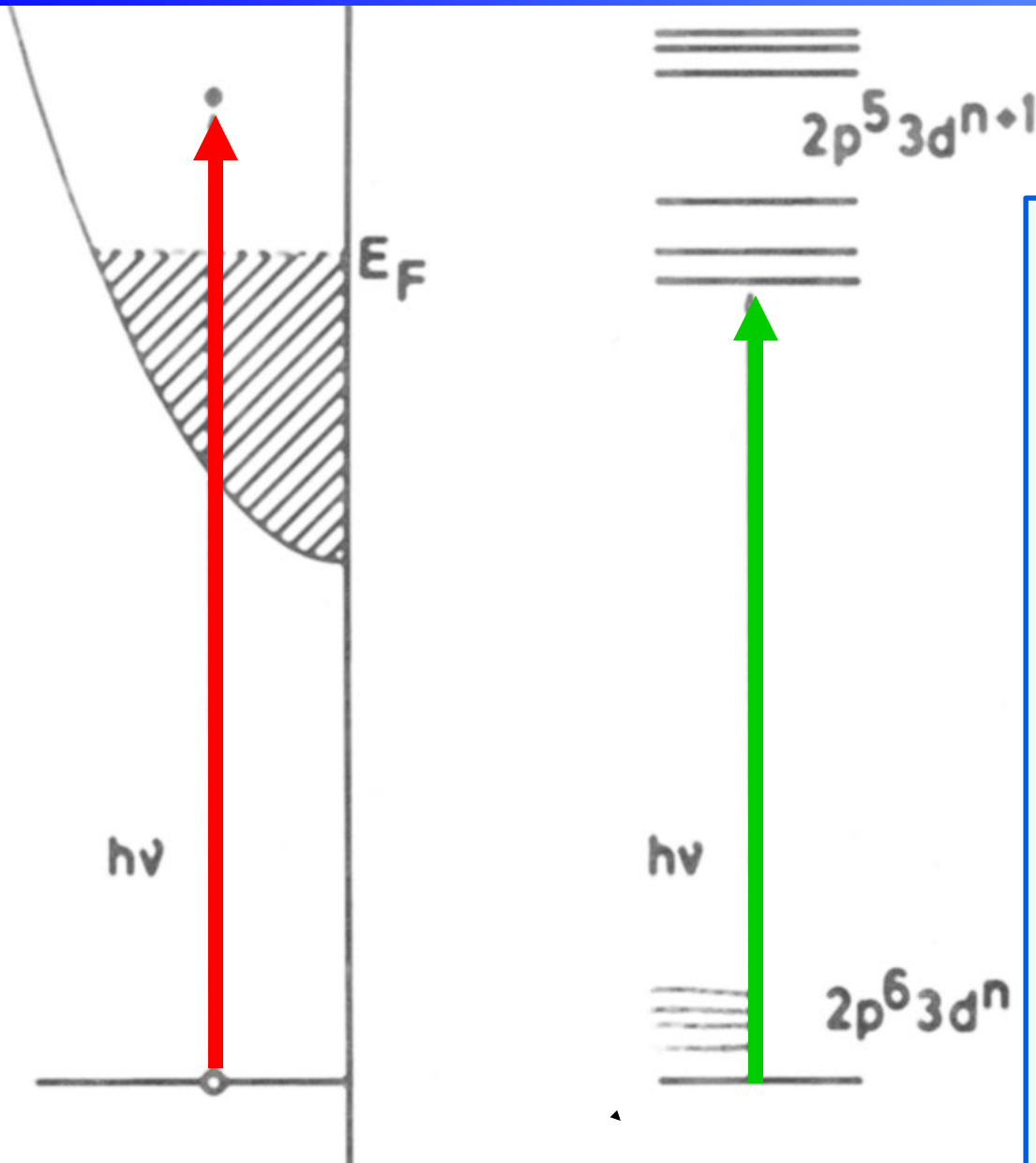


XAS: spectral shape



1-particle:

1s edges

(DFT + core hole +U)

many-particle:

open shell systems

(CTM4XAS)

Multiplet calculations (semi-empirical)

ATOMIC

valence e-e interactions F_{dd}
core-valence e-e F_{pd} G_{pd}
core & valence spin-orbit ζ

4f, 5f
3d ions

SYMMETRY

crystal field $10Dq$, Ds , Dt
molecular field, M or H
e-e screening κ

ionic 3d
(4d, 5d)

Tuesday

Lecture on ground states (CTM4DOC):
Hunds rules and Tanabe-Sugano diagrams

Multiplet calculations (semi-empirical)

ATOMIC

valence e-e interactions F_{dd}
core-valence e-e F_{pd} G_{pd}
core & valence spin-orbit ζ

4f, 5f
3d ions

SYMMETRY

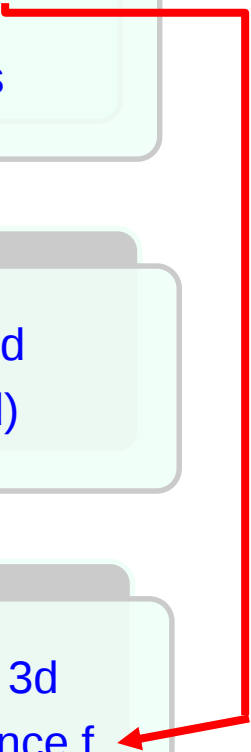
crystal field $10Dq$, Ds , Dt
molecular field, M or H
e-e screening κ

ionic 3d
(4d, 5d)

BONDING

charge transfer Δ , U , Q
hopping T_{Γ}

covalent 3d
mixed valence f



Multiplet calculations (first-principle)

ATOMIC

valence e-e interactions F_{dd}
core-valence e-e F_{pd} G_{pd}
core & valence spin-orbit ζ

4f, 5f
3d ions

SYMMETRY

crystal field $10Dq$, D_s , D_t
molecular field, M or H
e-e screening κ

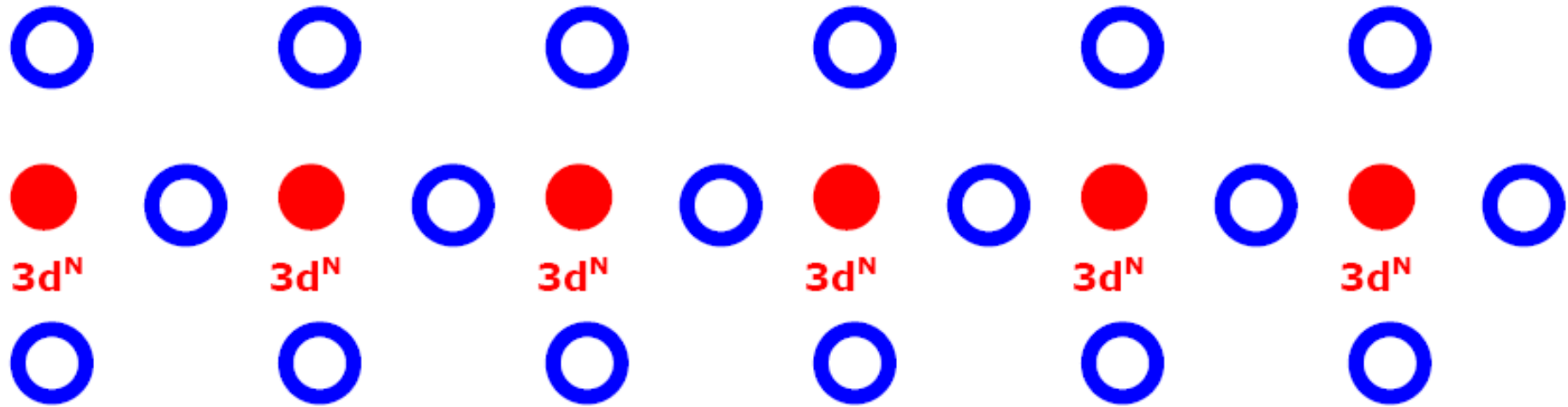
ionic 3d
(4d, 5d)

BONDING

charge transfer Δ , U , Q
hopping T_{Γ}

covalent 3d
mixed valence f

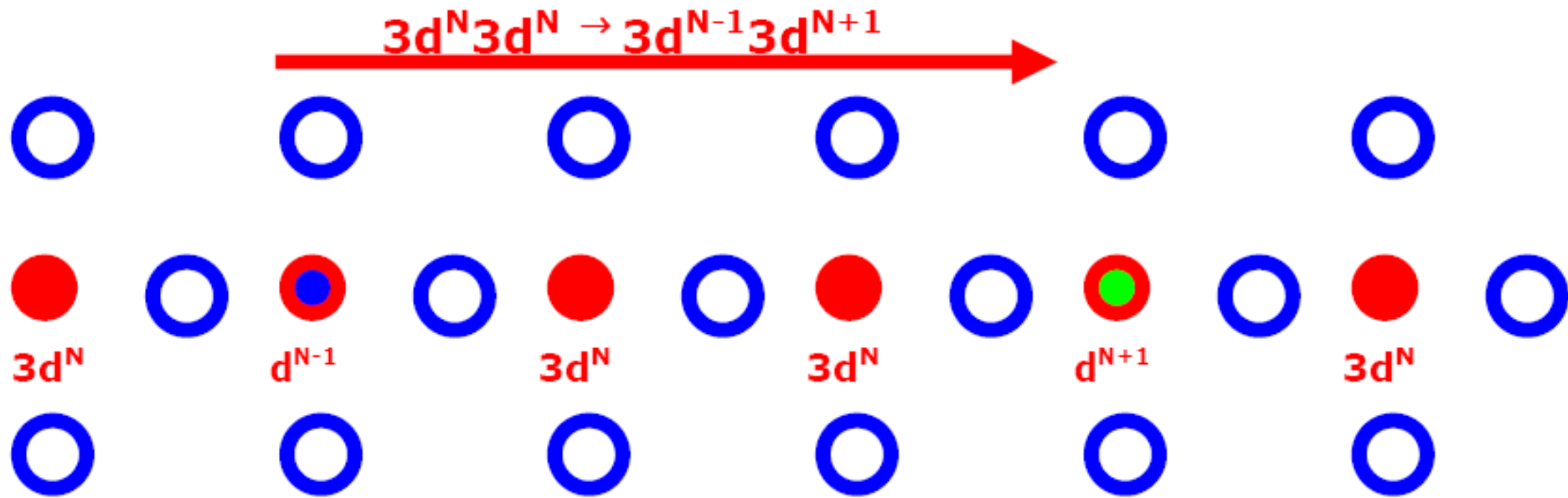
Charge transfer effects



Ground state of a transition metal system
 $3d^N$ at every site

Charge fluctuations

Charge transfer effects



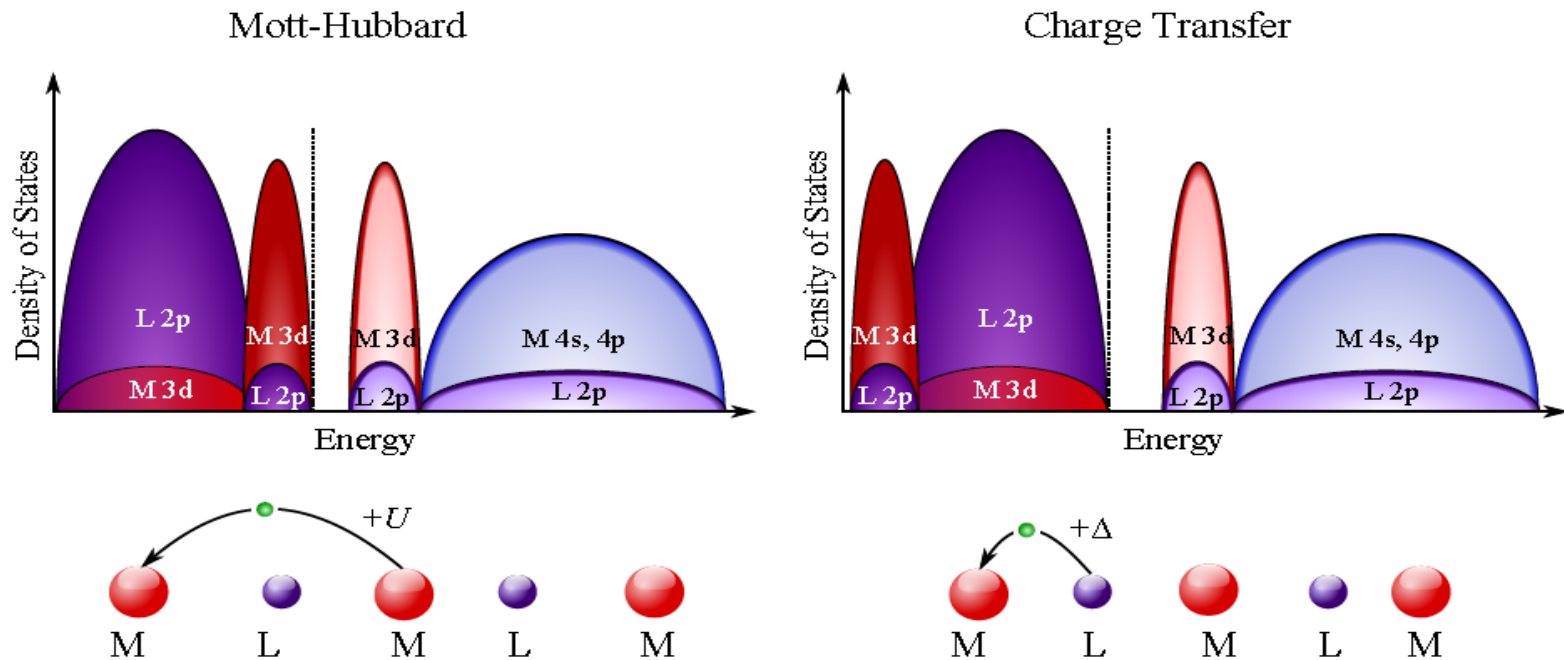
Hubbard U for a $3d^8$ ground state:

$$U = E(3d^7) + E(3d^9) - E(3d^8) - E(3d^8)$$

Ligand-to-Metal Charge Transfer (LMCT):

$$\Delta = E(3d^9 \underline{L}) - E(3d^8)$$

Charge transfer effects



Hubbard U for a $3d^8$ ground state:

$$U = E(3d^7) + E(3d^9) - E(3d^8) - E(3d^8)$$

Ligand-to-Metal Charge Transfer (LMCT):

