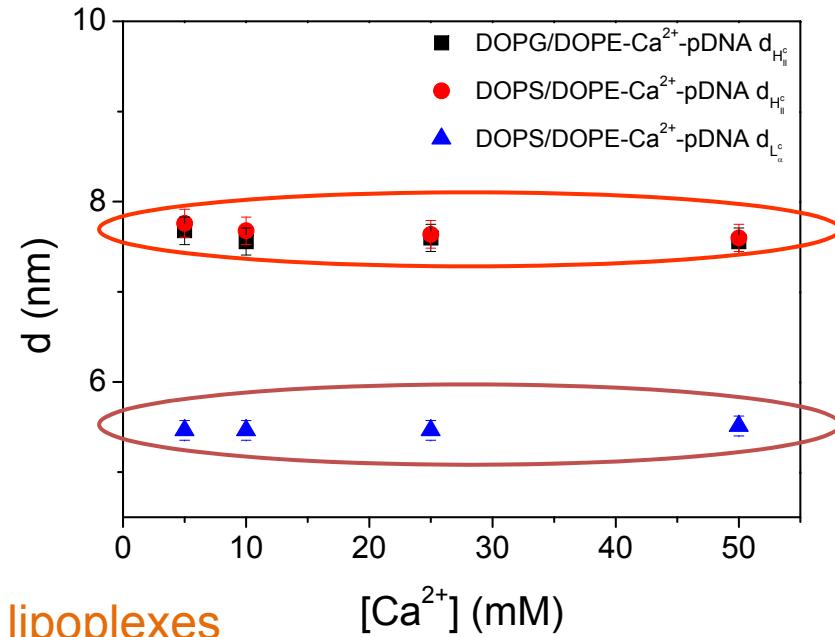


## Interlayer distance

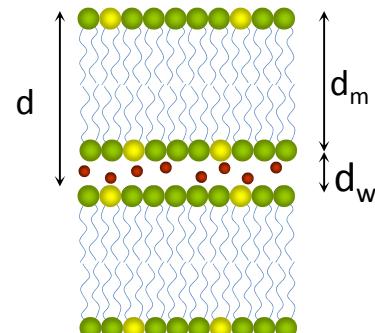
$H_{II}^c \rightarrow$  DOPG and DOPS lipoplexes



$d \approx 7.6 \text{ nm}$   
 $d_m \approx 4.5 \text{ nm}$   
 $d_w \approx 3.1 \text{ nm}$