$$\Delta = E(3d^9 \underline{L}) - E(3d^8)$$

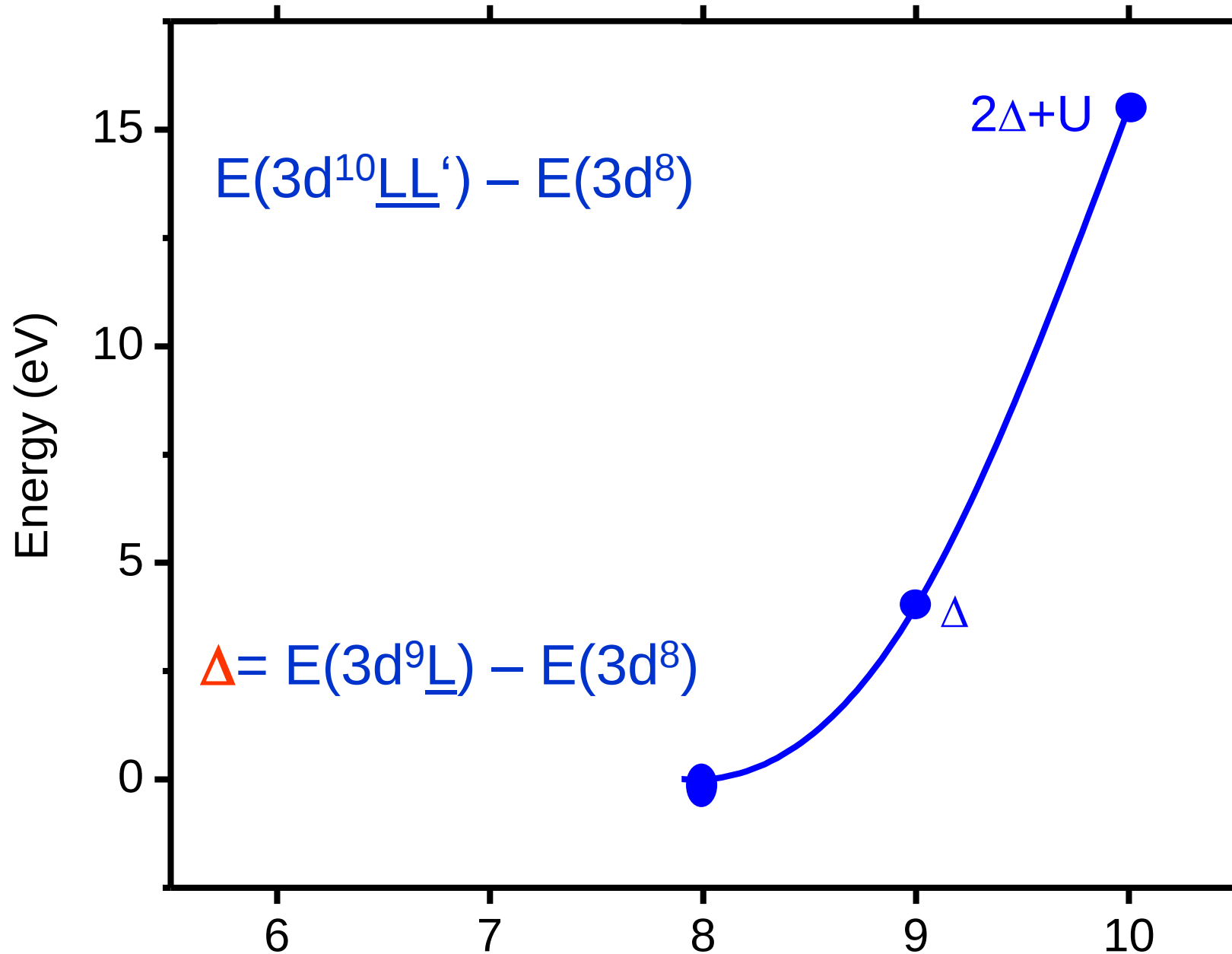
Charge transfer effects

Main screening mechanism in XAS and XPS:
Ligand-to-metal charge transfer

Charge transfer energy Δ is important for XAS/XPS

Hubbard U is NOT very important for XAS/XPS

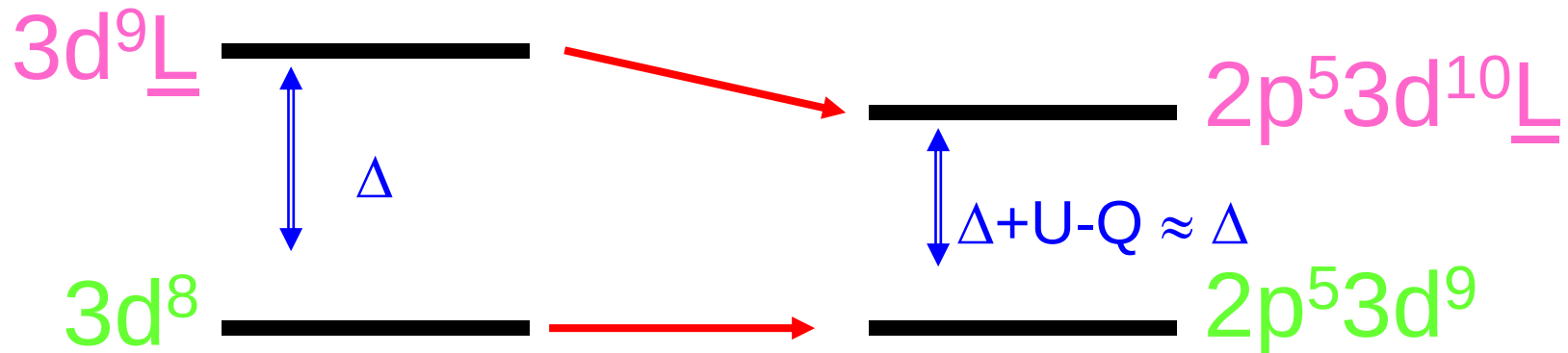
Charge transfer effects



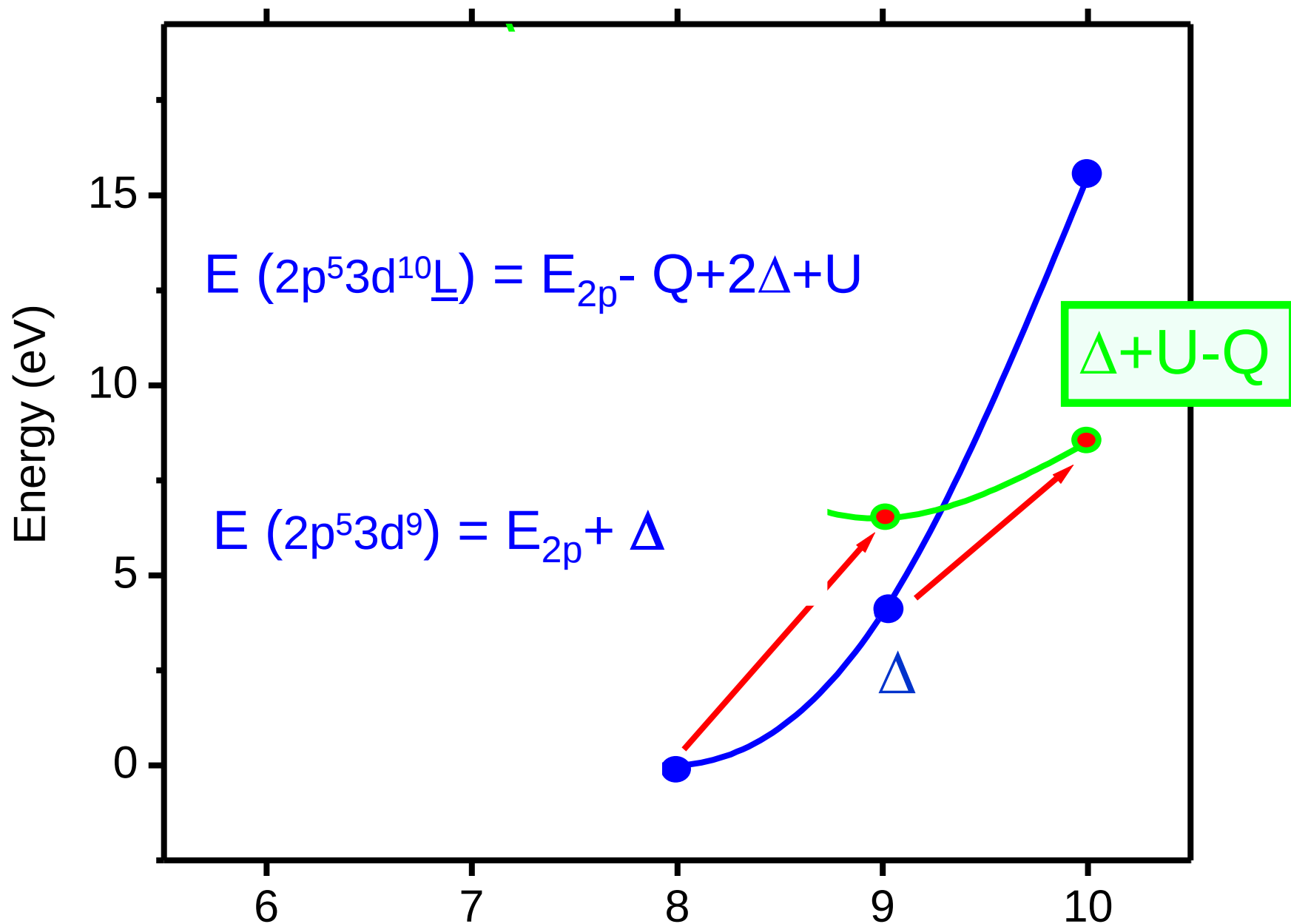
Charge transfer effects

NiO: Ground state: $3d^8 + 3d^9\bar{L}$

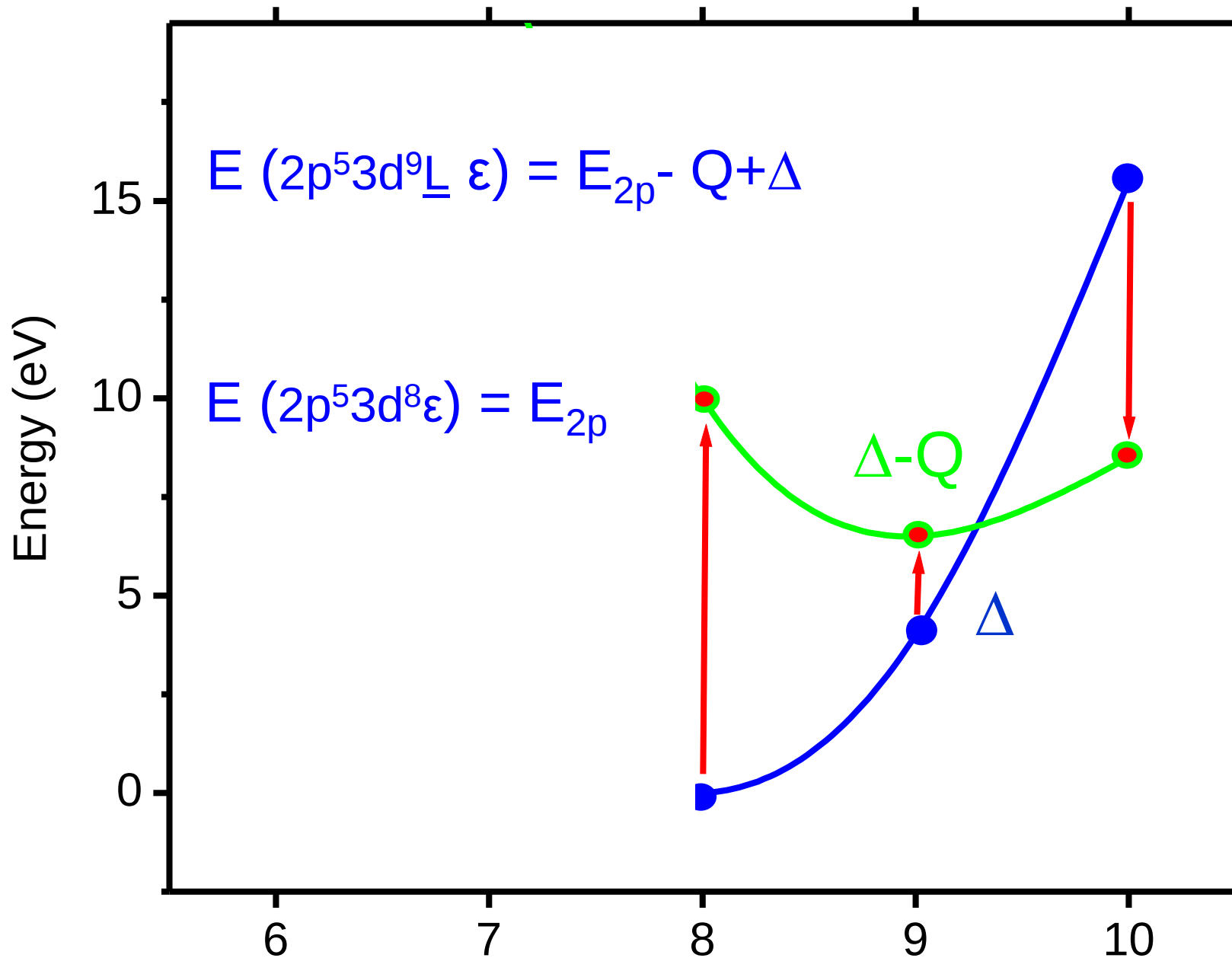
Energy of $3d^9\bar{L}$: Charge transfer energy Δ



Charge transfer effects

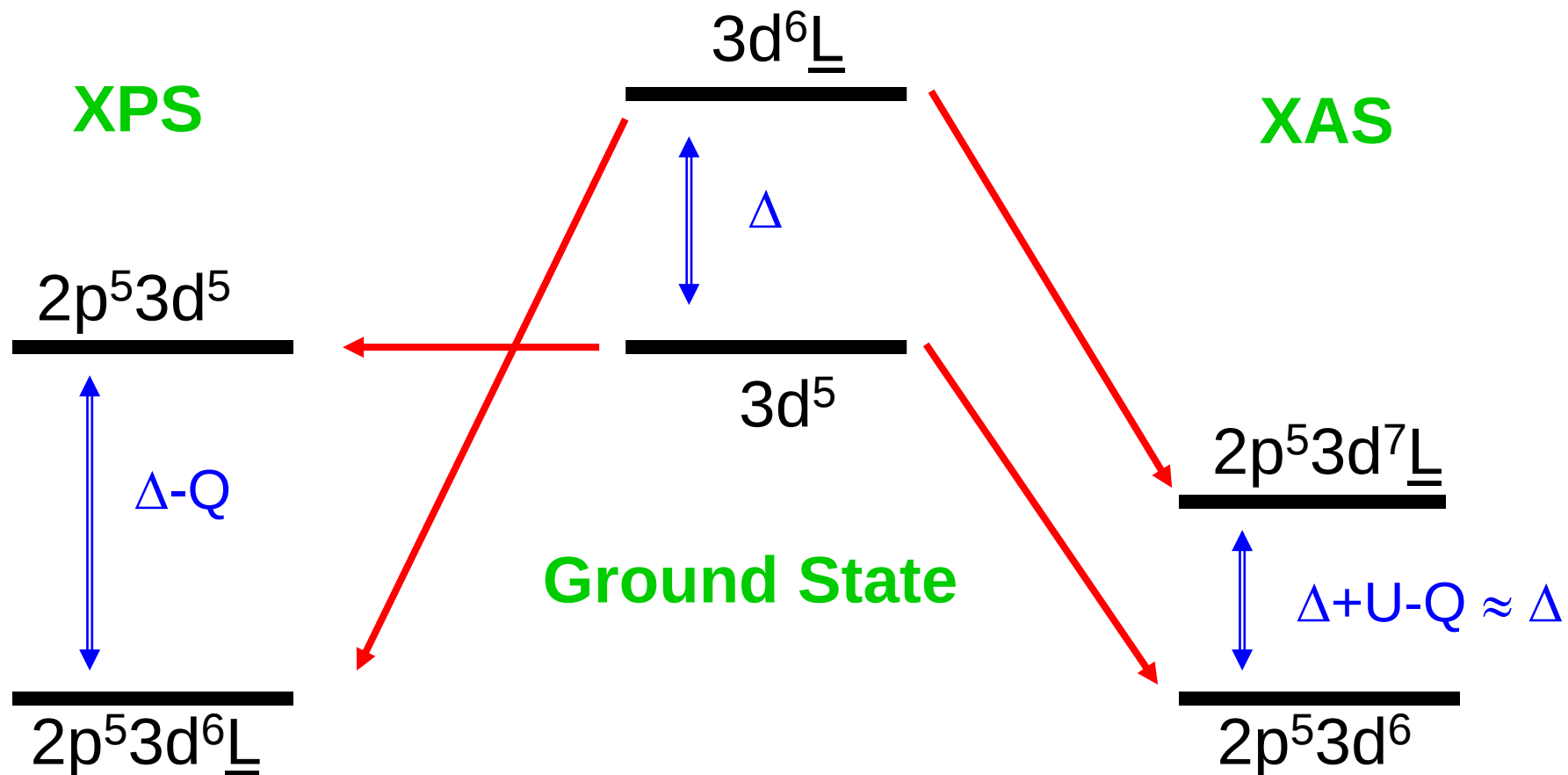


Charge transfer effects in XPS



Charge transfer effects in XAS and XPS

- Transition metal oxide: Ground state: $3d^5 + 3d^6\bar{\underline{L}}$
- Energy of $3d^6\bar{\underline{L}}$: Charge transfer energy Δ



Charge transfer effects

NiO: Ground state: $3d^8$ ($3d^8$)

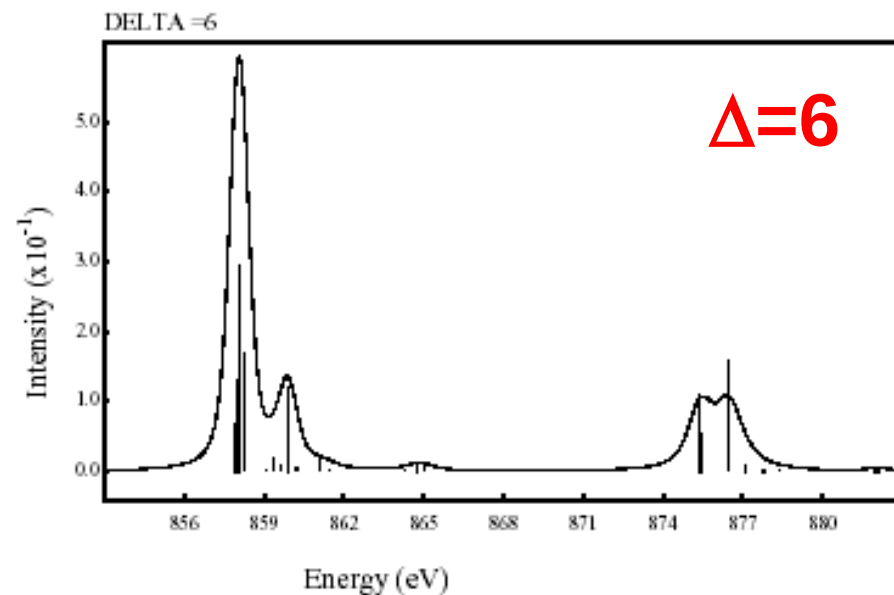
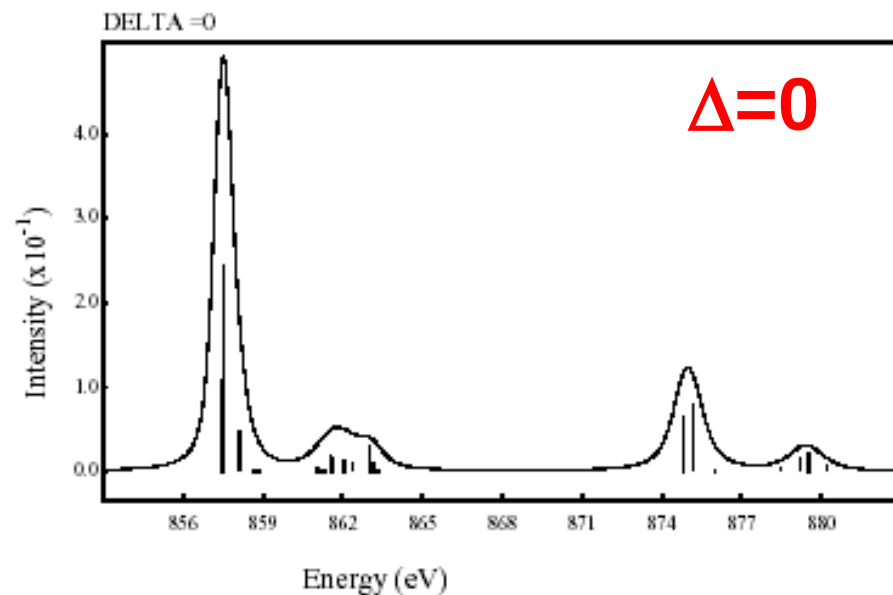
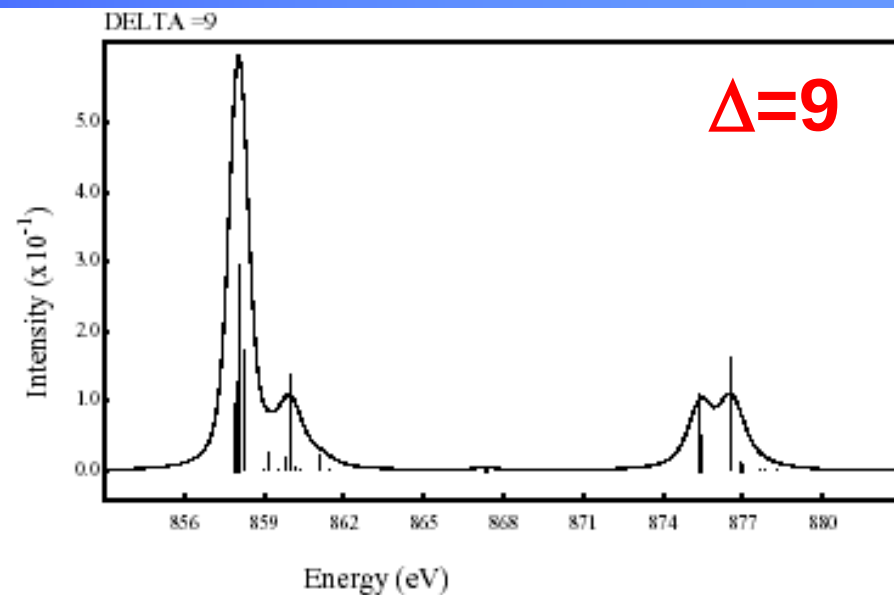
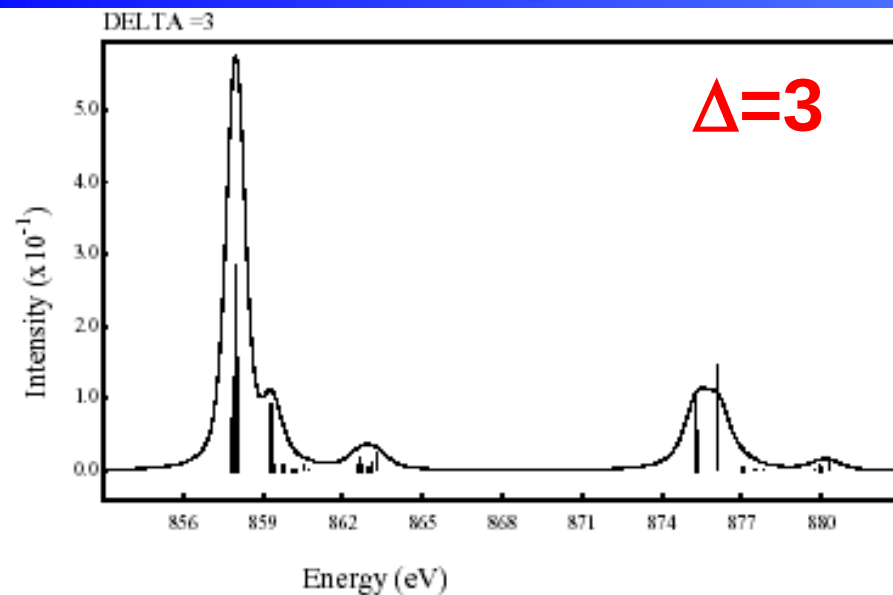
+ $3d^9 \underline{L}$ Charge transfer energy Δ

+ $3d^9 3d^7$ Hubbard U

+ $3d^{10} \underline{L}^2$ $2\Delta + U$

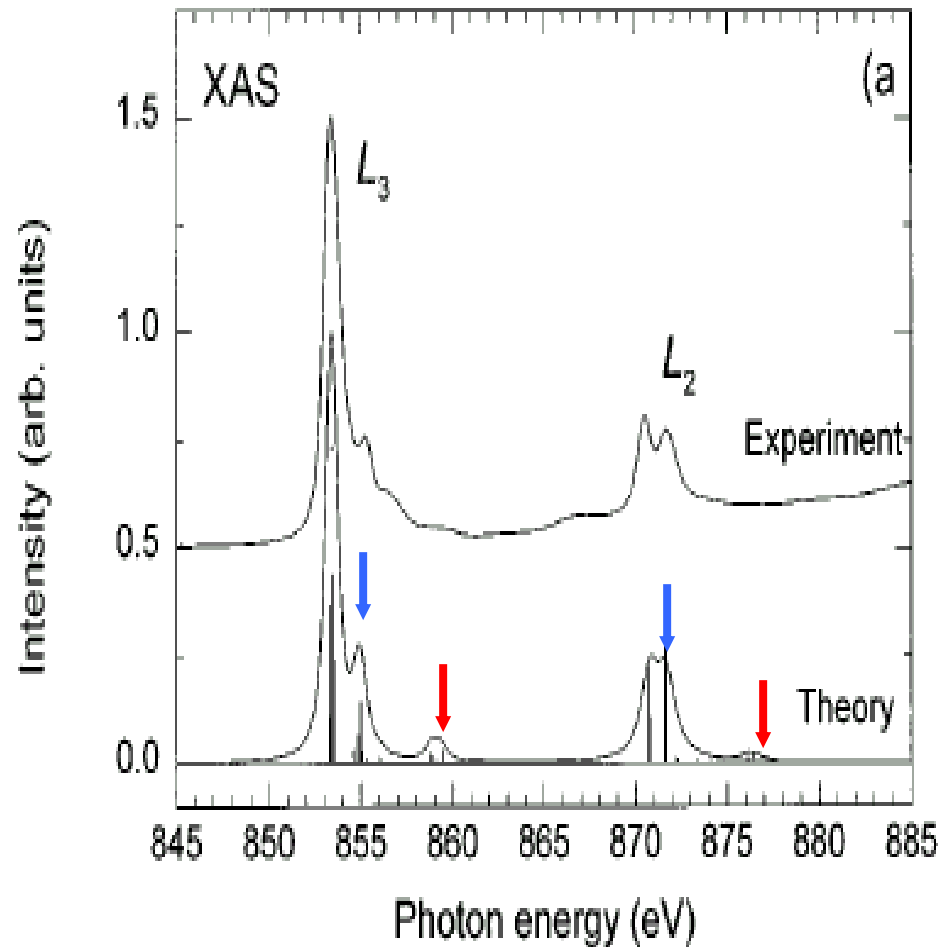
+ $3d^7 L$ Metal-ligand CT Δ_{MLCT}

Charge transfer effects in XAS



Charge transfer effects in XAS and XPS

NiO



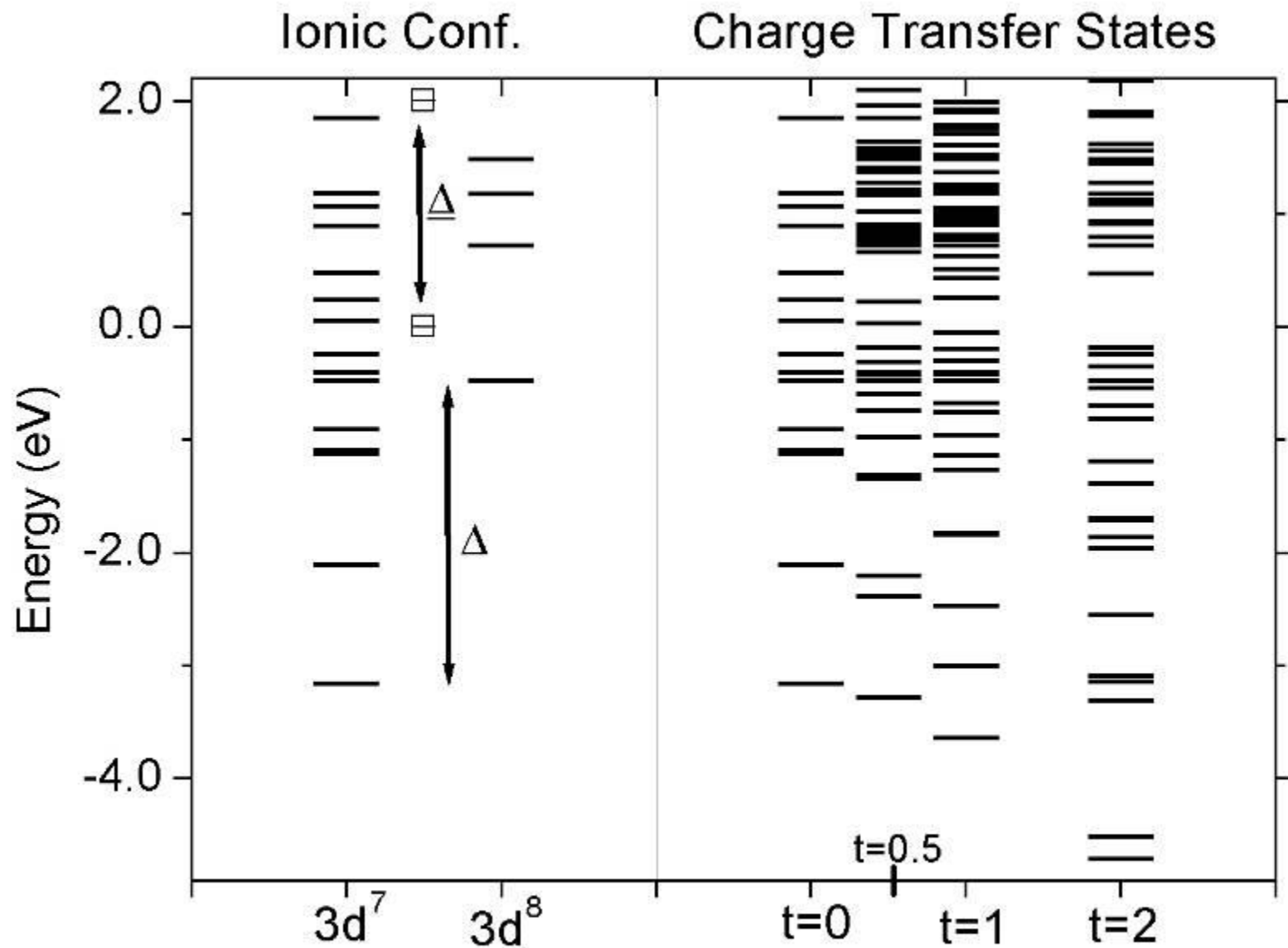
Spectral shape:

(1) Multiplet effects

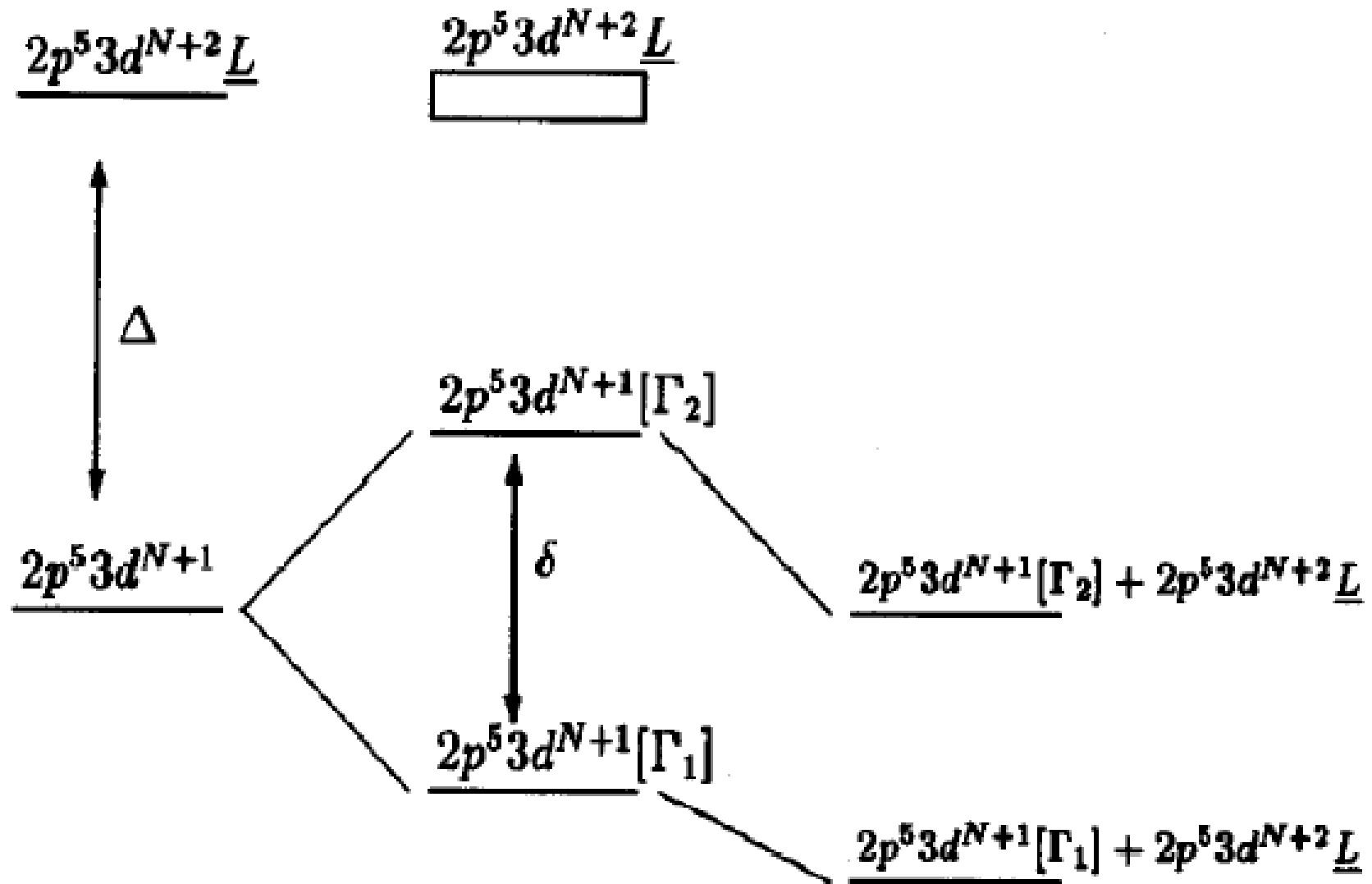
(2) Charge Transfer

J. Elec. Spec.
67, 529 (1994)

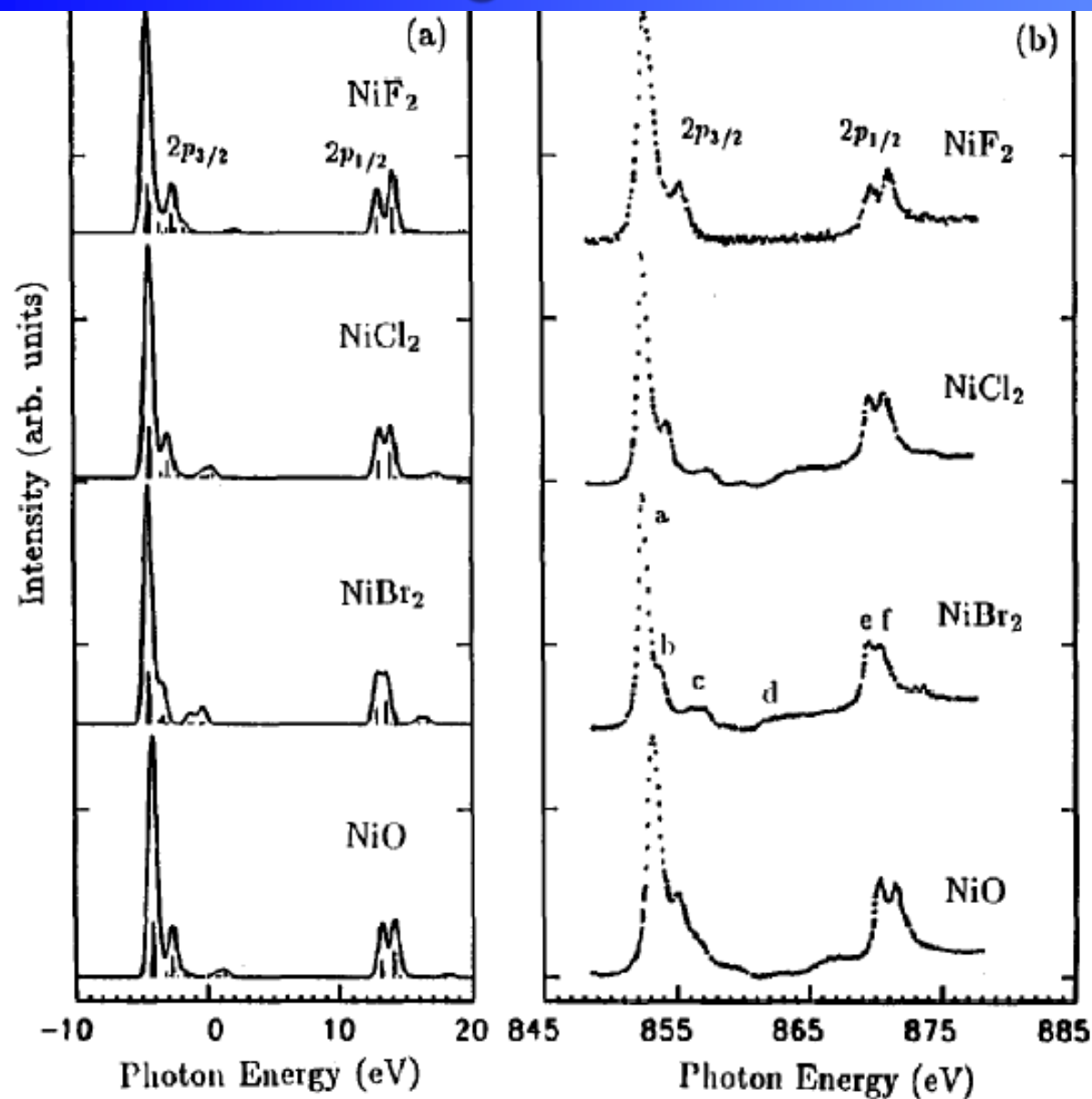
Charge transfer effects



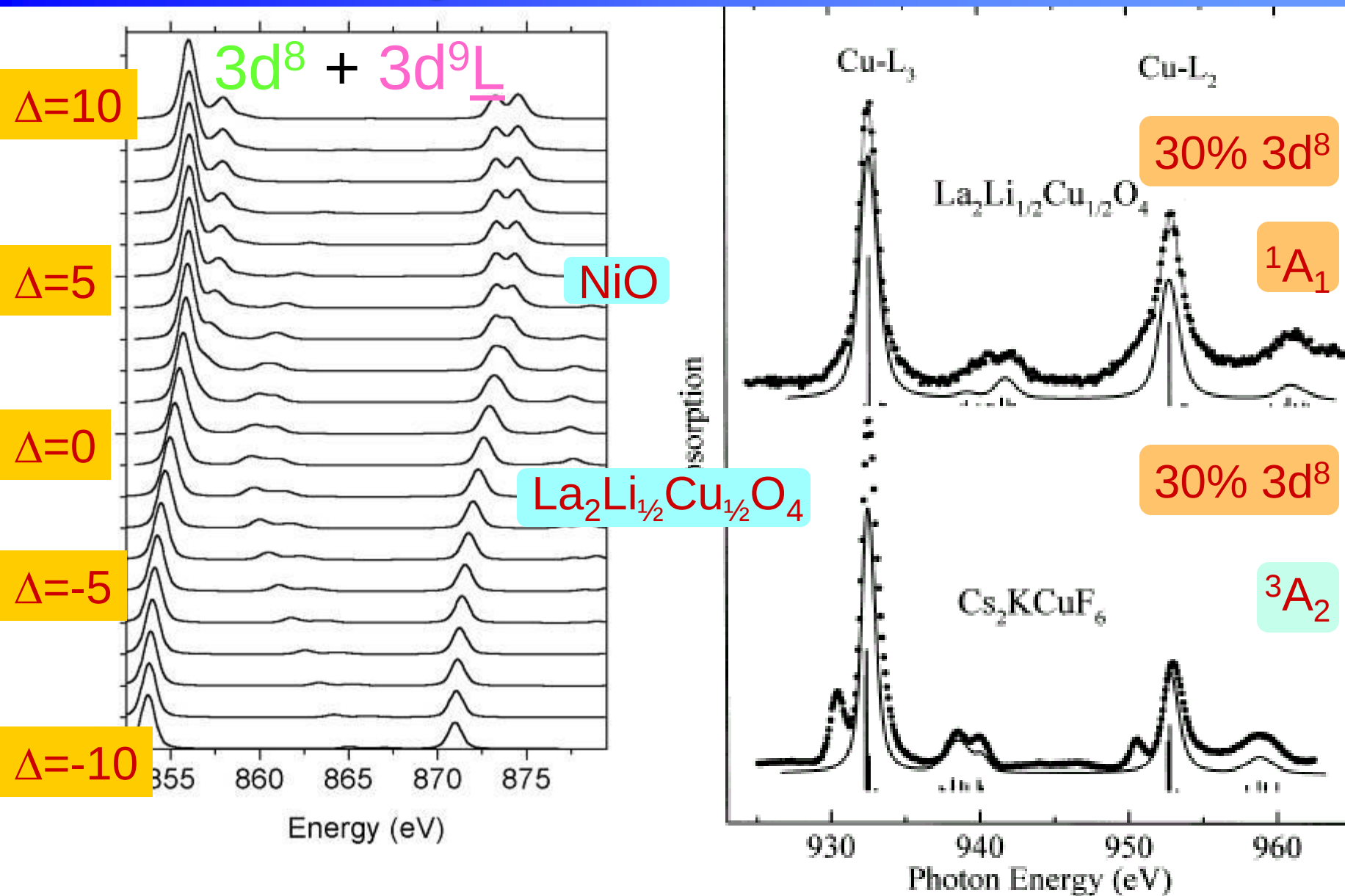
Charge transfer effects



Charge transfer effects in XAS

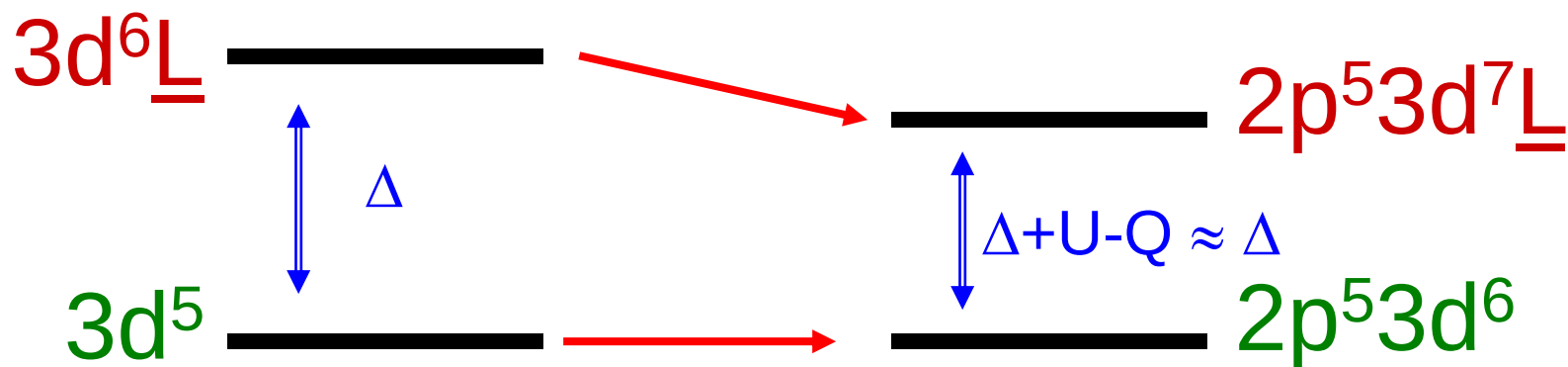
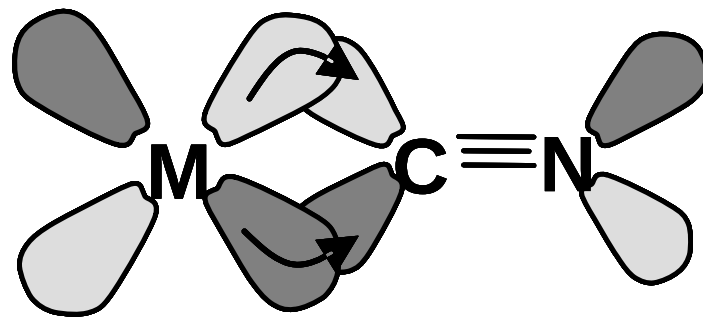
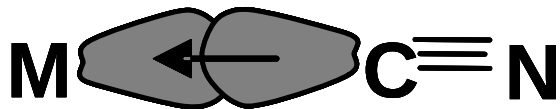


Charge transfer effects in XAS



LMCT and MLCT: π - bonding

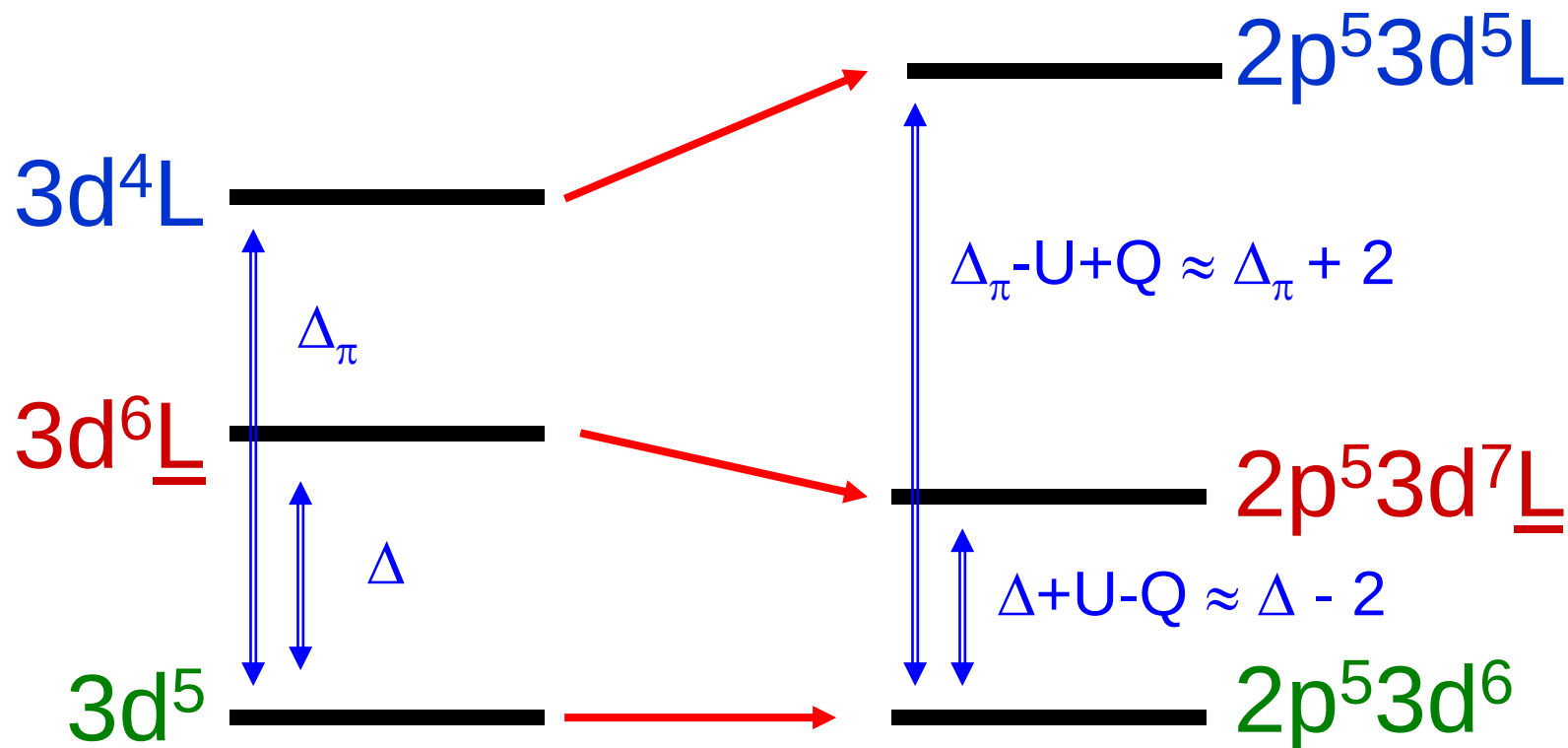
Fe^{III}: Ground state: $3d^5 + 3d^6\bar{\underline{L}}$



with Ed Solomon (Stanford) JACS 125, 12894 (2003),
JACS 128, 10442 (2006), JACS 129, 113 (2007)

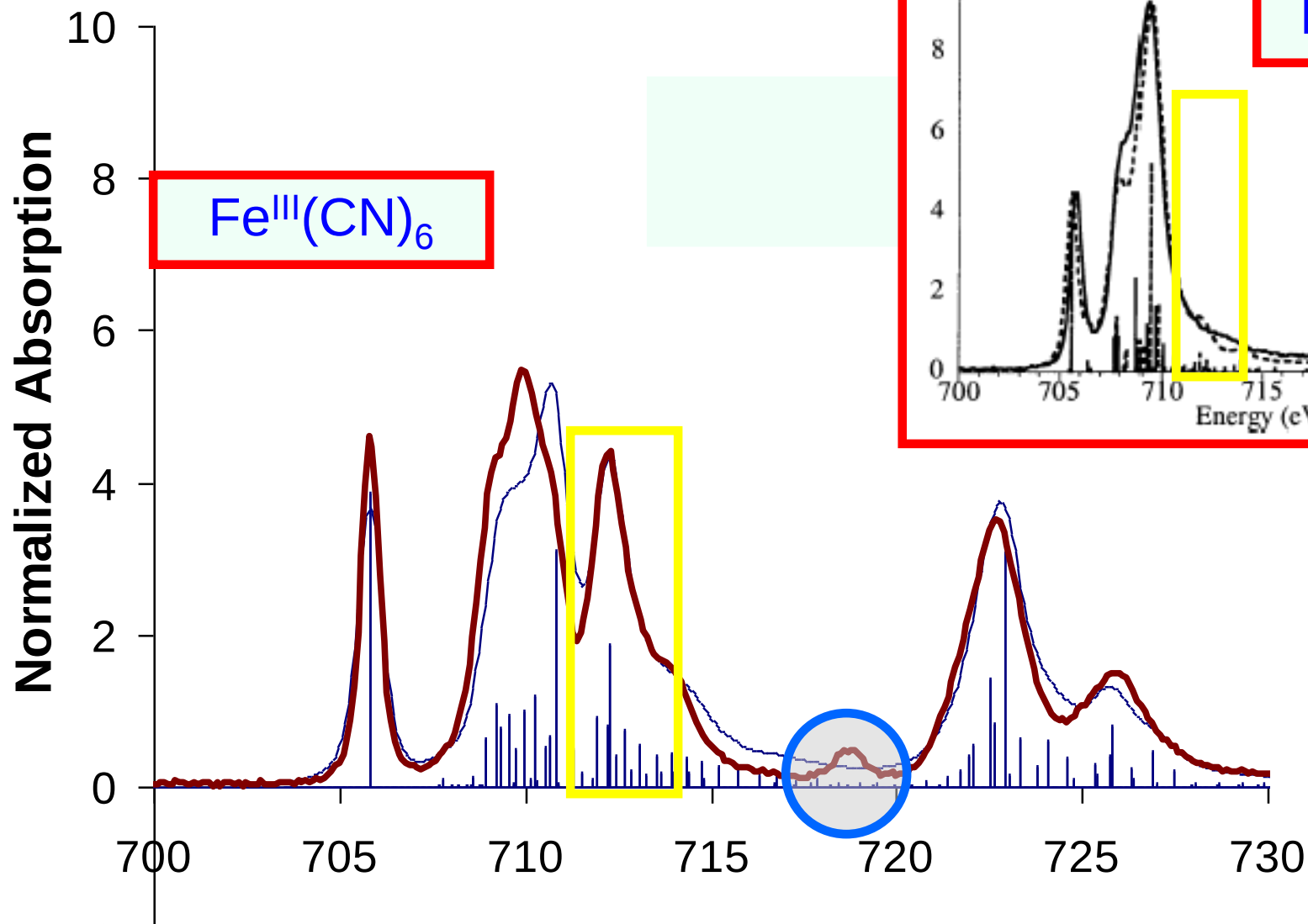
LMCT and MLCT: π - bonding

Fe^{III}: Ground state: $3d^5$ + $3d^6\bar{L}$ + $3d^4L$



with Ed Solomon (Stanford) JACS 125, 12894 (2003),
JACS 128, 10442 (2006), JACS 129, 113 (2007)