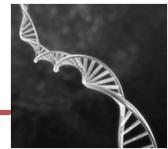


$L_\alpha \rightarrow$  DOPS lipoplexes

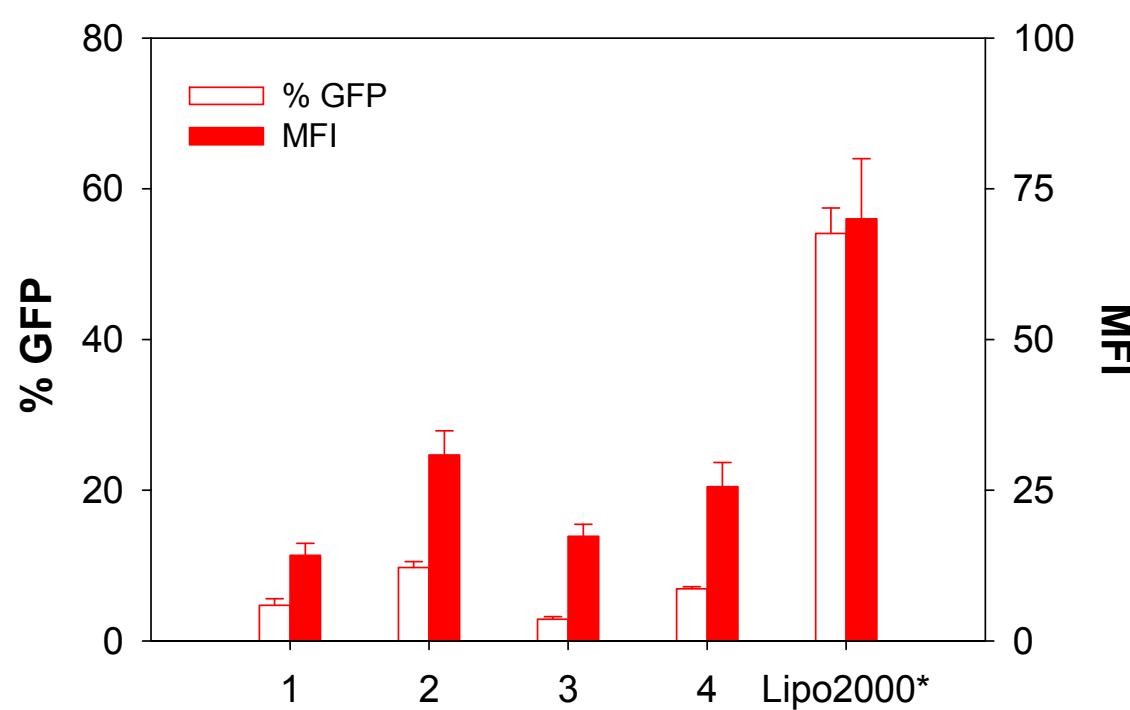


$d \approx 5.5 \text{ nm}$   
 $d_m \approx 4.5 \text{ nm}$   
 $d_w \approx 1.0 \text{ nm}$

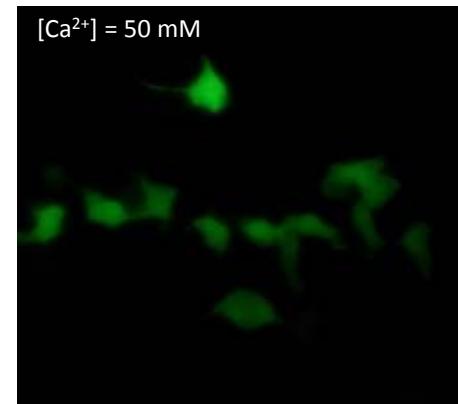
## Results: AL/DOPE- $\text{Ca}^{2+}$ -pDNA



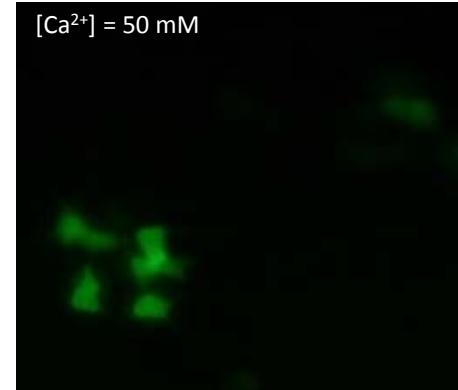
### Transfection Efficiency (TE): HEK293T cells



DOPG/DOPE- $\text{Ca}^{2+}$ -pDNA



DOPS/DOPE- $\text{Ca}^{2+}$ -pDNA



AL lipoplexes mediated by  $\text{Ca}^{2+}$  are available to transfect the pEGFP-c3 plasmid in HEK293T cells.

# Conclusions

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The long term objective of the studies described of liquid crystalline liposome-nucleic acid complexes, is to develop a fundamental science base, which will lead to the design and synthesis of optimal carriers of DNA and siRNA for gene delivery, gene silencing, and disease control.

The structure-function data obtained from such studies should eventually allow one to begin the formidable task of a rational design of these self assemblies for enhanced nucleic acid delivery applications from the ground up beginning with chemical structure of the lipids and the correct compositions in mixtures including functional DNA and RNA.

# Acknowledge



Thank you!



**ALBA**

A time-resolved small-angle x-ray scattering pattern recorded for a scaffold strand.  
Courtesy of Geoffrey Mitchell Centre for Rapid and Sustainable Product Development (CDRSP), Portugal.

**SAXS Workshop**

1 October 2014  
ALBA Synchrotron  
UTC timezone