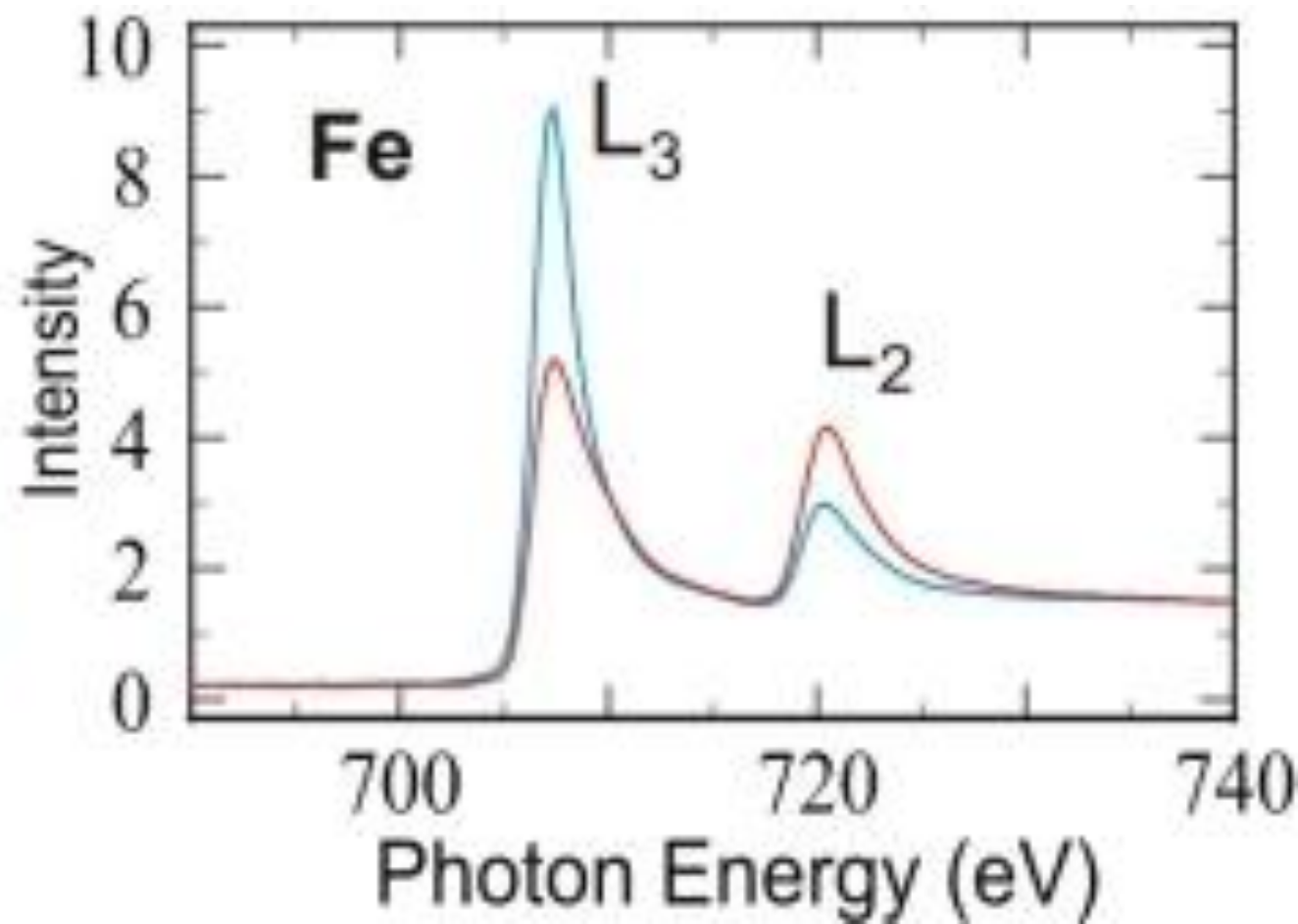
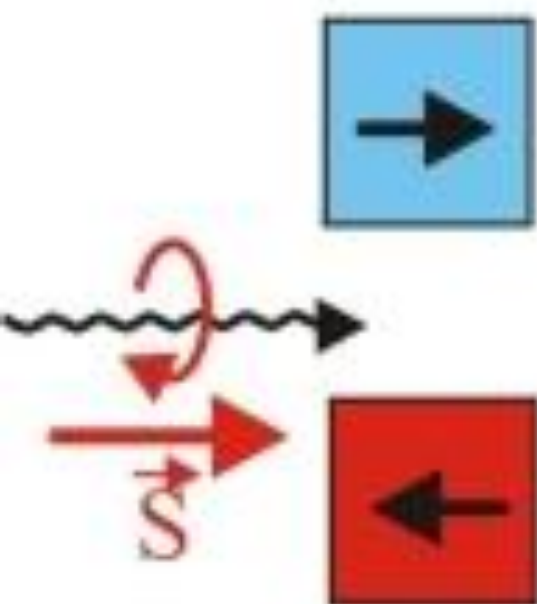
LMCT and MLCT: π - bonding



with Ed Solomon (Stanford) JACS 125, 12894 (2003),
JACS 128, 10442 (2006), JACS 129, 113 (2007)

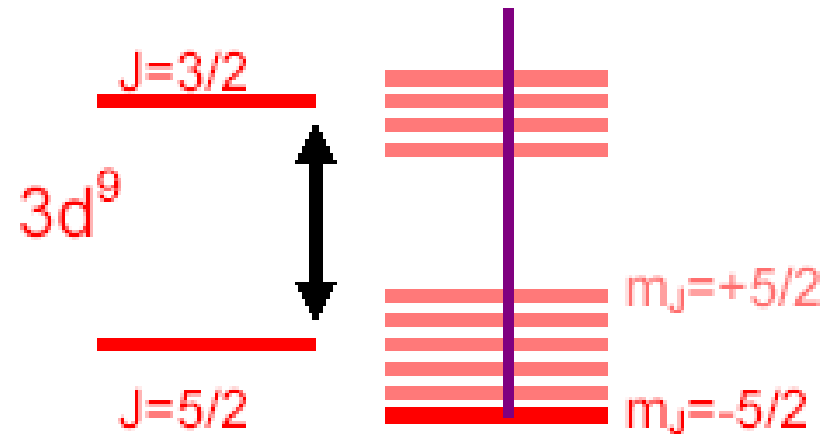
X-ray MCD

X-ray MCD



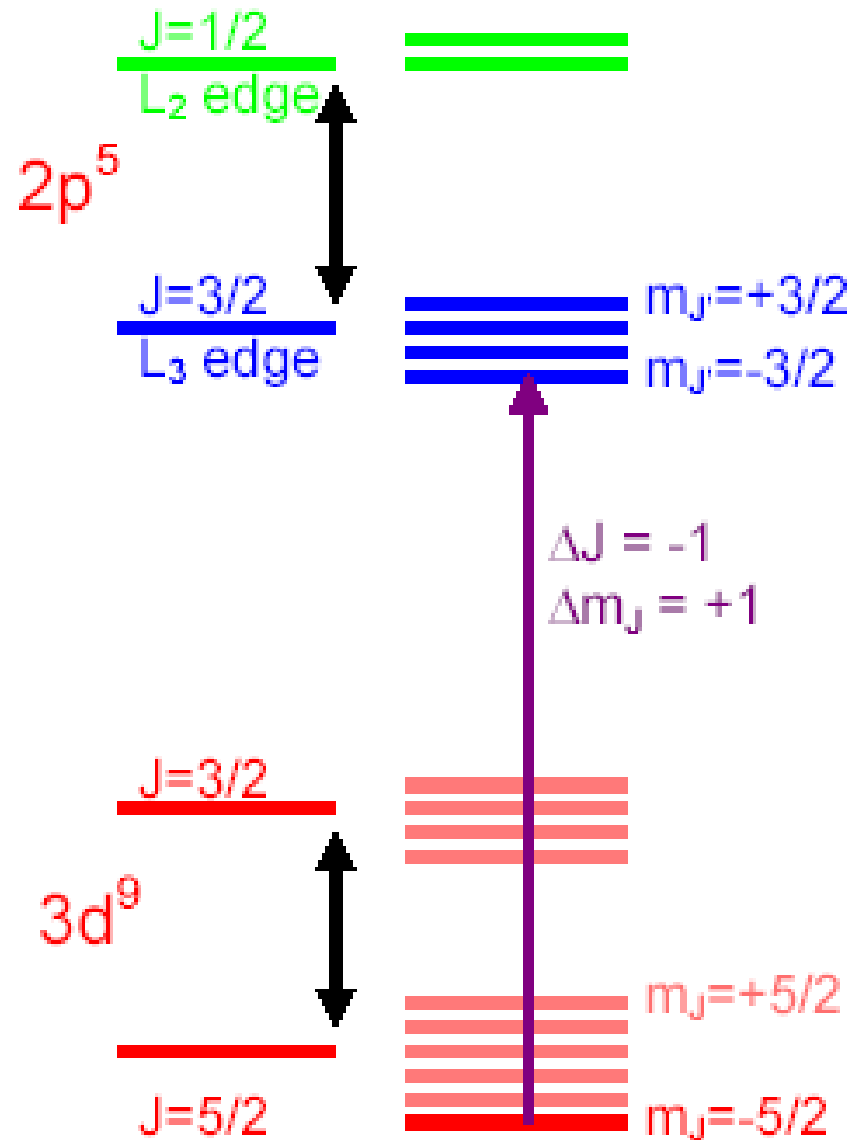
X-ray MCD

Cu^{2+} : $3d^9$

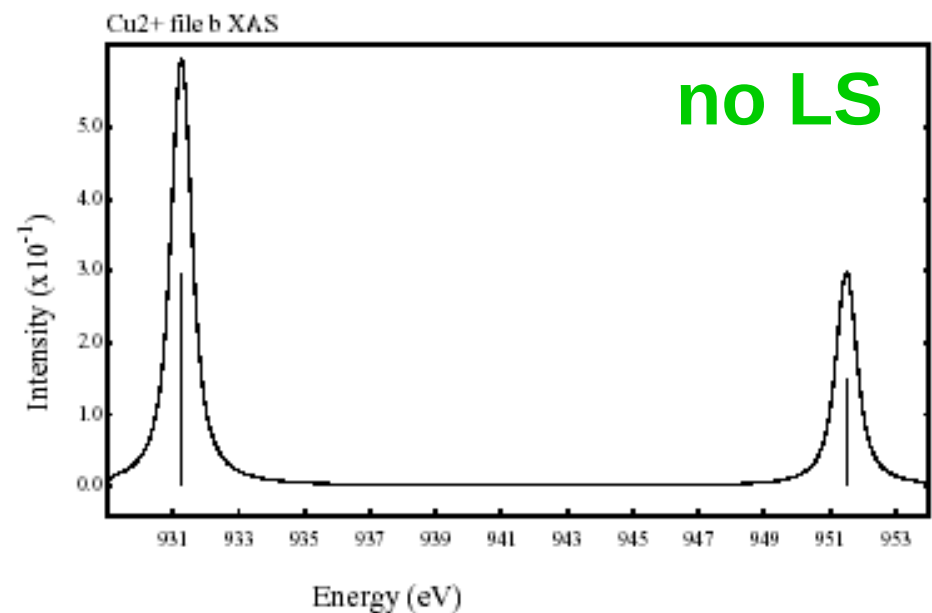
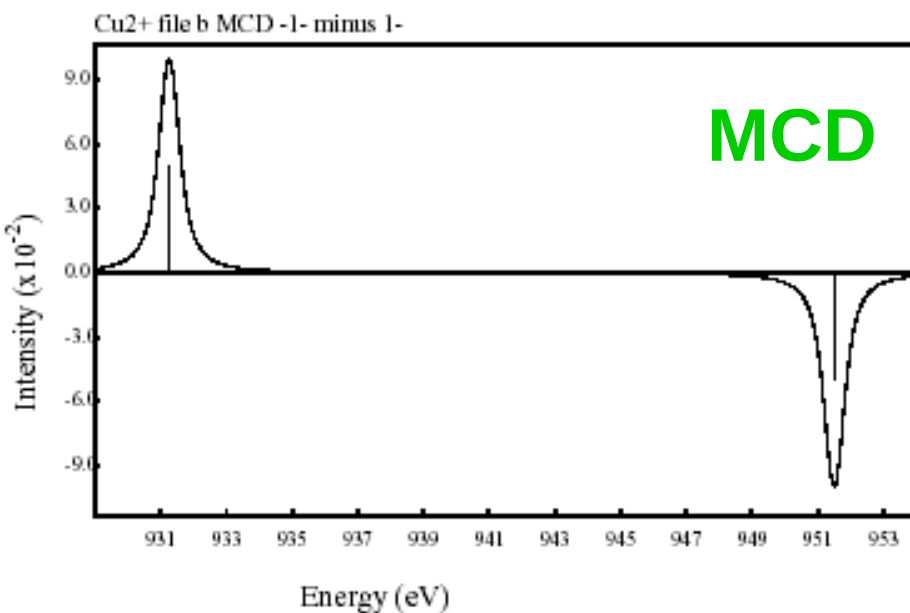
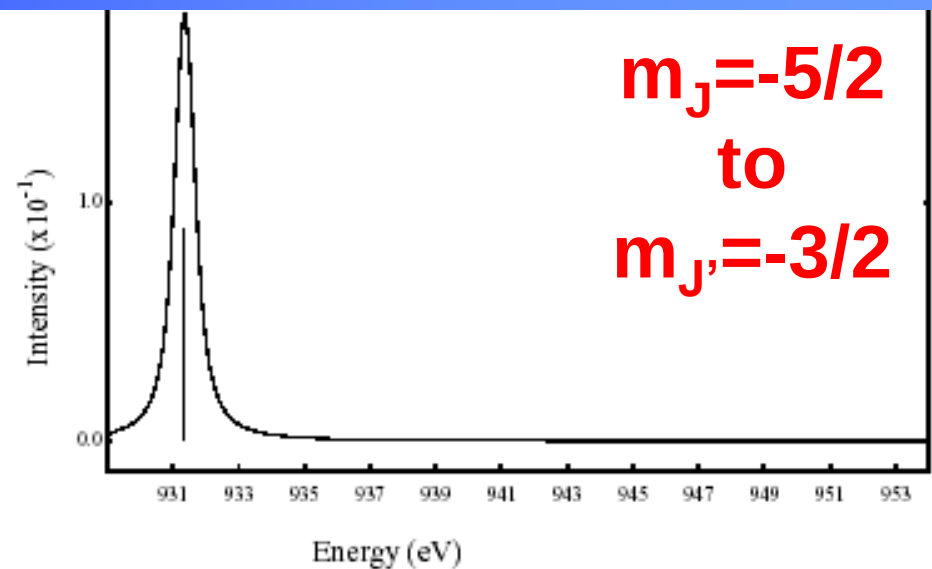
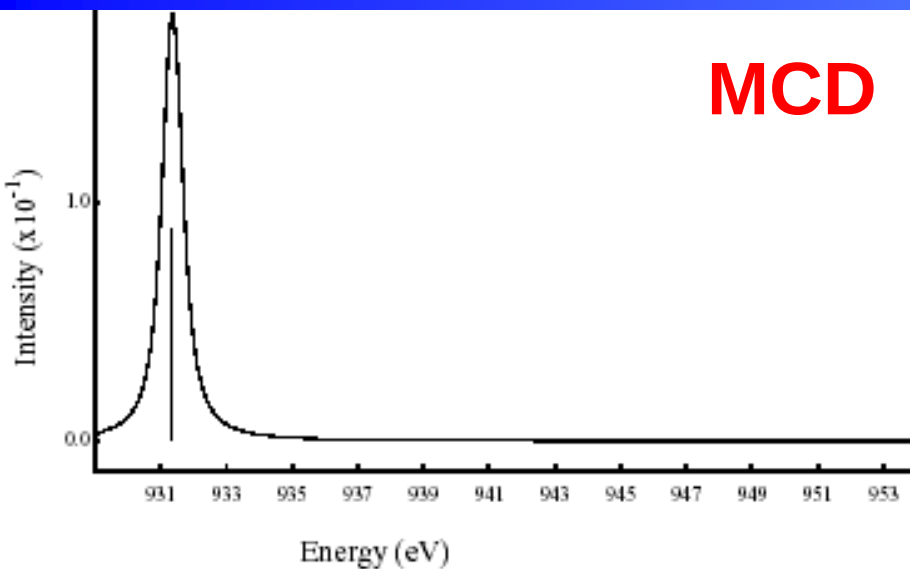


X-ray MCD

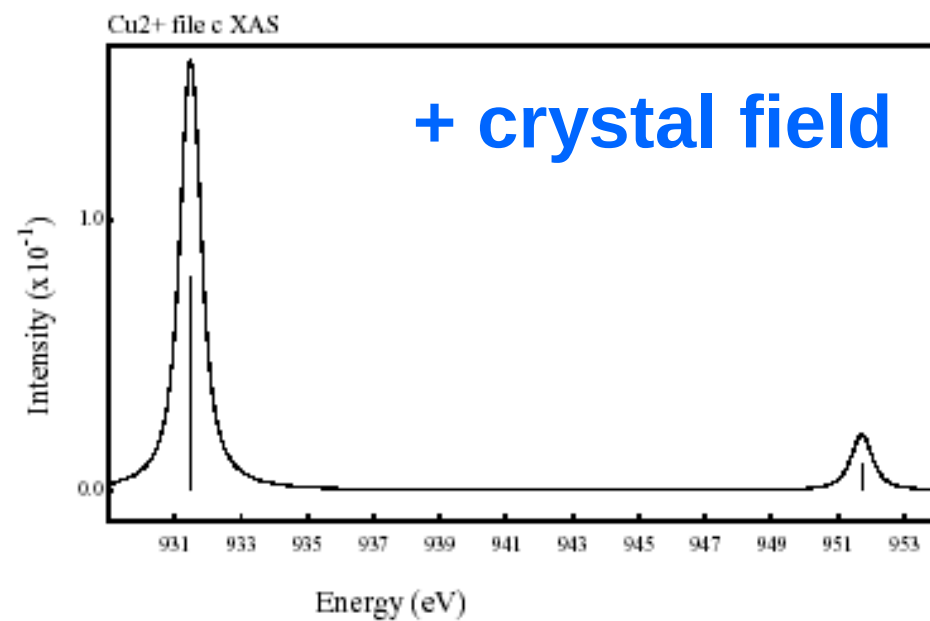
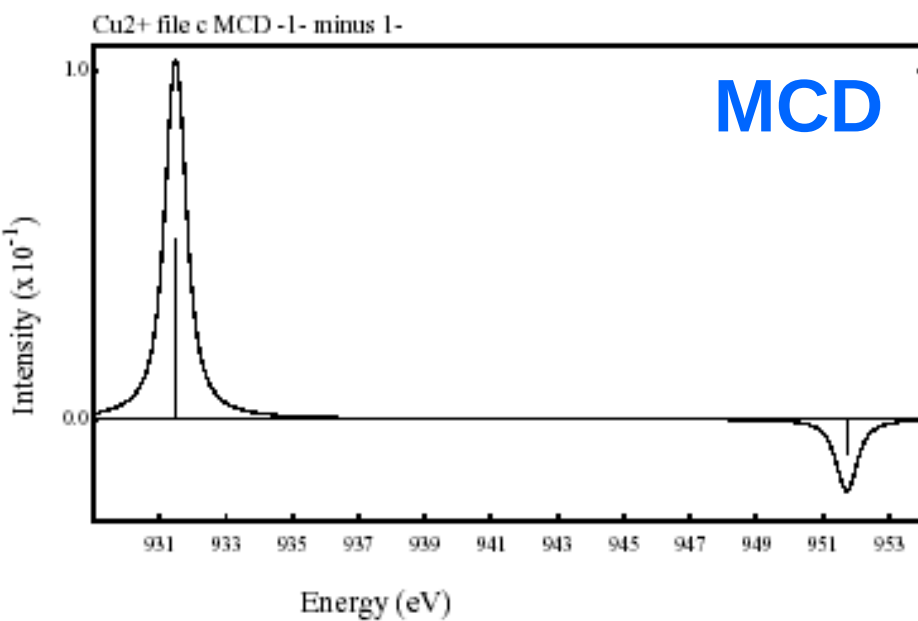
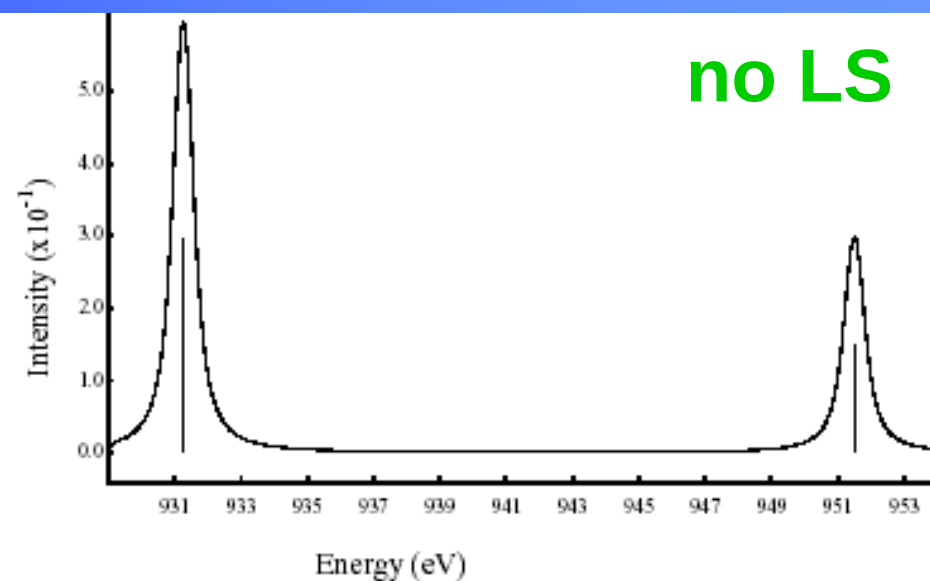
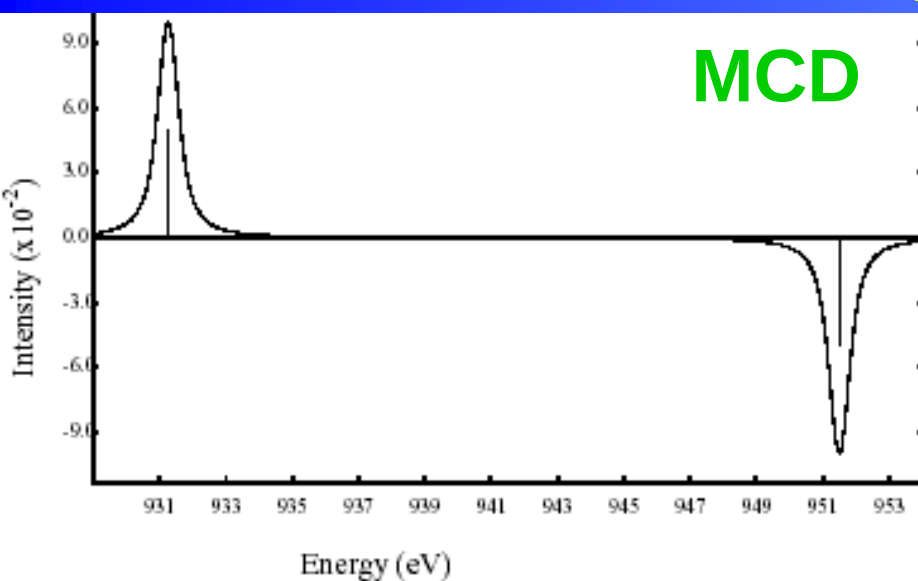
Cu^{2+} : $3d^9$



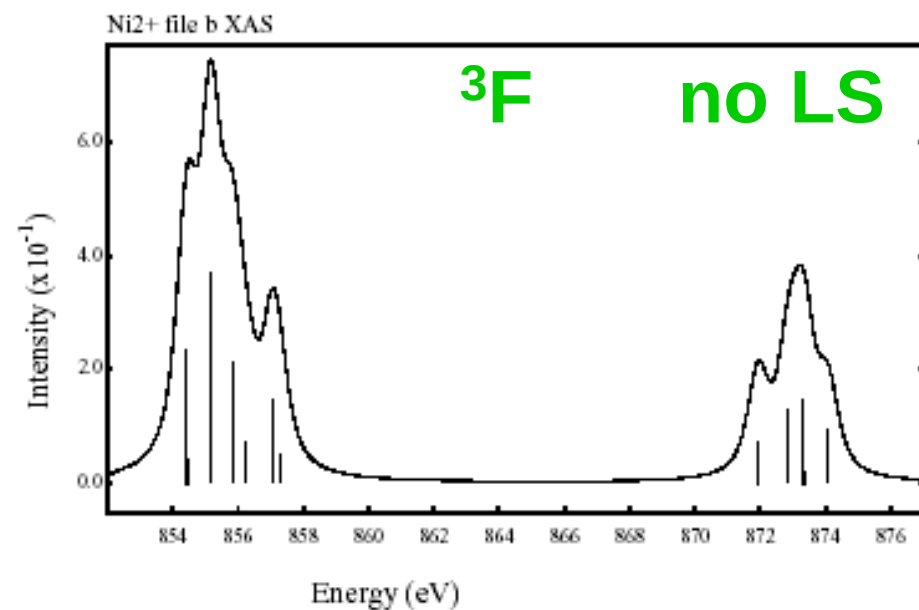
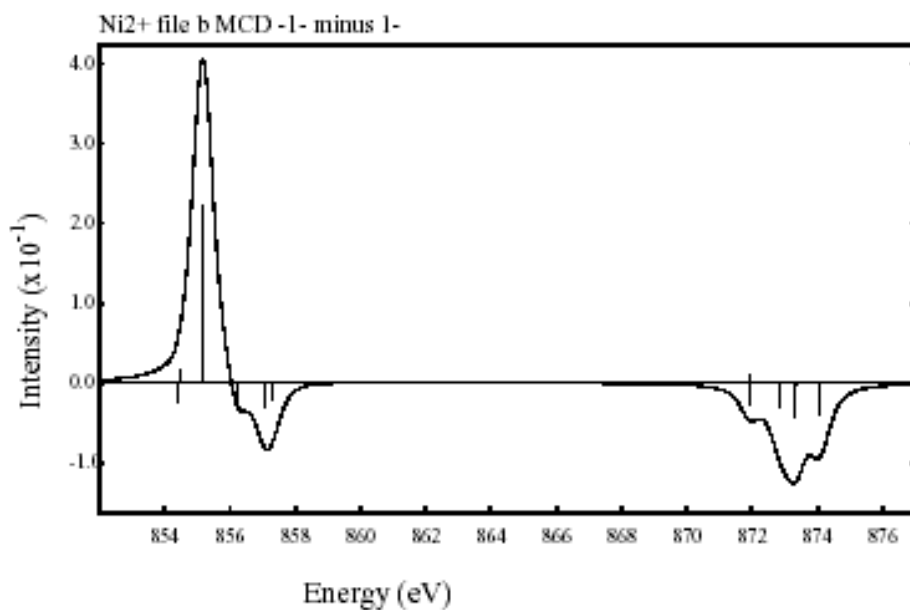
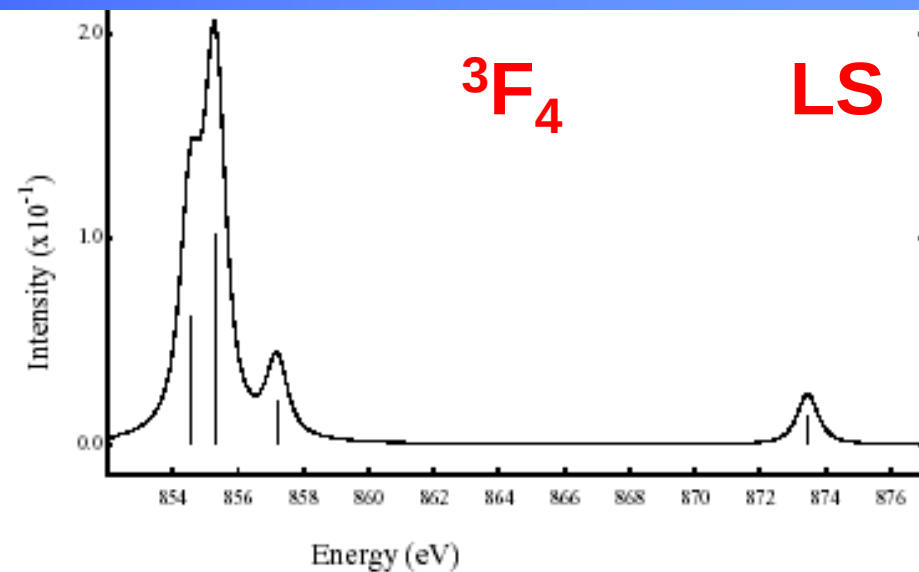
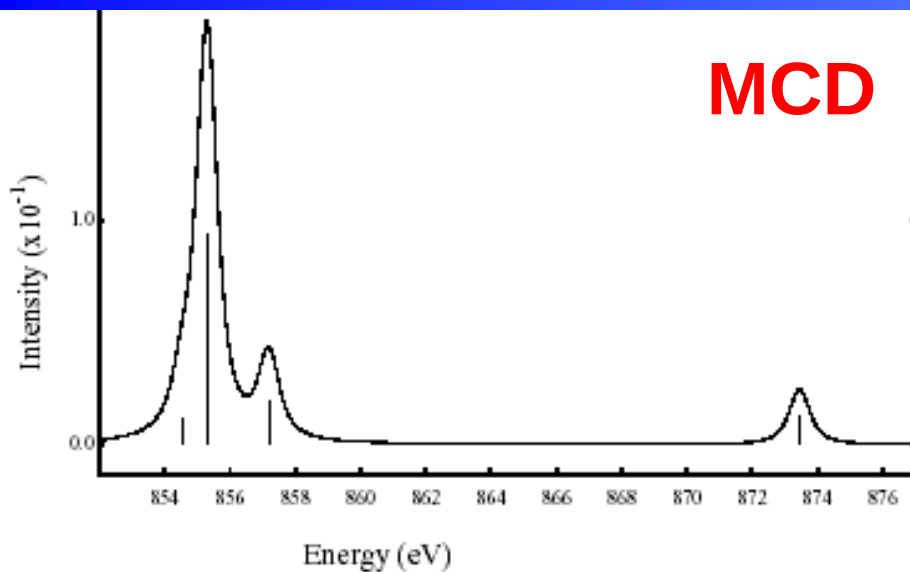
X-ray MCD



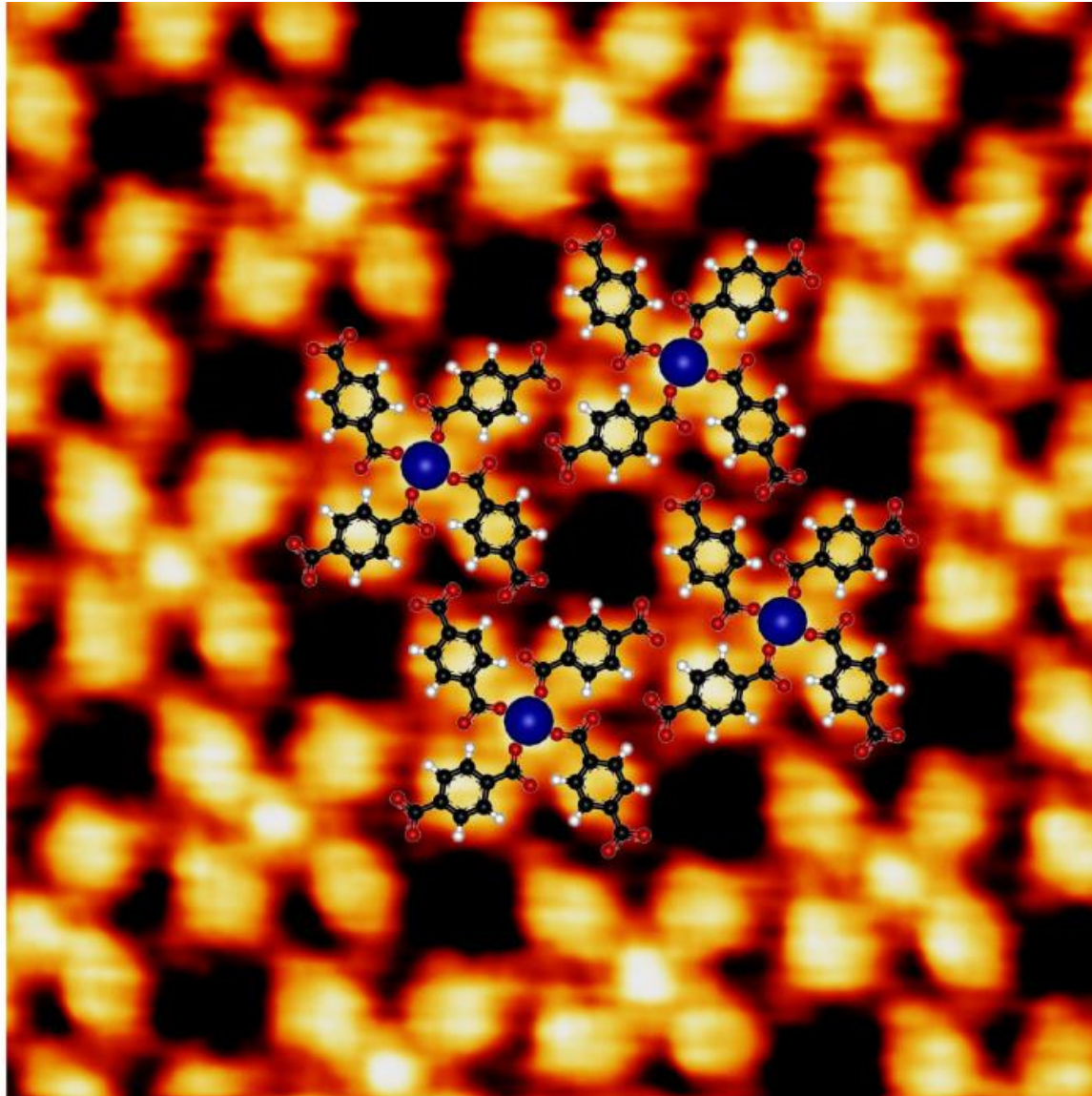
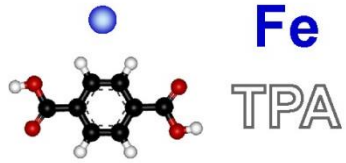
X-ray MCD



X-ray MCD

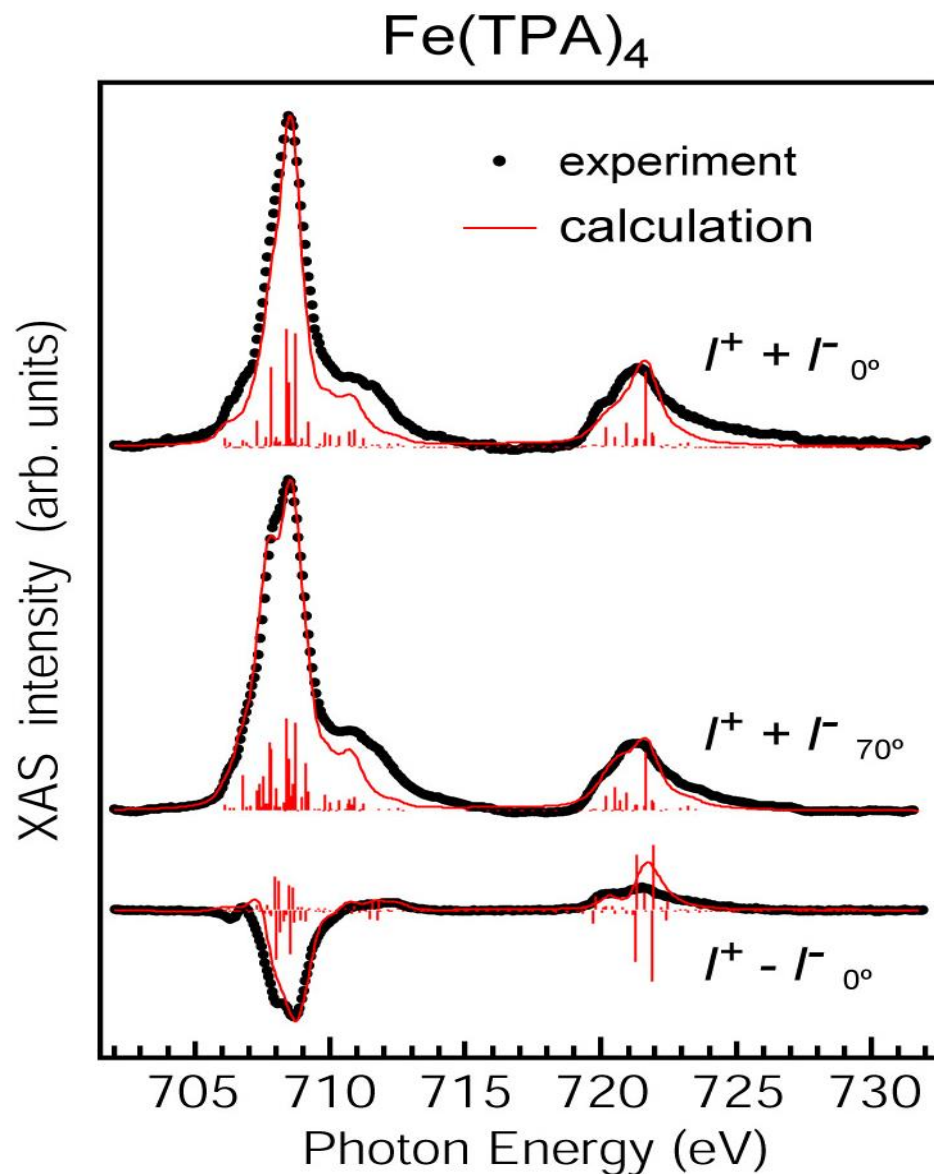
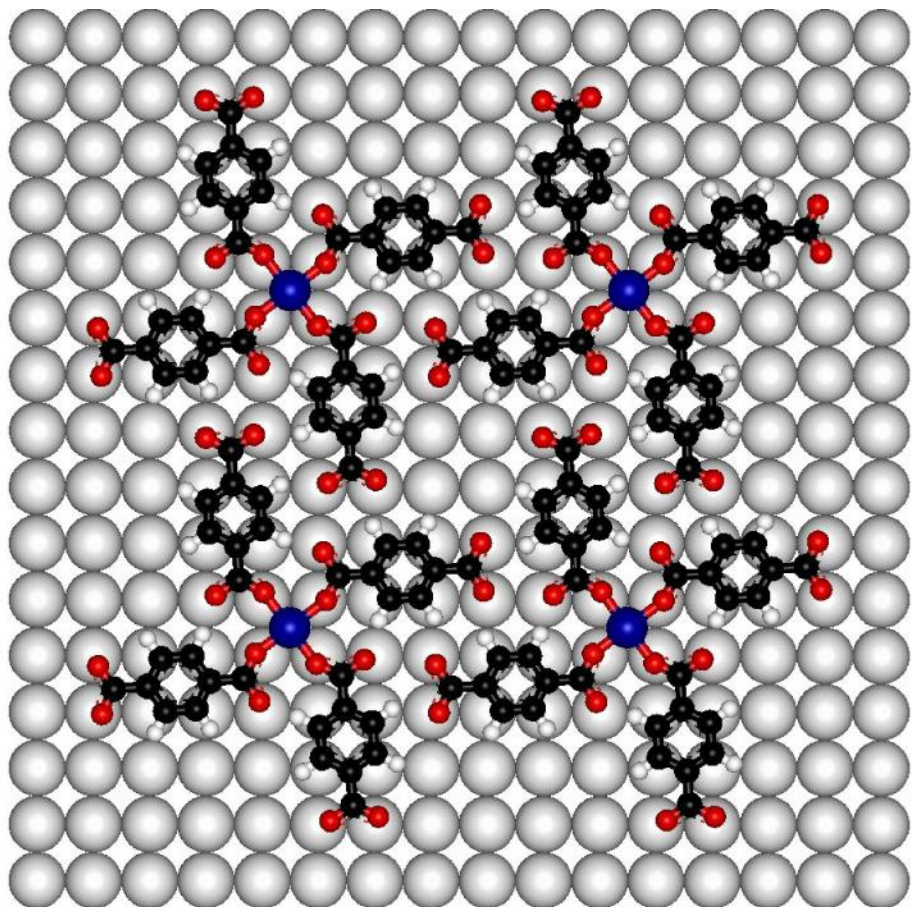


Iron 2p XAS of Fe arrays

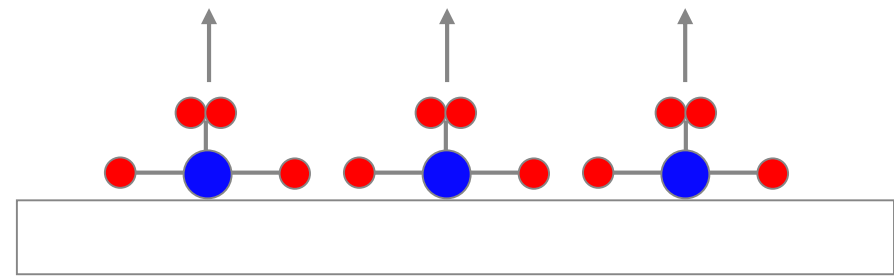
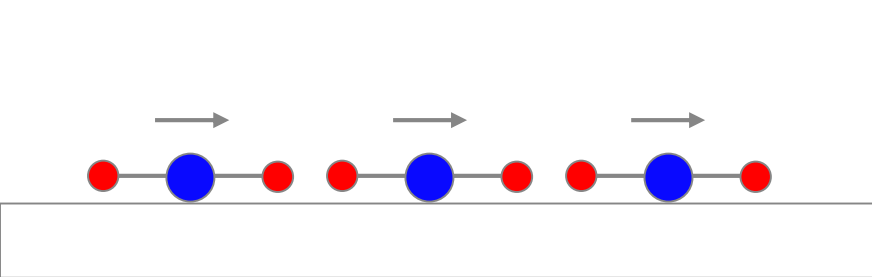
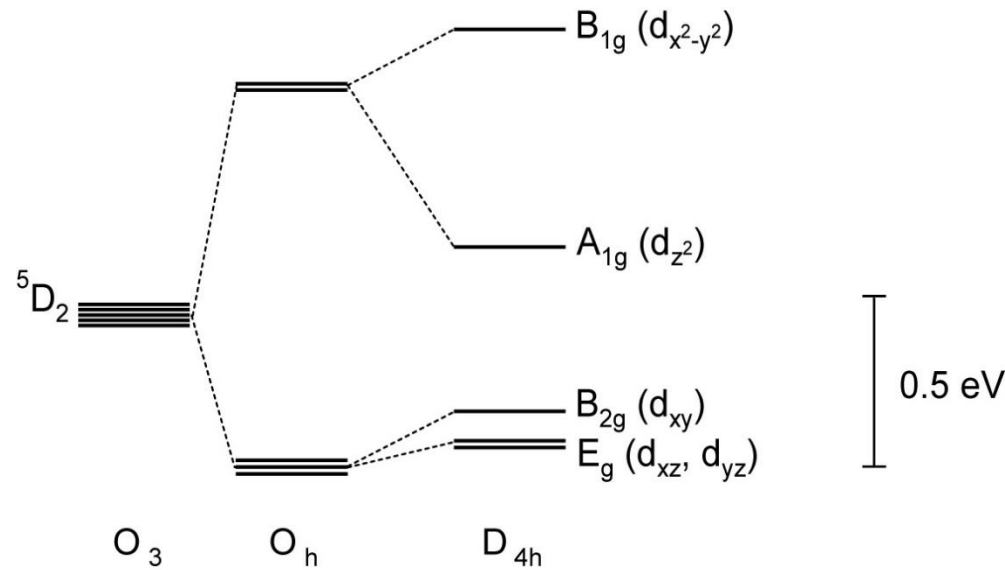
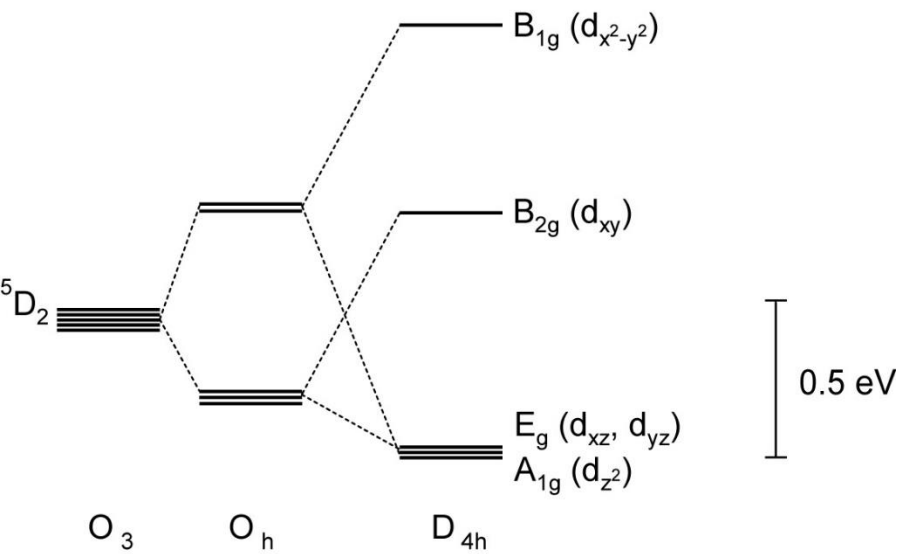


Iron 2p XAS of Fe arrays

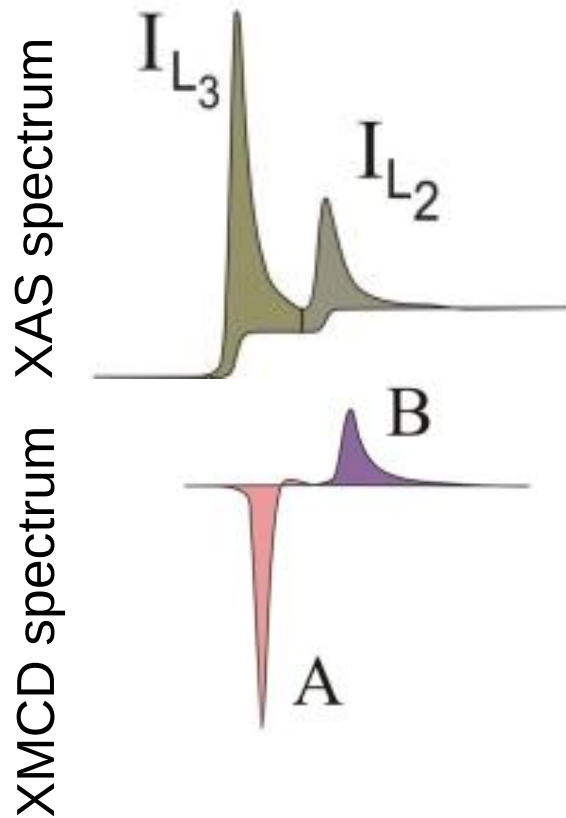
Fe(TPA)₄ on Cu(100)



Iron 2p XAS of Fe arrays



X-ray MCD sum rules



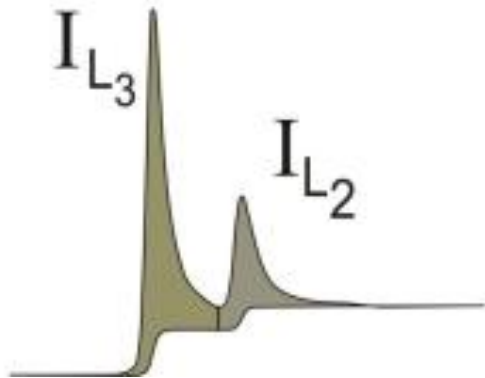
$$\int \mu = \int (\mu_+ + \mu_0 + \mu_-) = \frac{C}{5} \langle N_h \rangle.$$

$$\int (\mu_+ - \mu_-) = -\frac{C}{10} \langle L_z \rangle.$$

$$\langle L_z \rangle = -\frac{\int (\mu_+ - \mu_-)}{\int \mu} \cdot 2 \langle N_h \rangle.$$

X-ray MCD sum rules

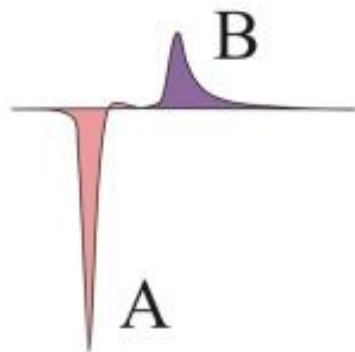
XAS spectrum



magnetic
dipole

$$S_{\text{eff}} = \langle S_z \rangle + \frac{7}{2} \langle T_z \rangle = \frac{3}{2} \left(\frac{A - 2B}{I_{L_3} + I_{L_2}} \right) \times n_h$$

XMCD spectrum

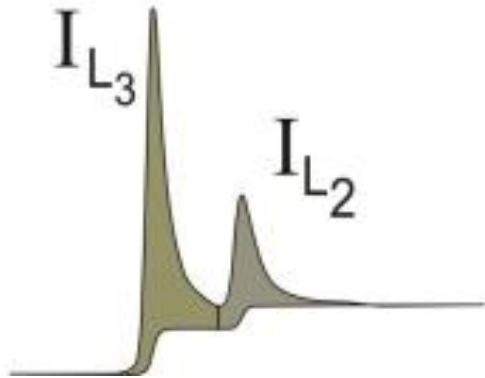


$$\langle L_z \rangle = 2 \left(\frac{A + B}{I_{L_3} + I_{L_2}} \right) \times n_h$$

Courtesy: J. Stöhr

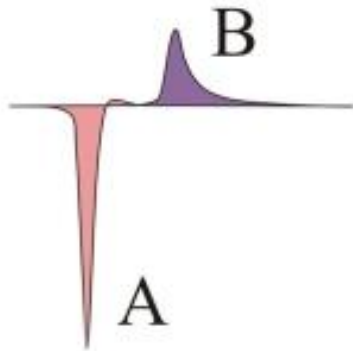
Effective spin sum rule

XAS spectrum



$$S_{\text{eff}} = \langle S_z \rangle + \overset{\text{magnetic dipole}}{\frac{7}{2} \langle T_z \rangle} = \frac{3}{2} \left(\frac{A - 2B}{I_{L_3} + I_{L_2}} \right) \times n_h$$

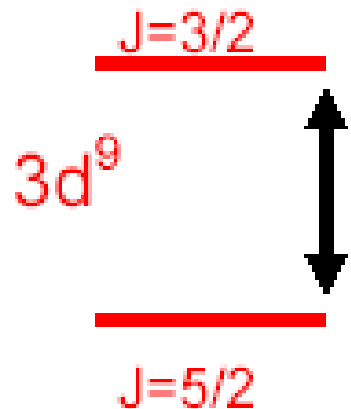
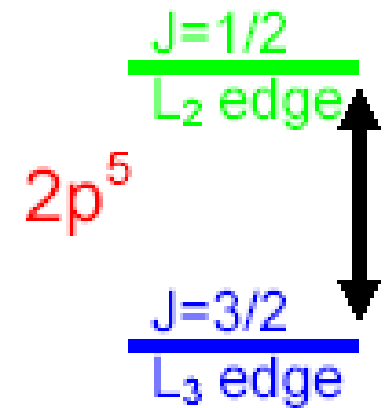
XMCD spectrum



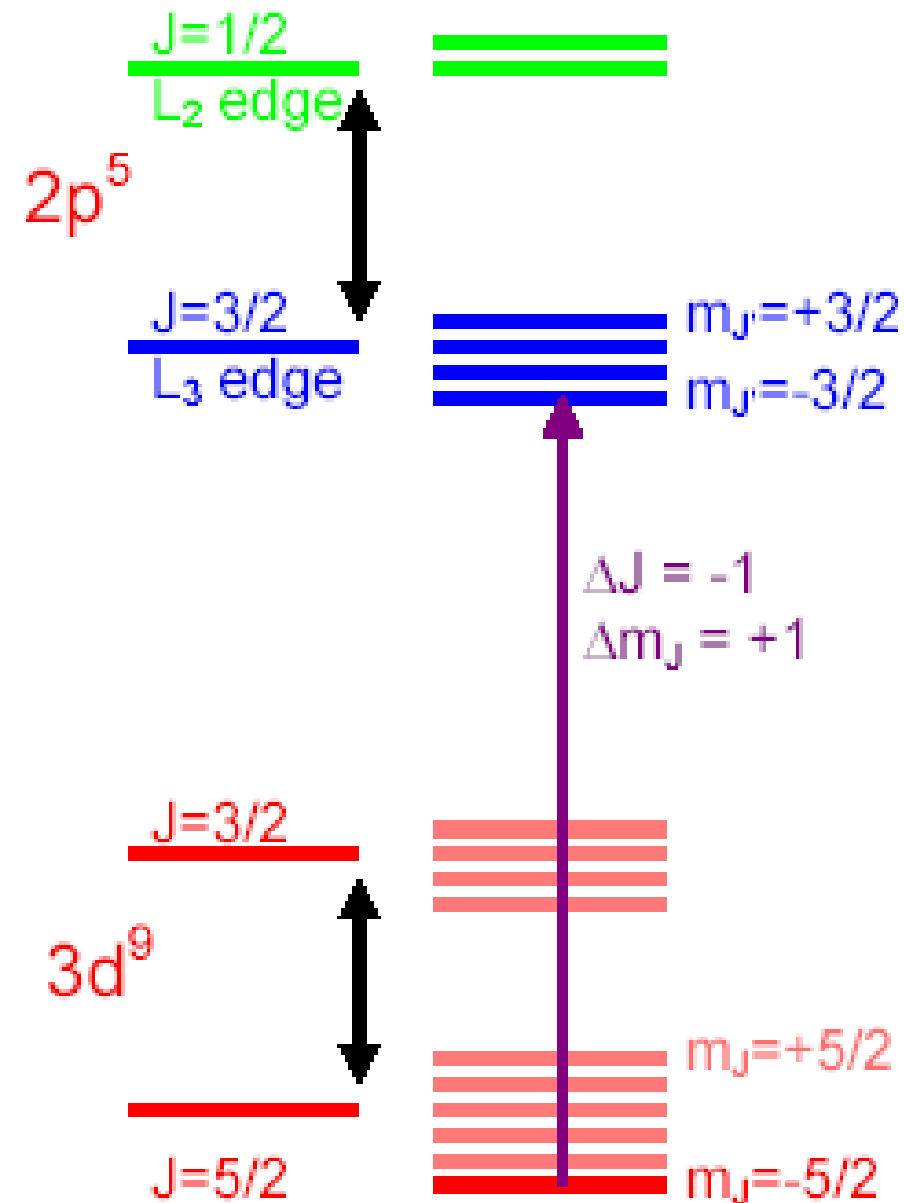
- separate L_3 from L_2 ?
- value of $\langle T_z \rangle$

Courtesy: J. Stöhr

Effective spin sum rule for a $3d^9$ system



Effective spin sum rule for a $3d^9$ system

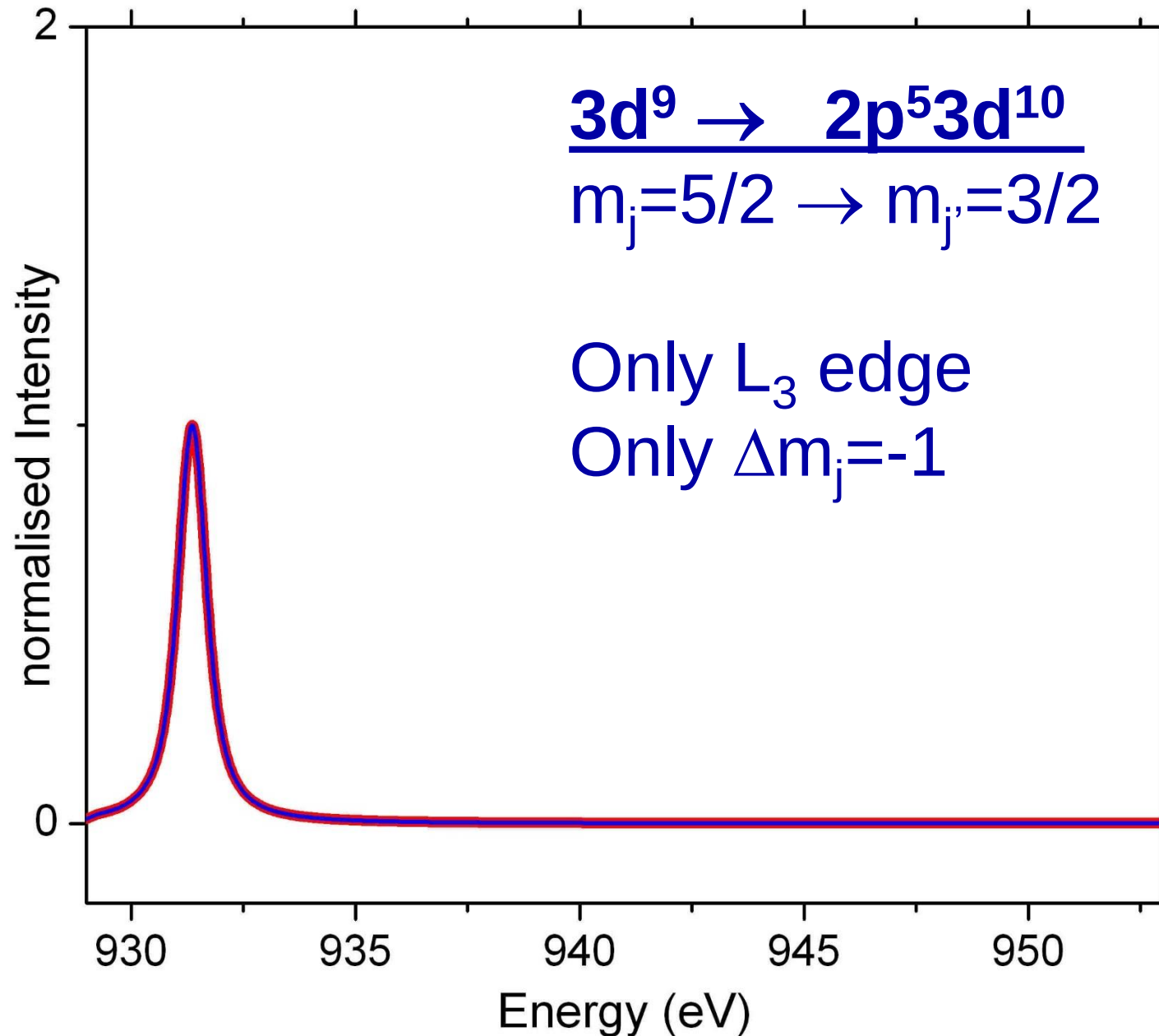


$$\underline{3d^9 \rightarrow 2p^5 3d^{10}}$$

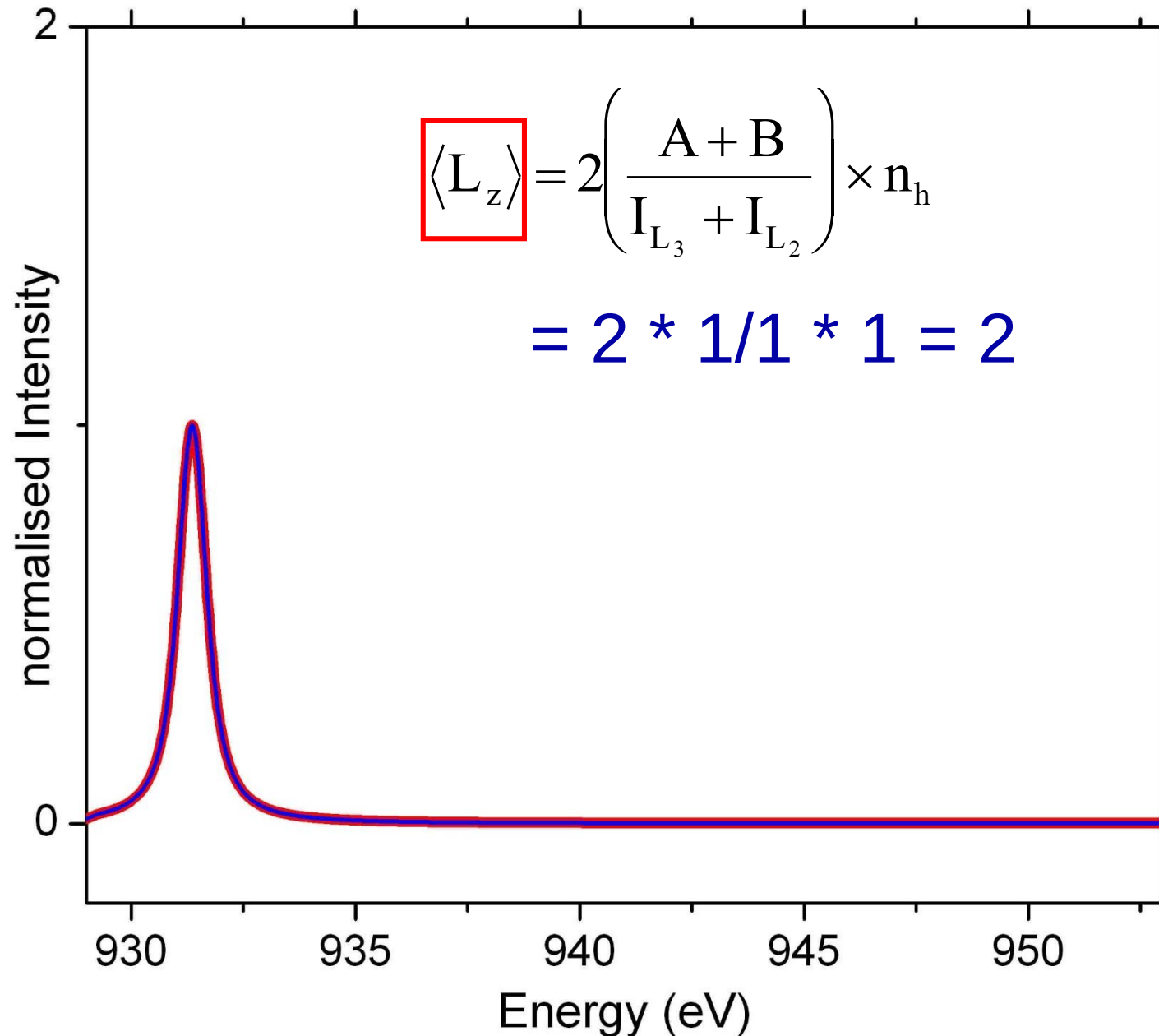
$$m_j = -5/2 \rightarrow m_{j'} = -3/2$$

Only L₃ edge
Only $\Delta m_j = +1$

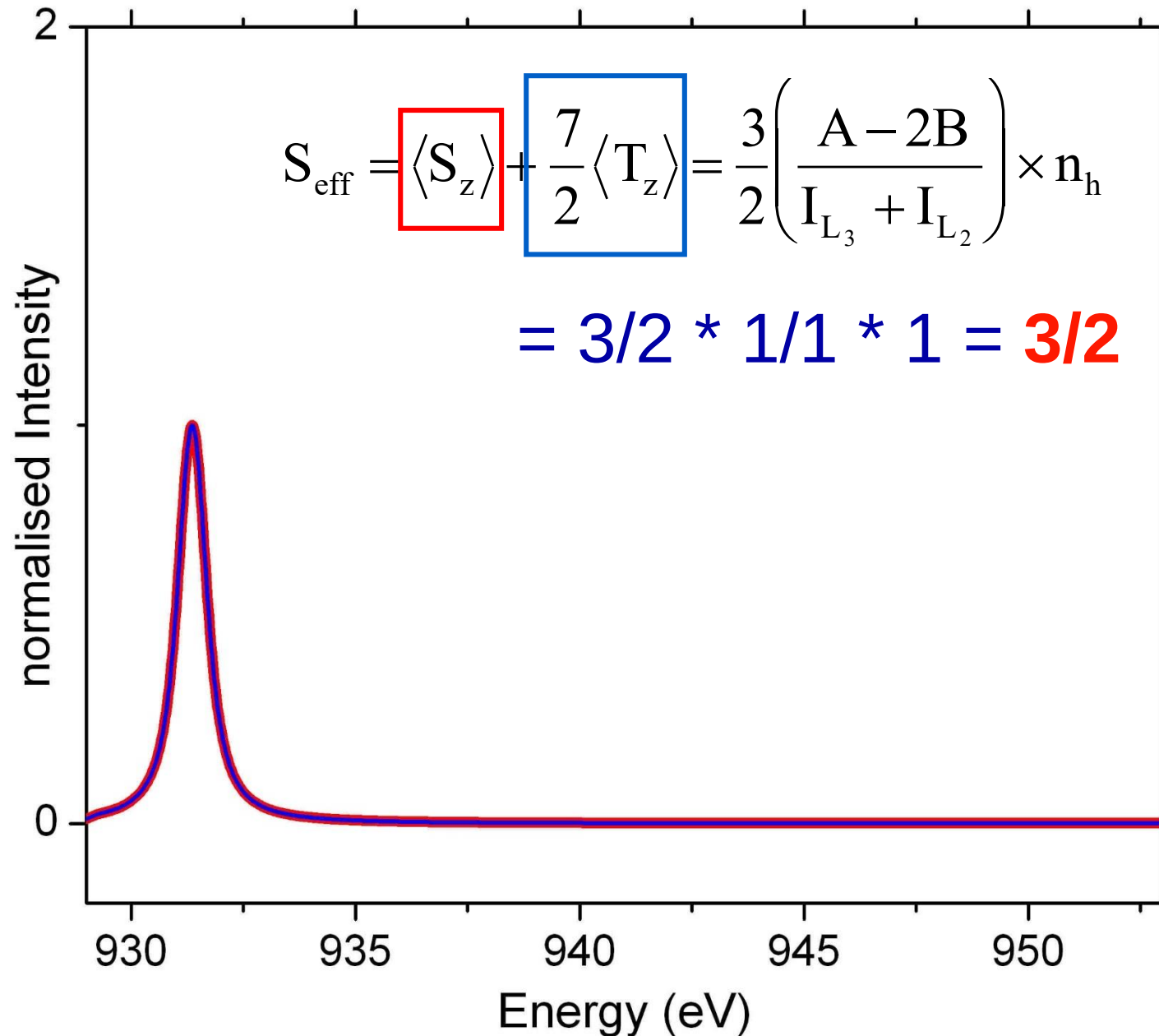
Effective spin sum rule for a $3d^9$ system



Effective spin sum rule for a 3d⁹ system



Effective spin sum rule for a 3d⁹ system



Effective spin sum rule for a 3d⁹ system

